



Assessing Storm Surge Risk at New York City

**Kerry Emanuel
Massachusetts Institute of
Technology**

Program

- **Brief Review of Tropical Cyclones**
- **Why historical statistics are inadequate for assessing long-term surge risk in NYC**
- **Physically based method for estimating surge risk**

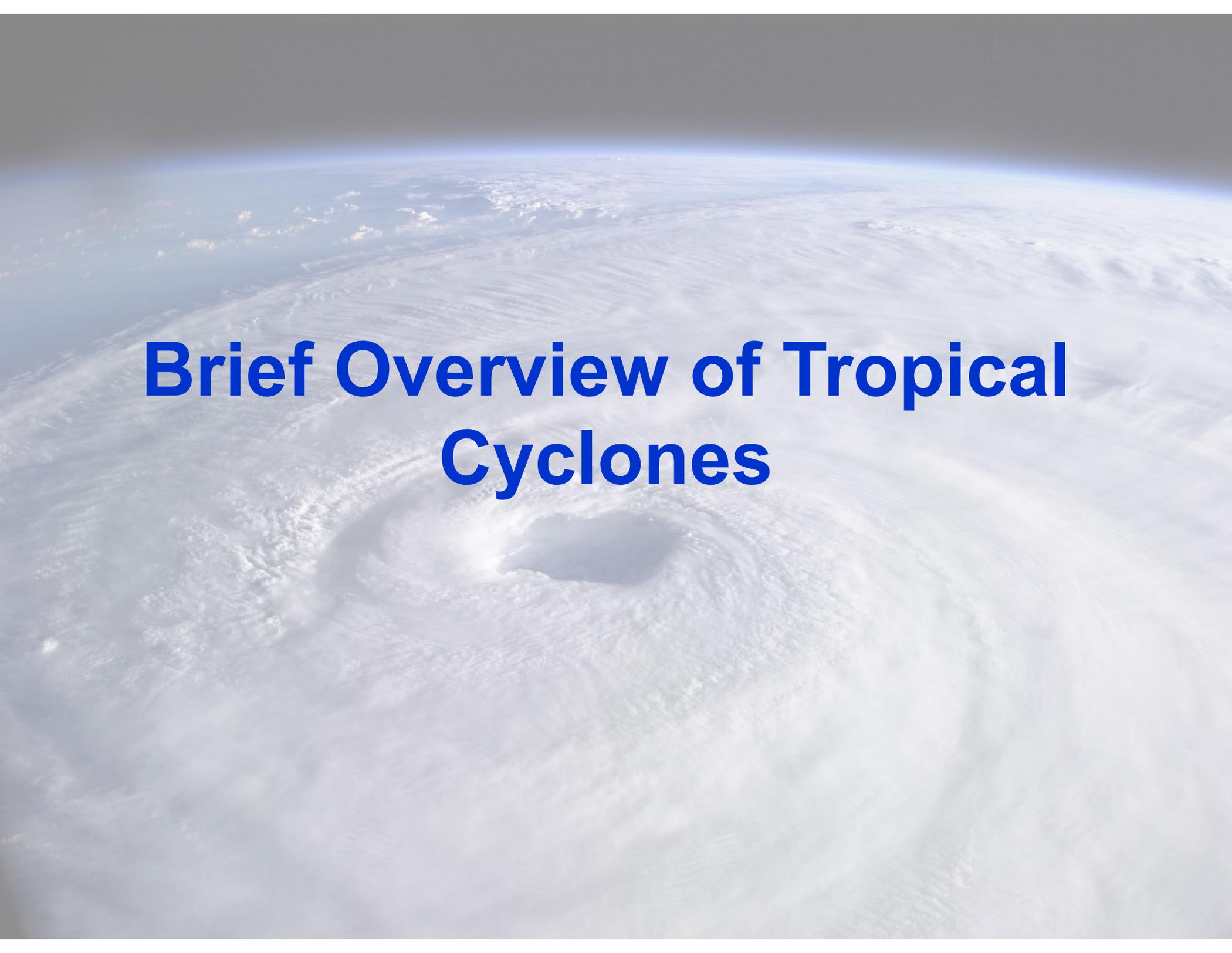
More Information about this Talk:

Physically based assessment of hurricane surge threat under climate change

Ning Lin, Kerry Emanuel, Michael Oppenheimer
& Erik Vanmarcke

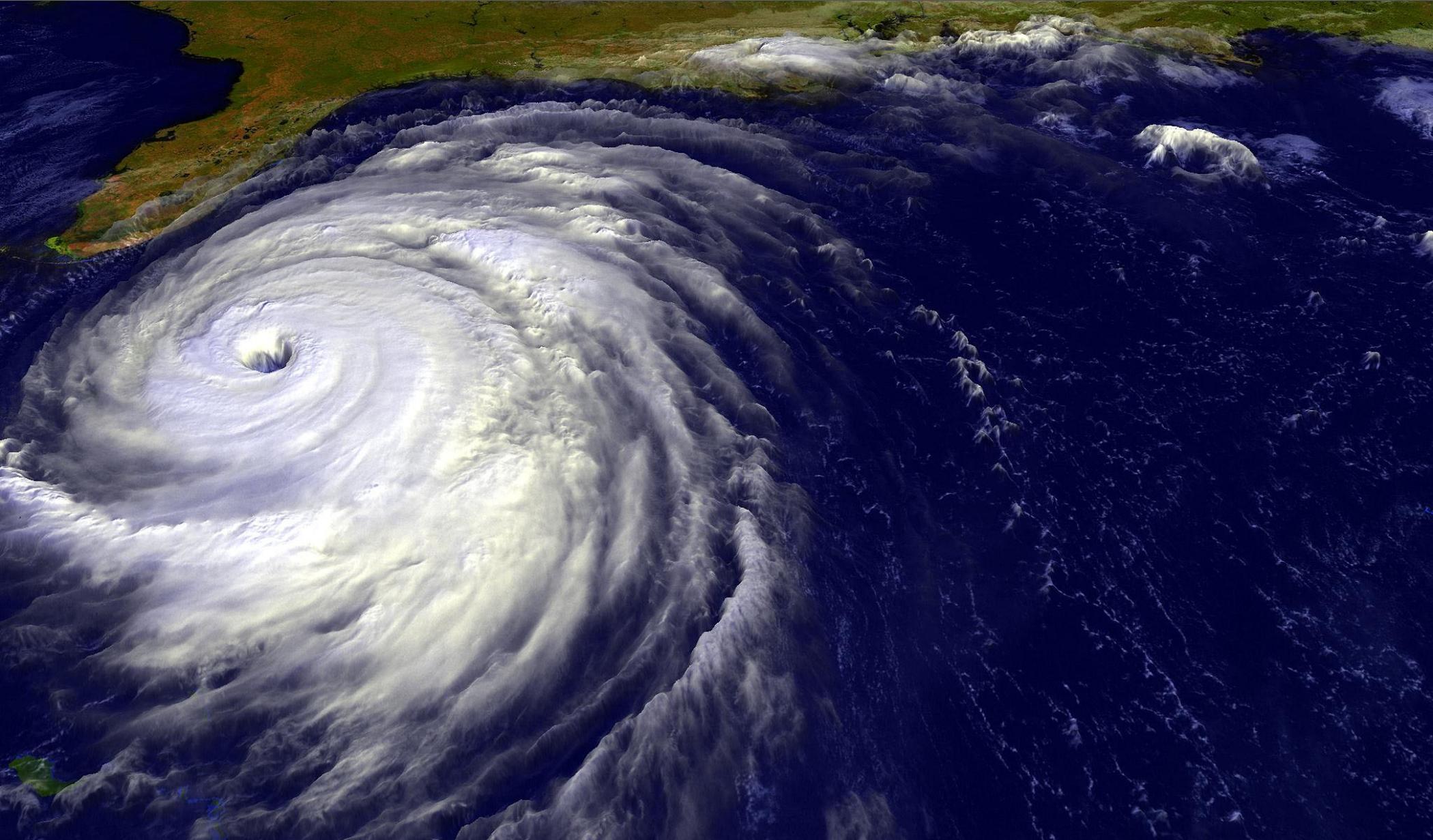
Nature Climate Change, **2**, 462–467 (February, 2012)
doi:10.1038/nclimate1389

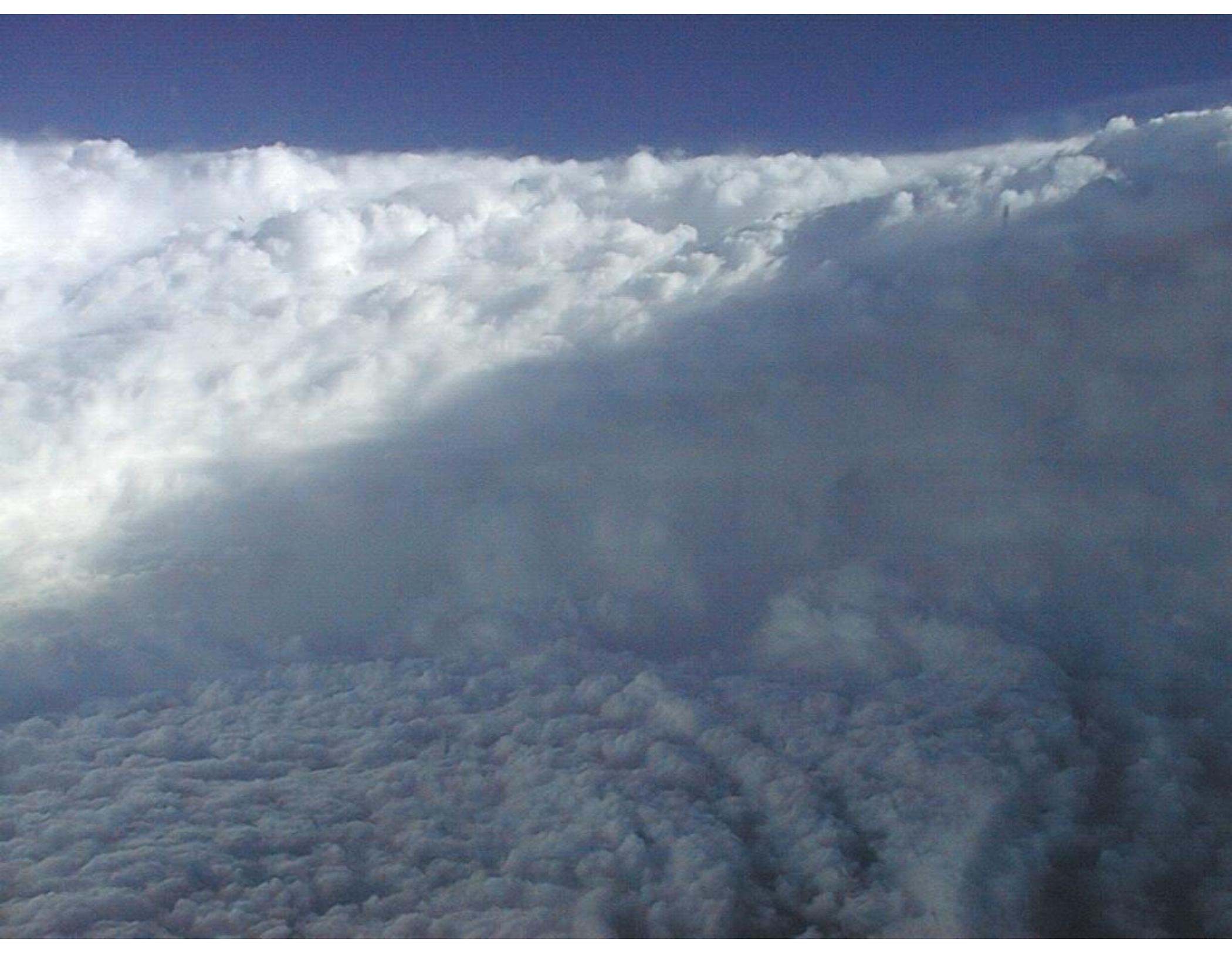
“The combined effects of storm climatology change and a 1m SLR may cause the present NYC 100-yr surge flooding to occur every 3–20 yr and the present 500-yr flooding to occur every 25–240 yr by the end of the century”

An aerial satellite-style photograph of a tropical cyclone. The cyclone features a prominent, dark, circular eye at its center, surrounded by a dense, swirling ring of white clouds. The outer edges of the storm consist of multiple layers of white, spiral-shaped cloud bands that extend across the ocean surface. The background shows the curvature of the Earth and a clear blue sky.

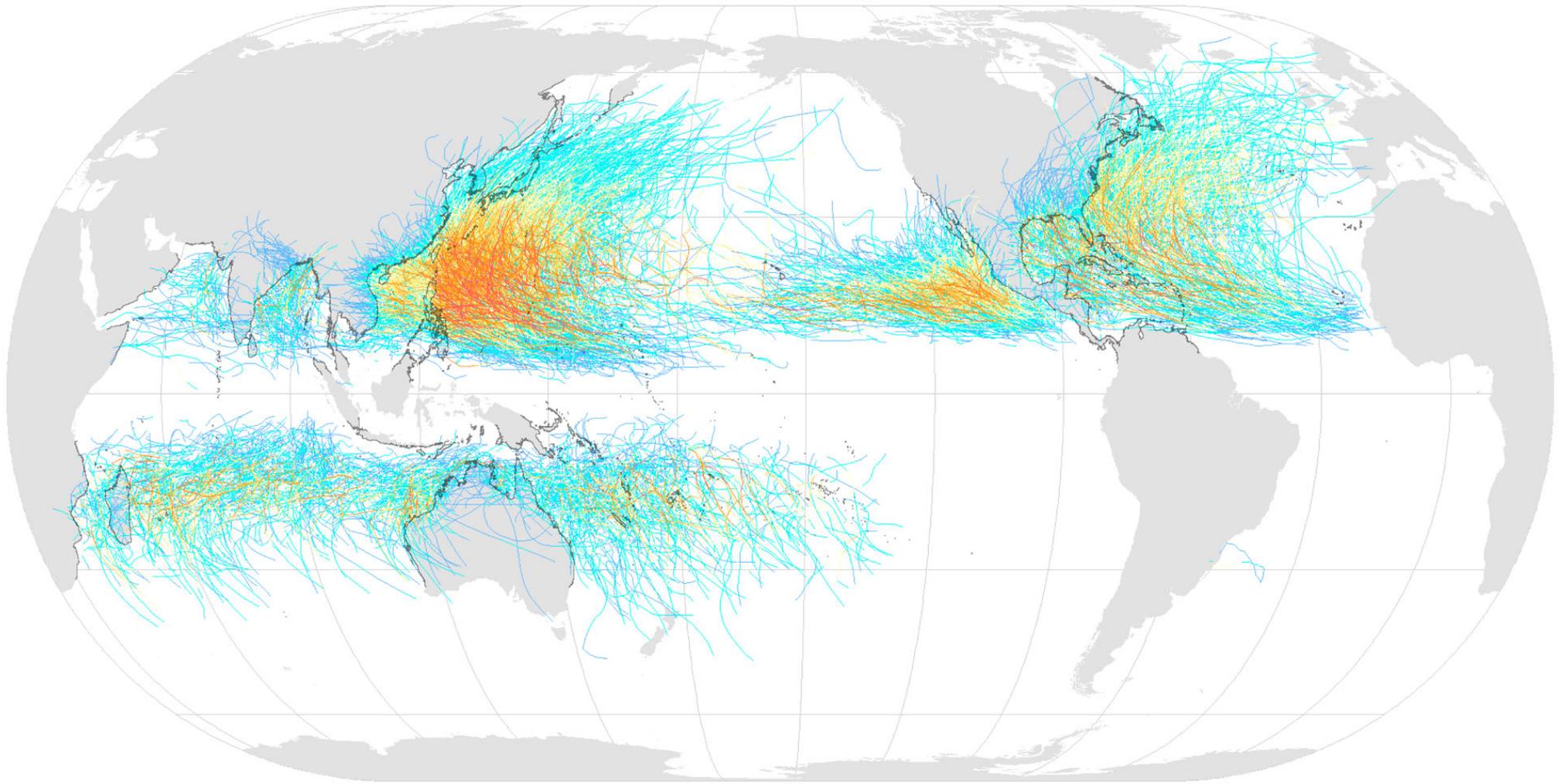
Brief Overview of Tropical Cyclones

The View from Space





Tropical Cyclones, 1945–2006



Saffir-Simpson Hurricane Scale:

tropical
depression

tropical
storm

hurricane
category 1

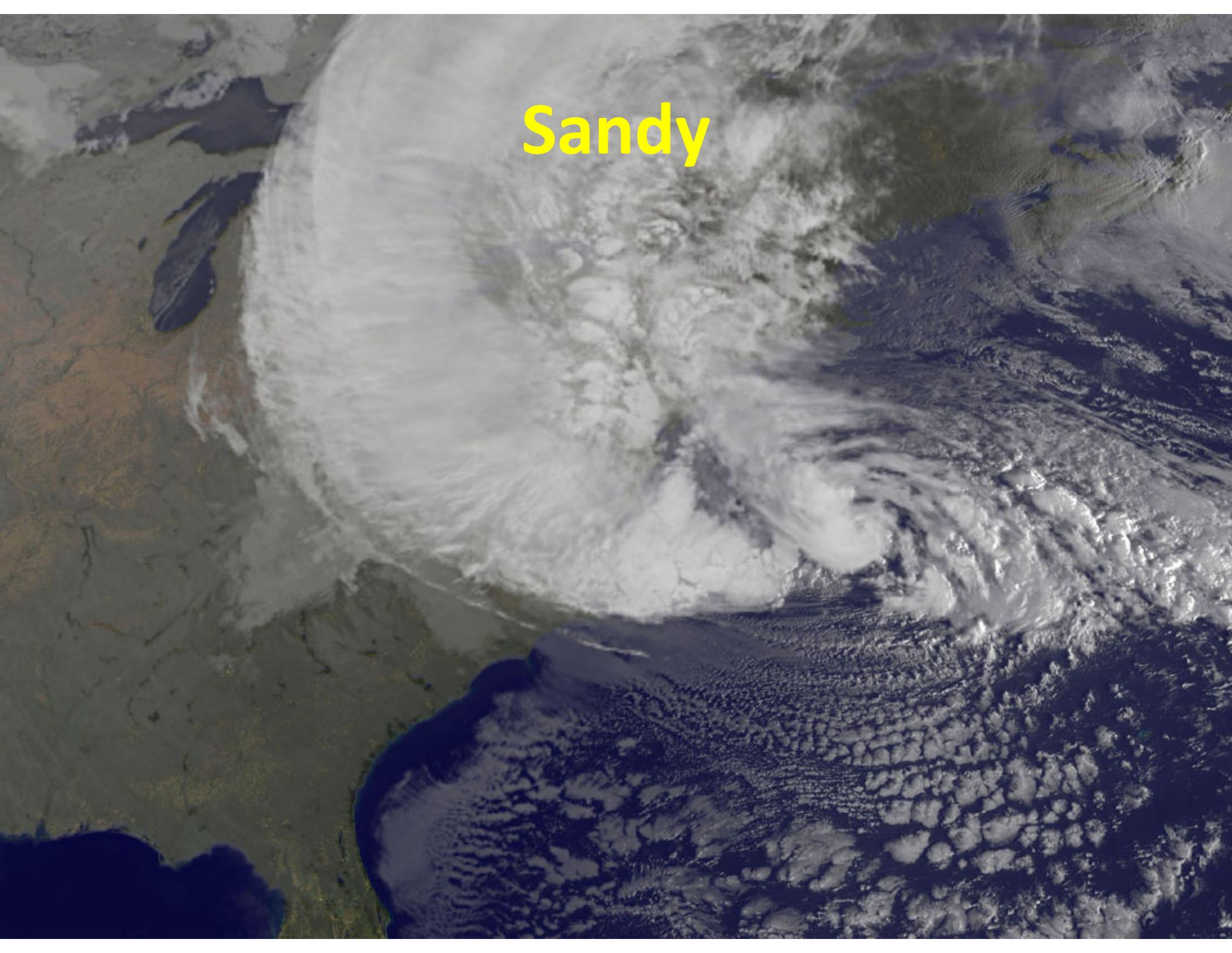
hurricane
category 2

hurricane
category 3

hurricane
category 4

hurricane
category 5

Sandy



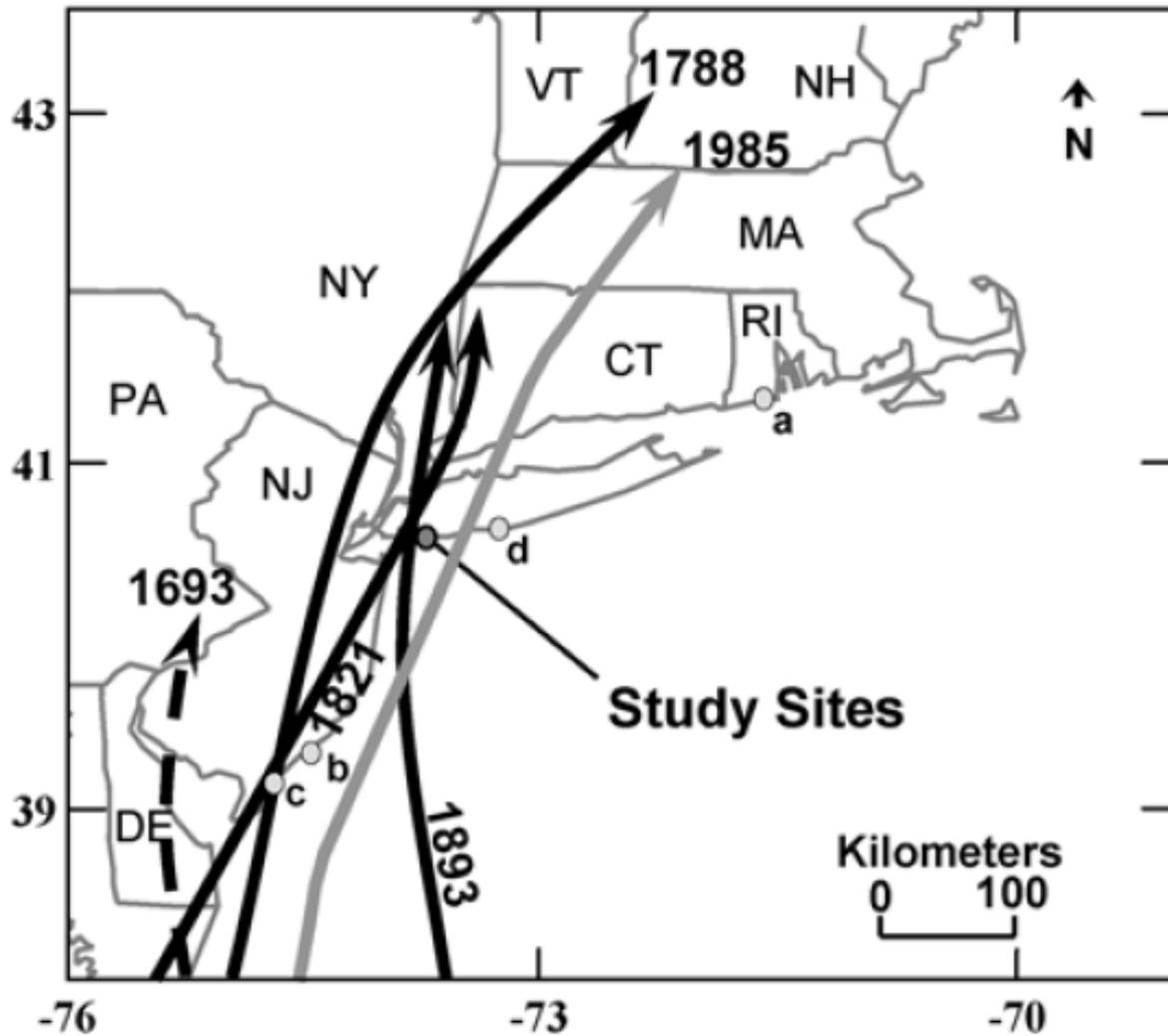
Limitations of a strictly statistical approach

U.S. Hurricanes in General

- >50% of all normalized damage caused by **top 8 events**, all category 3, 4 and 5
- **>90%** of all damage caused by storms of category **3** and greater
- Category 3,4 and 5 events are only 13% of total landfalling events; only 30 since 1870
- ***∴ Landfalling storm statistics are inadequate for assessing hurricane risk***

An aerial satellite-style photograph of a large hurricane or tropical storm over the ocean. The storm's eye is visible as a dark, circular center, surrounded by a dense, swirling ring of white clouds. The surrounding ocean surface shows a textured, wavy pattern. The horizon line is visible at the top of the image, with a thin layer of blue sky above it.

Historical Surge Events Affecting New York City



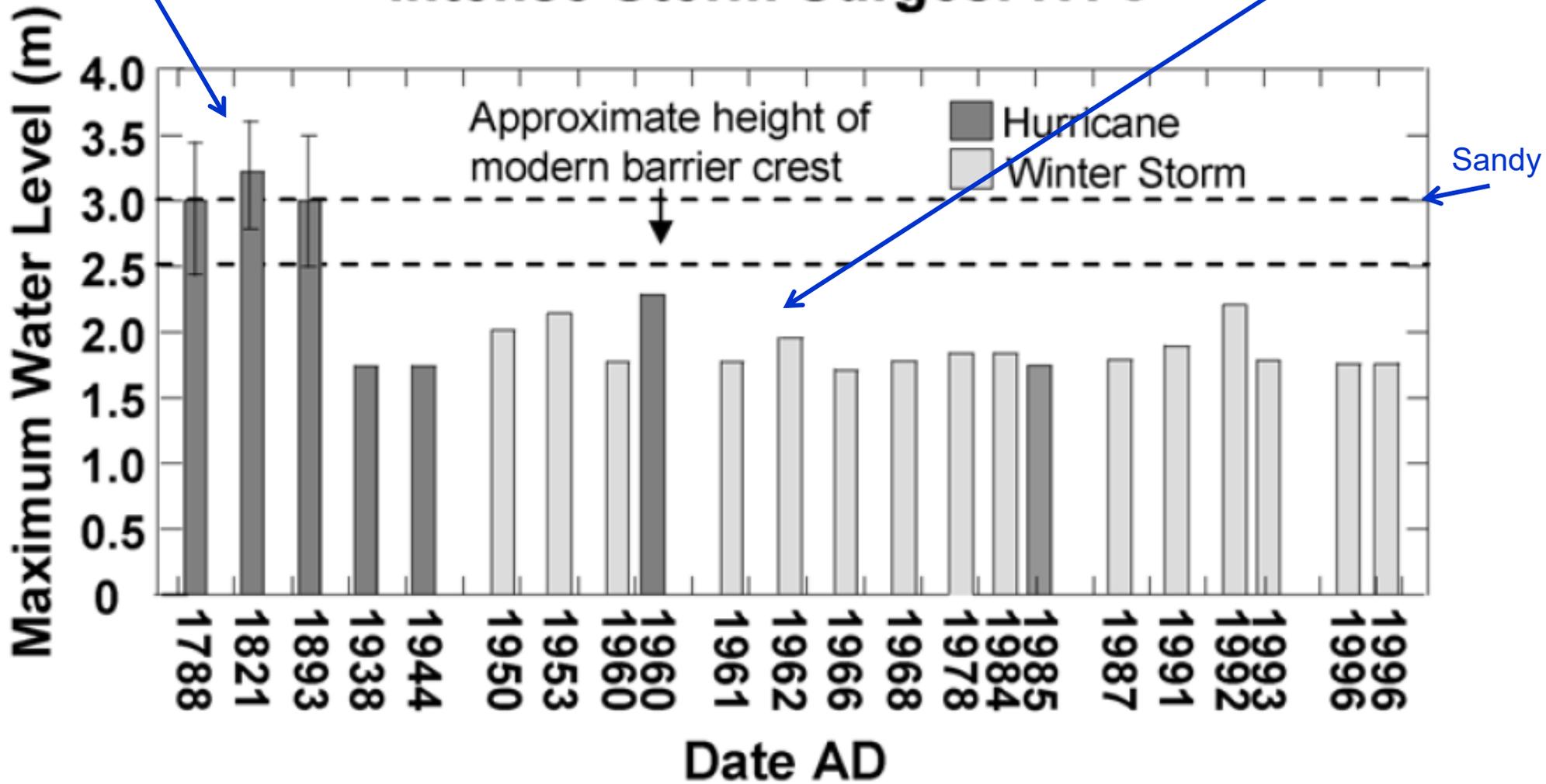
Tracks of historical hurricanes affecting NYC and western Long Island

Source: Scileppi and Donnelly, 2007: *Geochem., Geophys., Geosys.*, 8

From historical archives

From tide gauge at the Battery

Intense Storm Surges: NYC

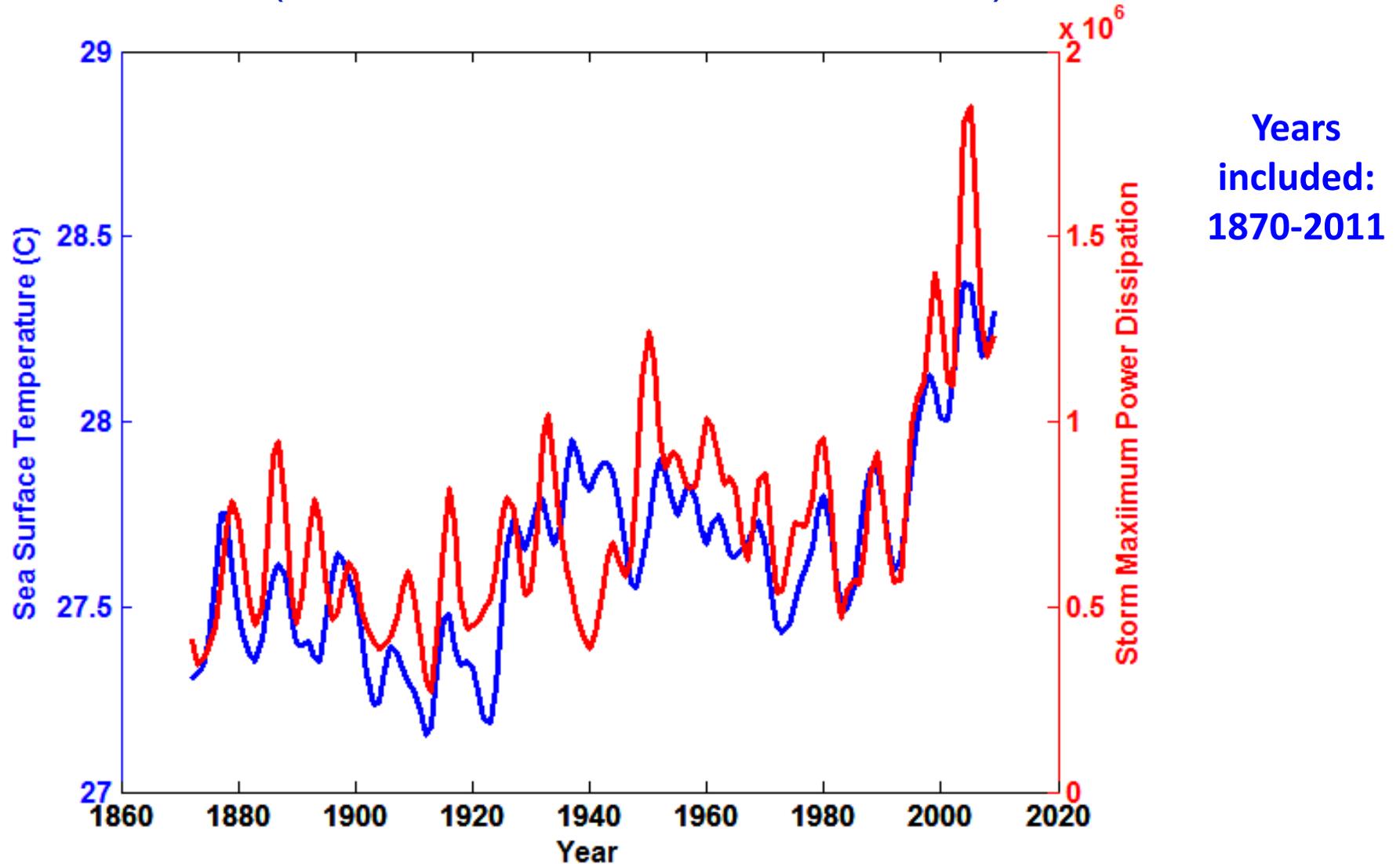


Source: Scileppi and Donnelly, 2007: *Geochem., Geophys., Geosys.*, 8

**Additional Problem:
Nonstationarity of climate**

Atlantic Sea Surface Temperatures and Storm Max Power Dissipation

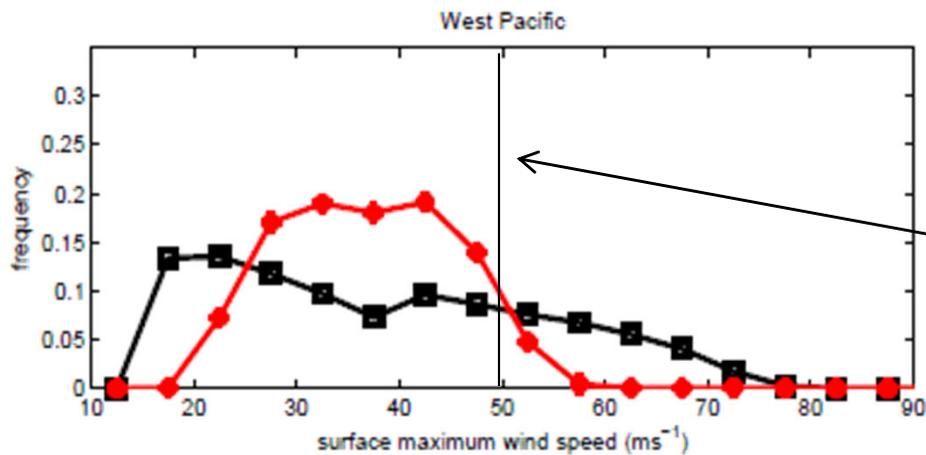
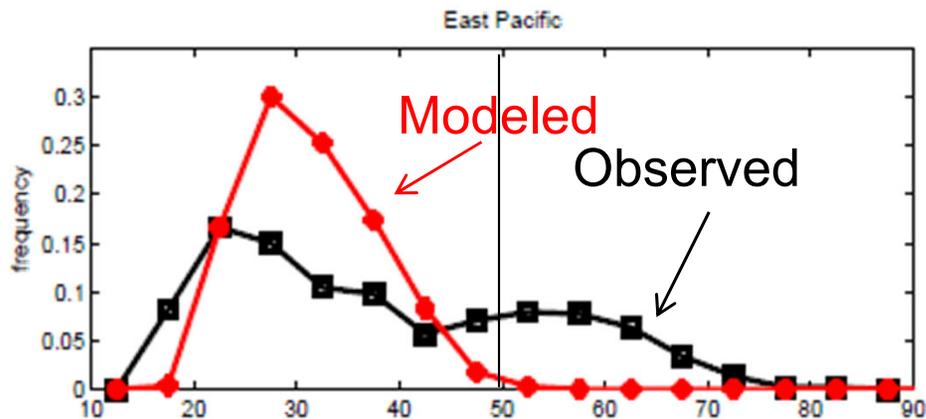
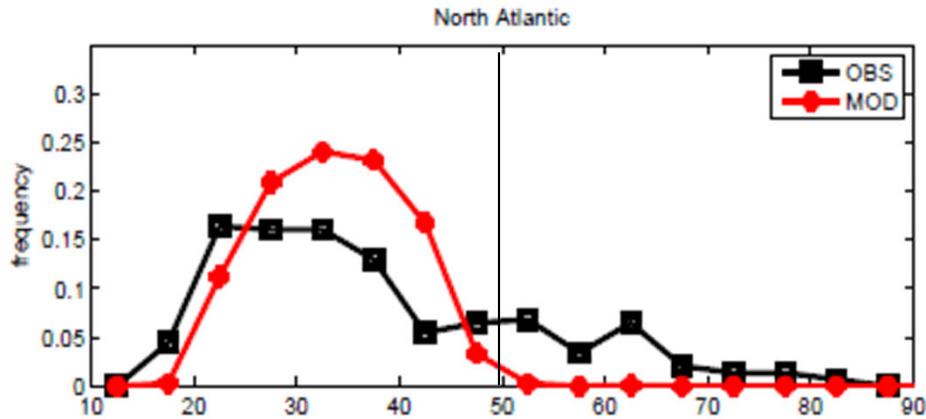
(Smoothed with a 1-3-4-3-1 filter)



Bringing Physics to Bear: Risk Assessment by Direct Numerical Simulation of Hurricanes

The Problem

- The hurricane eyewall is an intense, circular *front*, attaining scales of ~ 1 km or less
- At the same time, the storm's circulation extends to ~ 1000 km and is embedded in much larger scale flows

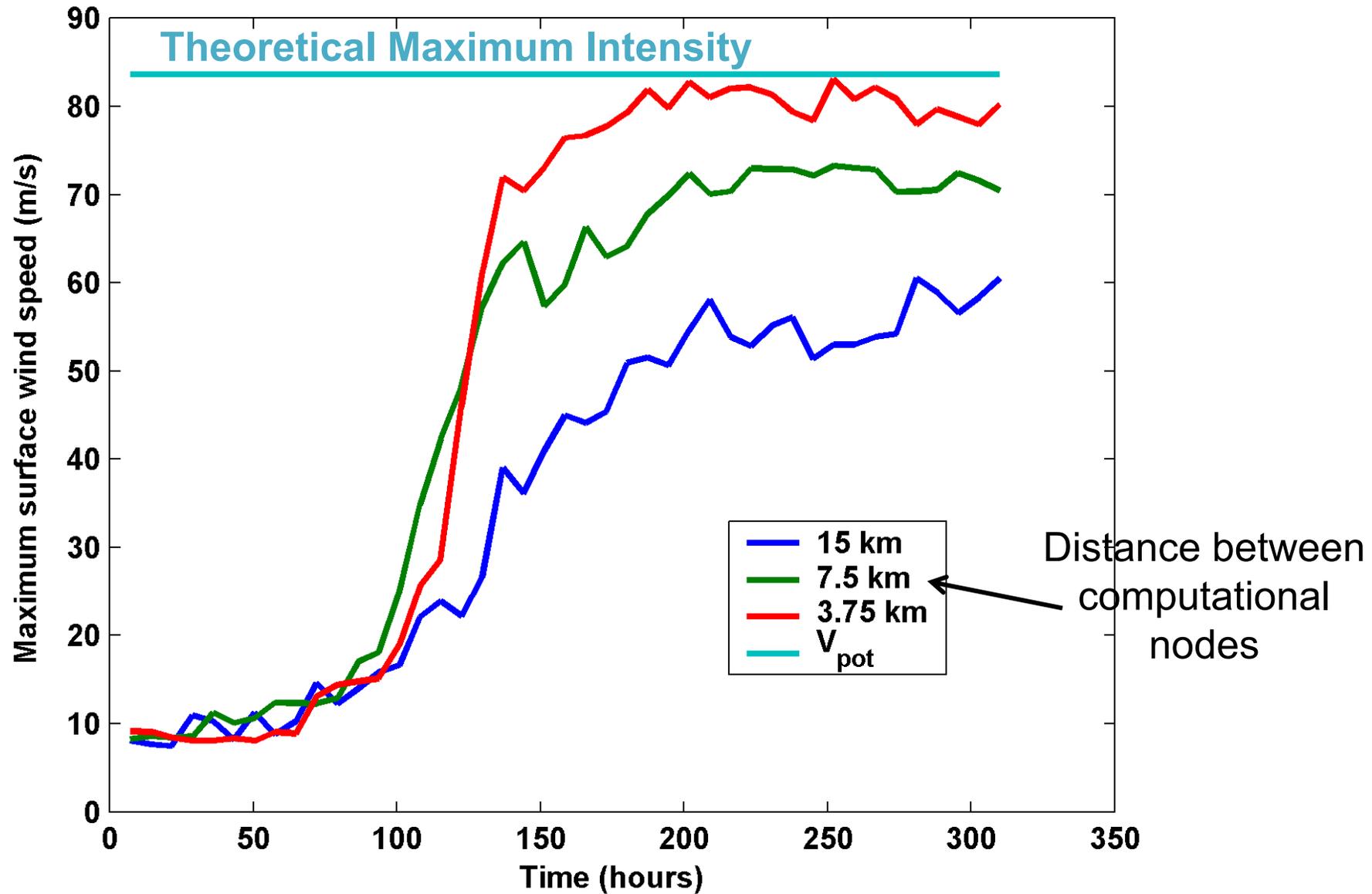


Histograms of Tropical Cyclone Intensity as Simulated by a Global Model with 50 km grid point spacing. (Courtesy Isaac Held, GFDL)

Global models do not simulate the storms that cause destruction

Category 3

Numerical convergence in an axisymmetric, nonhydrostatic model (Rotunno and Emanuel, 1987)



How to deal with this?

- **Option 1: Brute force and obstinacy**



How to deal with this?

- Option 1: Brute force and obstinacy
- **Option 2: Applied math and modest resources**



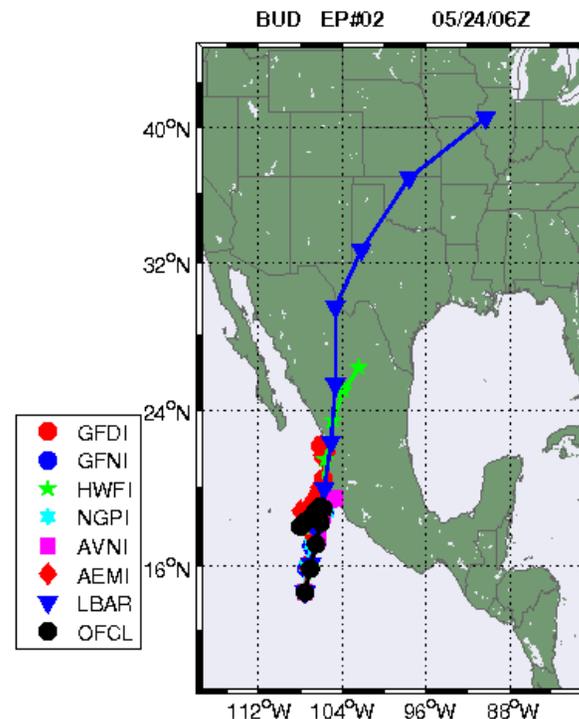
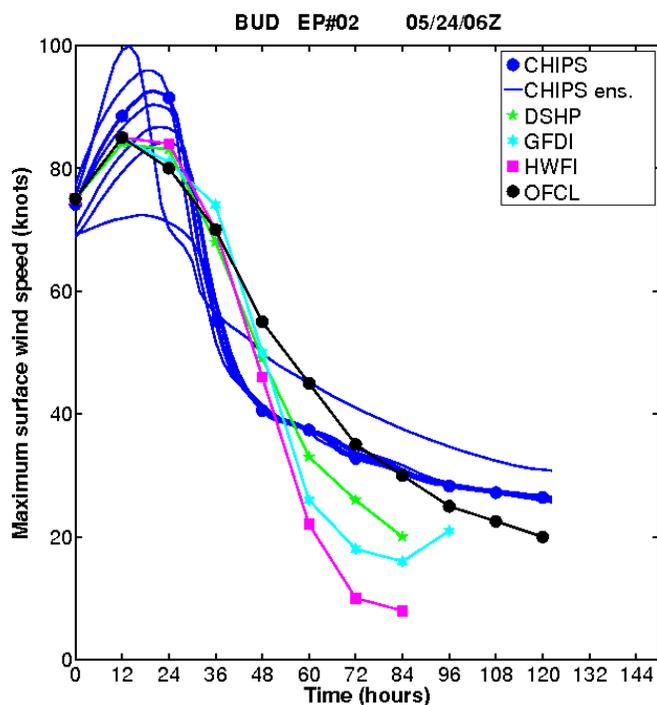
Time-dependent, axisymmetric model phrased in R space

$$M = rV + \frac{1}{2} fr^2 \quad \frac{1}{2} fR^2 \equiv M \quad f \equiv 2\Omega \sin \theta$$

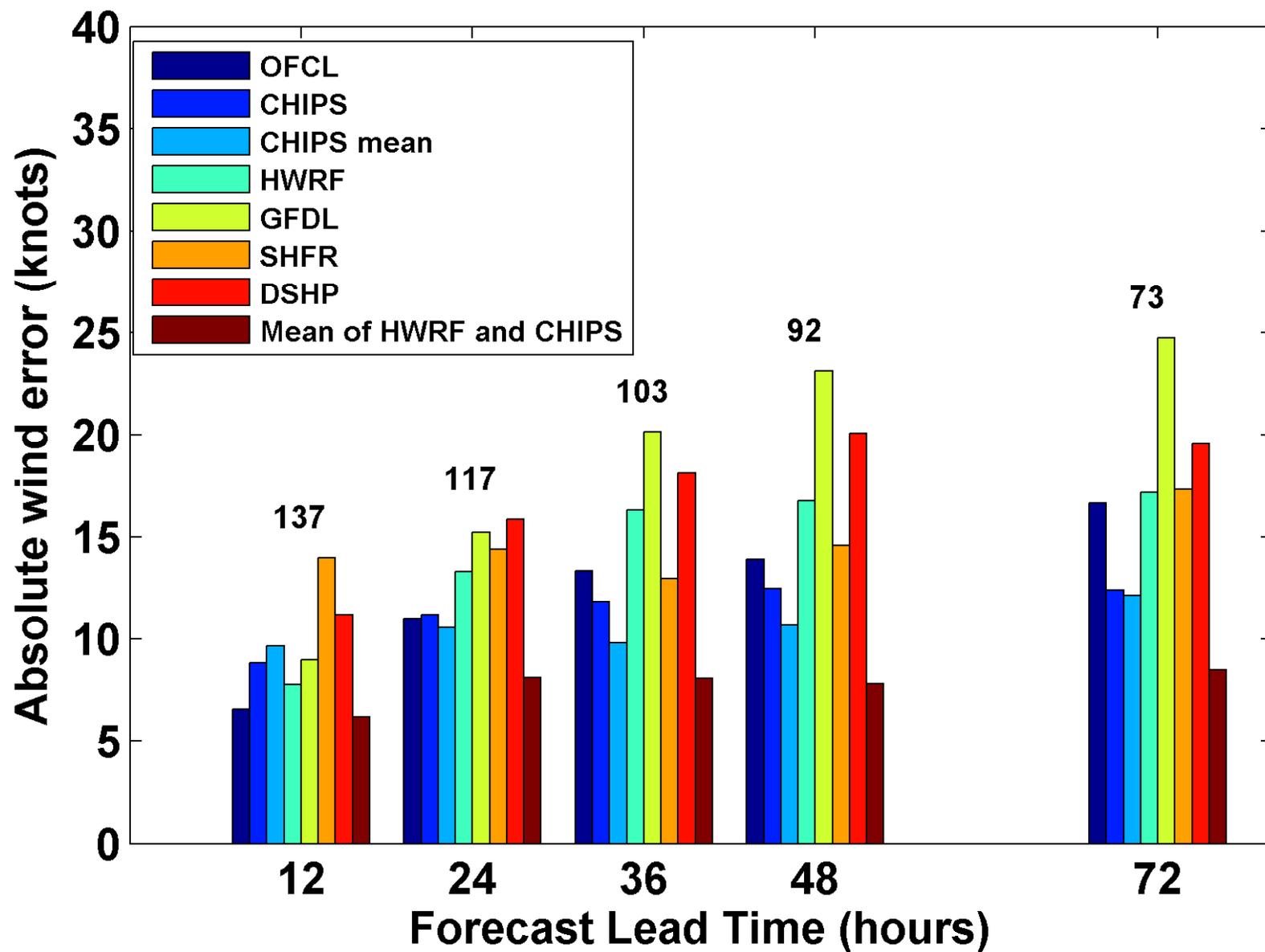
- **Hydrostatic and gradient balance above PBL**
- **Moist adiabatic lapse rates on M surfaces above PBL**
- **Boundary layer quasi-equilibrium convection**
- **Deformation-based radial diffusion**
- **Coupled to simple 1-D ocean model**
- **Environmental wind shear effects parameterized**

Originally Developed as a Student Laboratory Tool, Later Adapted as a Hurricane Intensity Forecasting Model

(<http://wind.mit.edu/~emanuel/storm.html>)



Eastern North Pacific, 2011



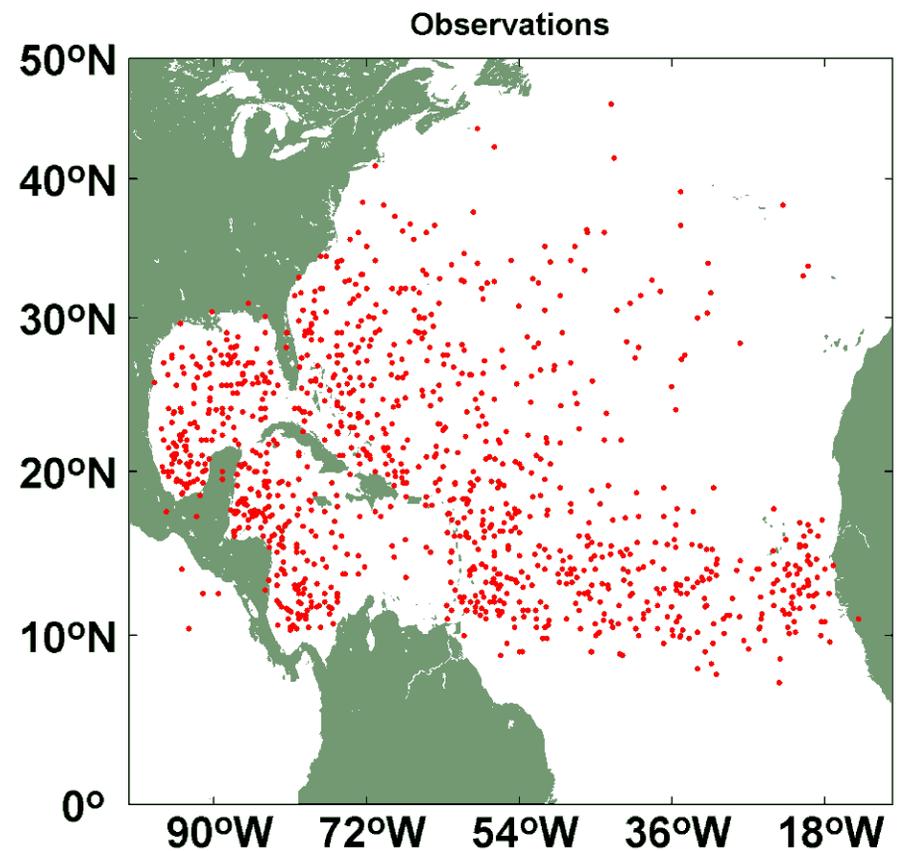
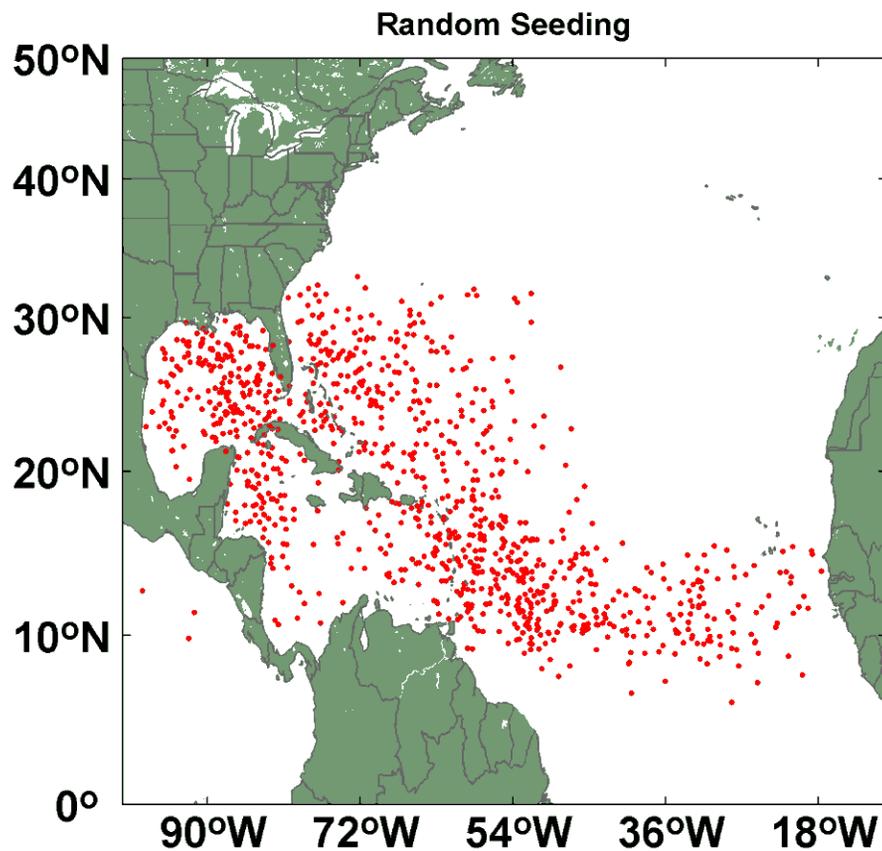
**How Can We Use This Model to Help
Assess Hurricane Wind and Rain Risk
in Current and Future Climates?**

Risk Assessment Approach:

- **Step 1:** Seed each ocean basin with a very large number of weak, randomly located cyclones
- **Step 2:** Cyclones are assumed to move with the large scale atmospheric flow in which they are embedded, plus a correction for beta drift
- **Step 3:** Run the CHIPS model for each cyclone, and note how many achieve at least tropical storm strength
- **Step 4:** Using the small fraction of surviving events, determine storm statistics

Details: Emanuel et al., *Bull. Amer. Meteor. Soc*, 2008

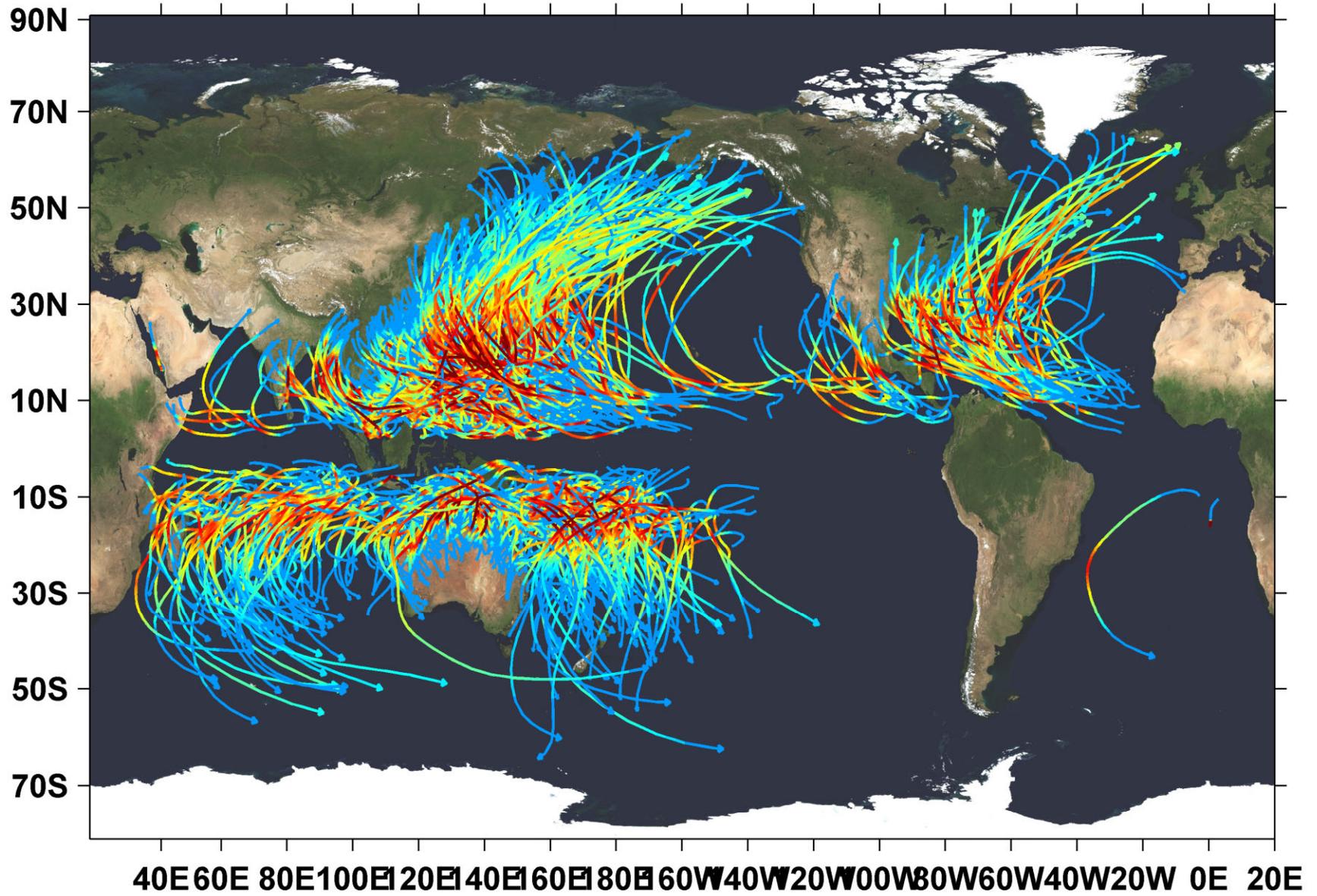
Comparison of Random Seeding Genesis Locations with Observations

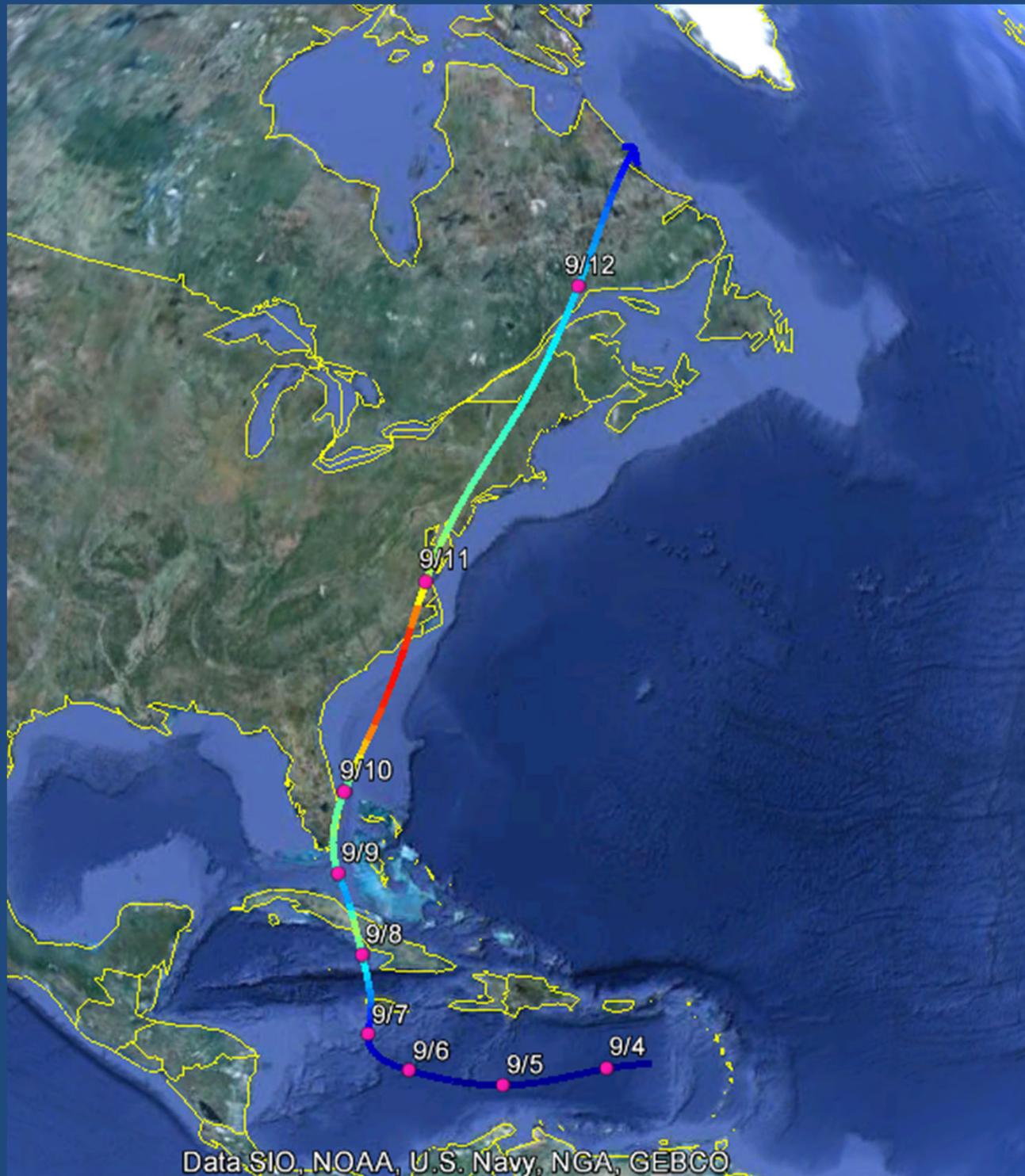


Calibration

- **Absolute genesis frequency calibrated to globe during the period 1980-2005**

ERA40, 1000 Tracks

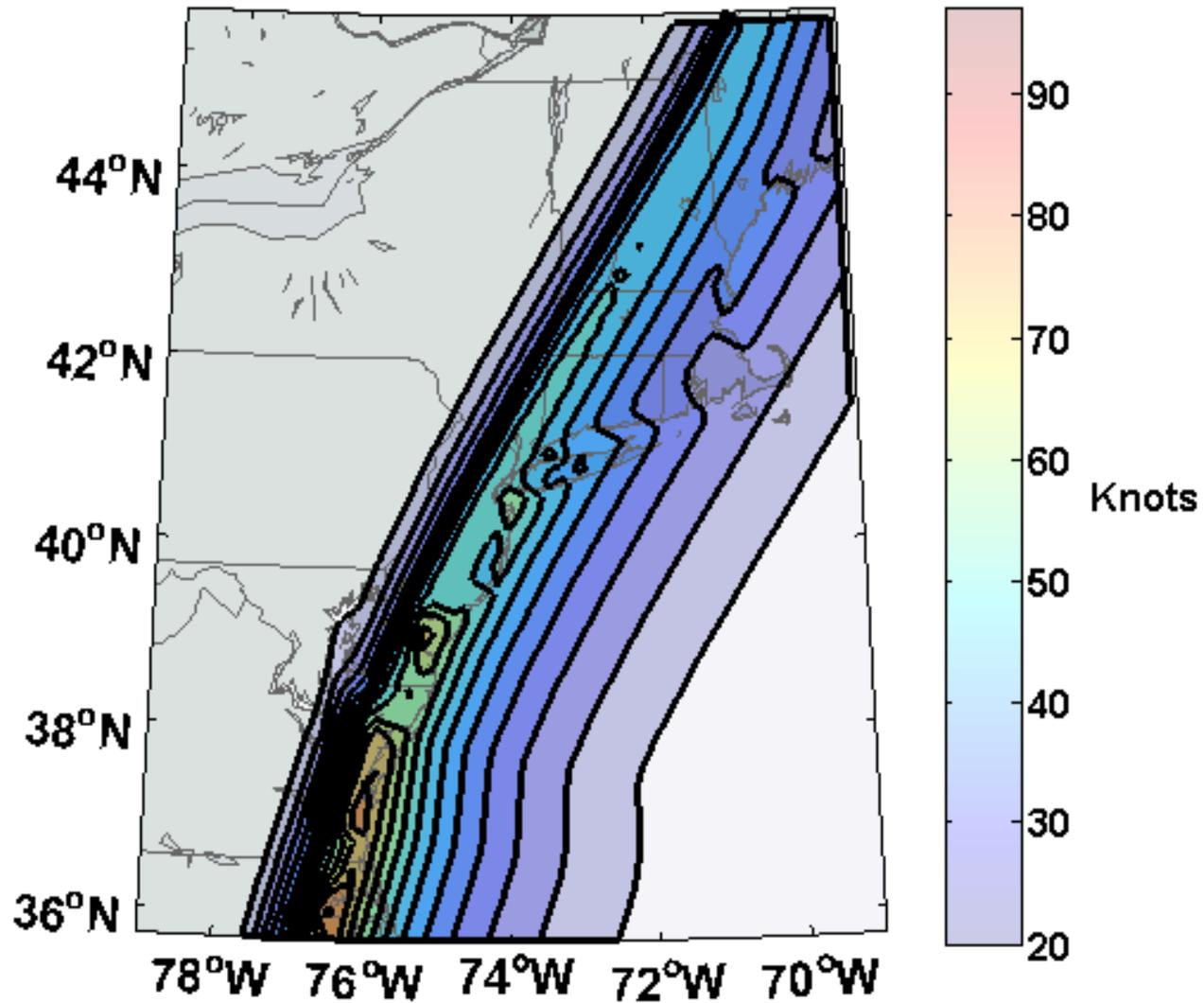




Example: Hurricane affecting New York City

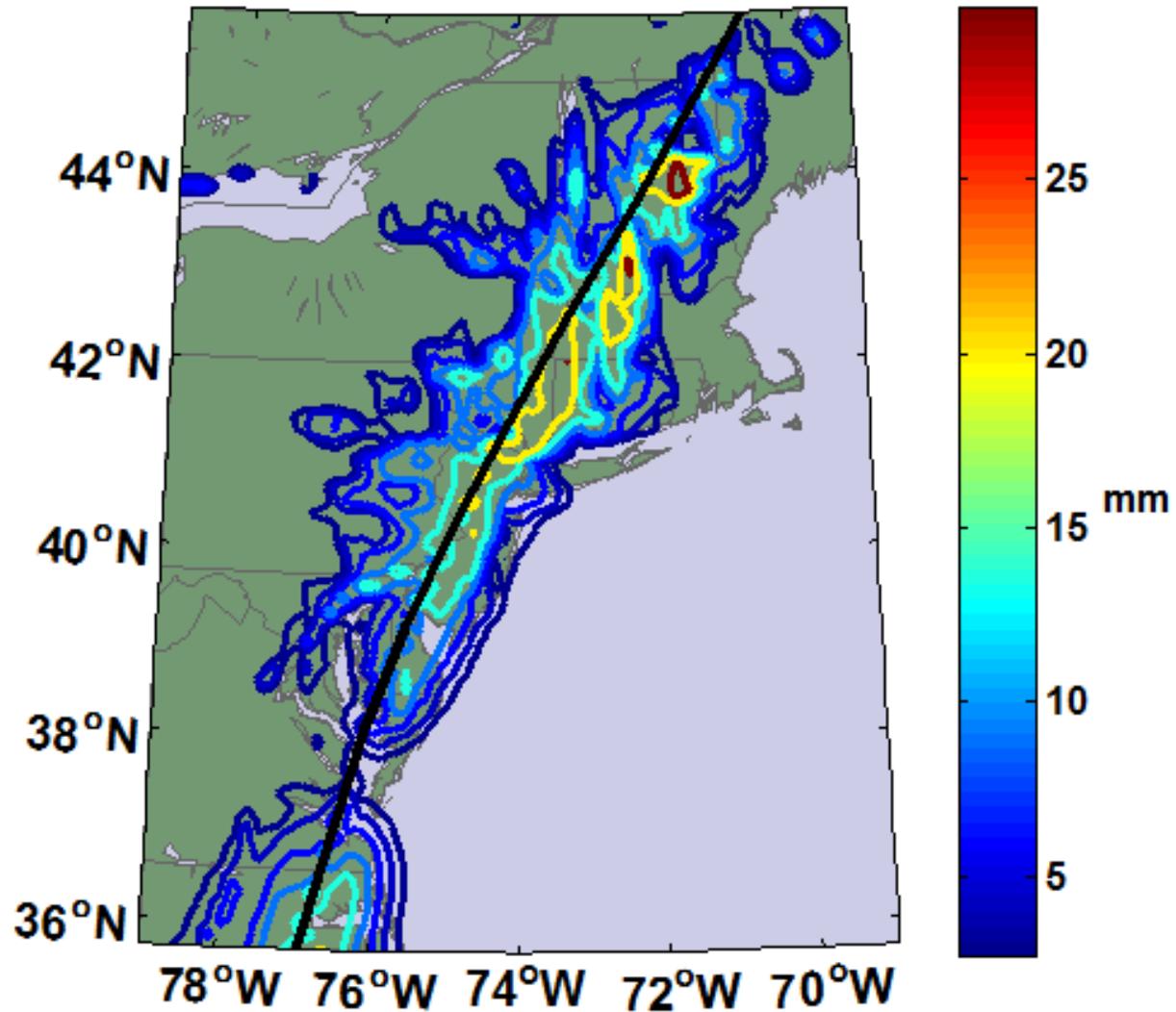
Wind Swath

Newyork
Track number 602



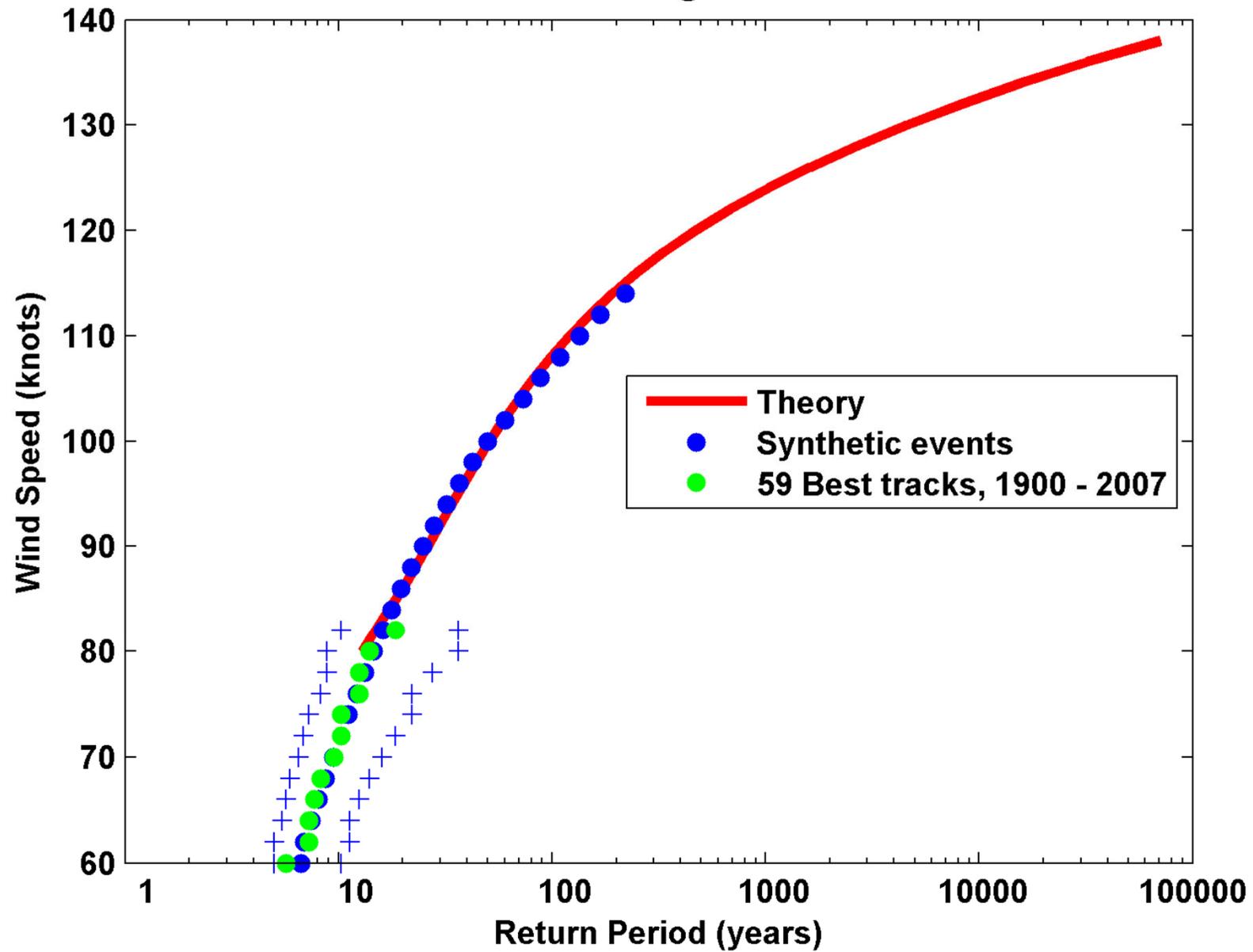
Accumulated Rainfall (mm)

Newyork
Track number 602



Return Periods

New England



Coupling large hurricane event sets to surge models (with **Ning Lin**)

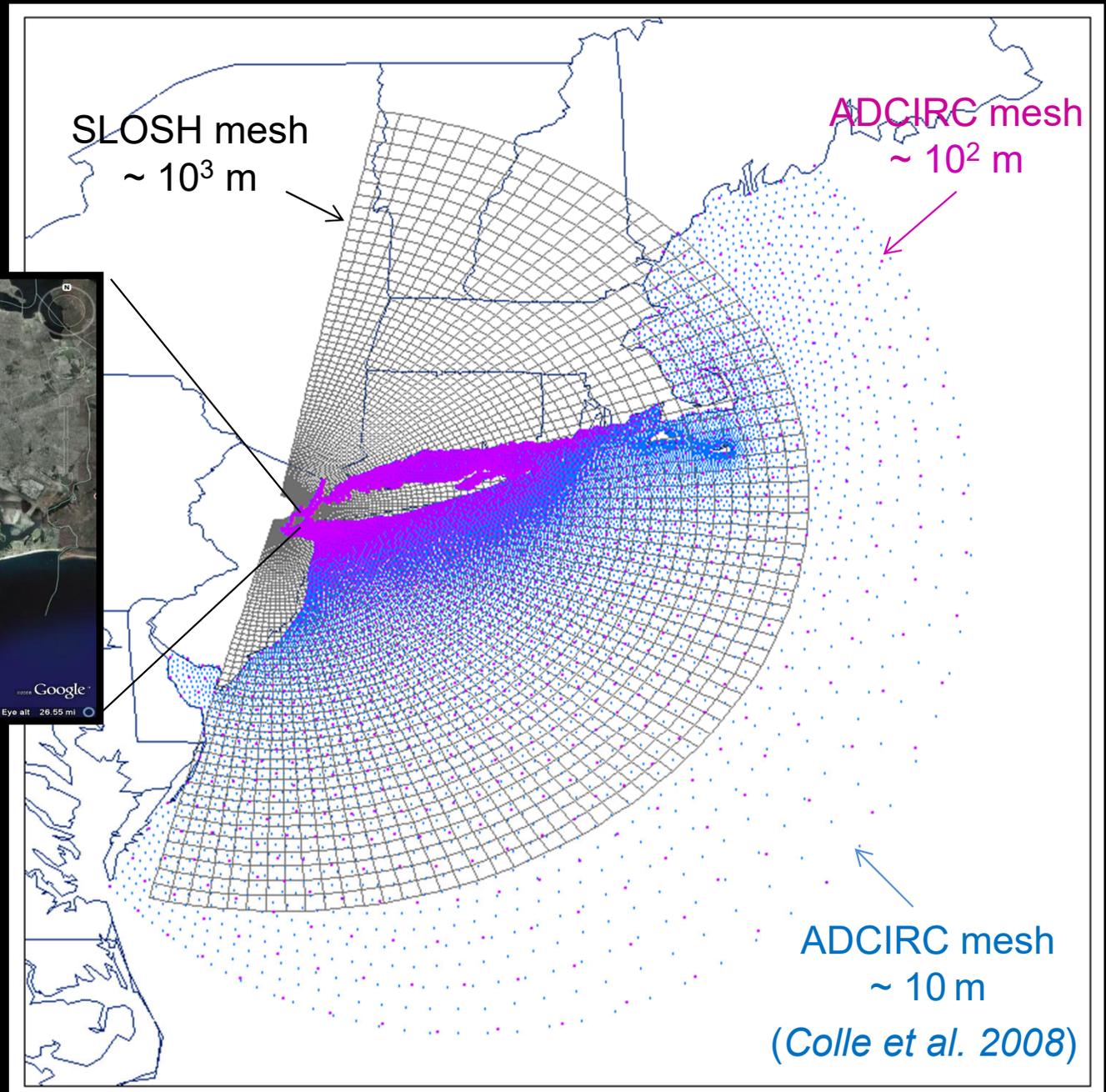
- Couple synthetic tropical cyclone events (Emanuel et al., BAMS, 2008) to surge models
 - SLOSH
 - ADCIRC (fine mesh)
 - ADCIRC (coarse mesh)
- Generate probability distributions of surge at desired locations

Storm Surge Simulation

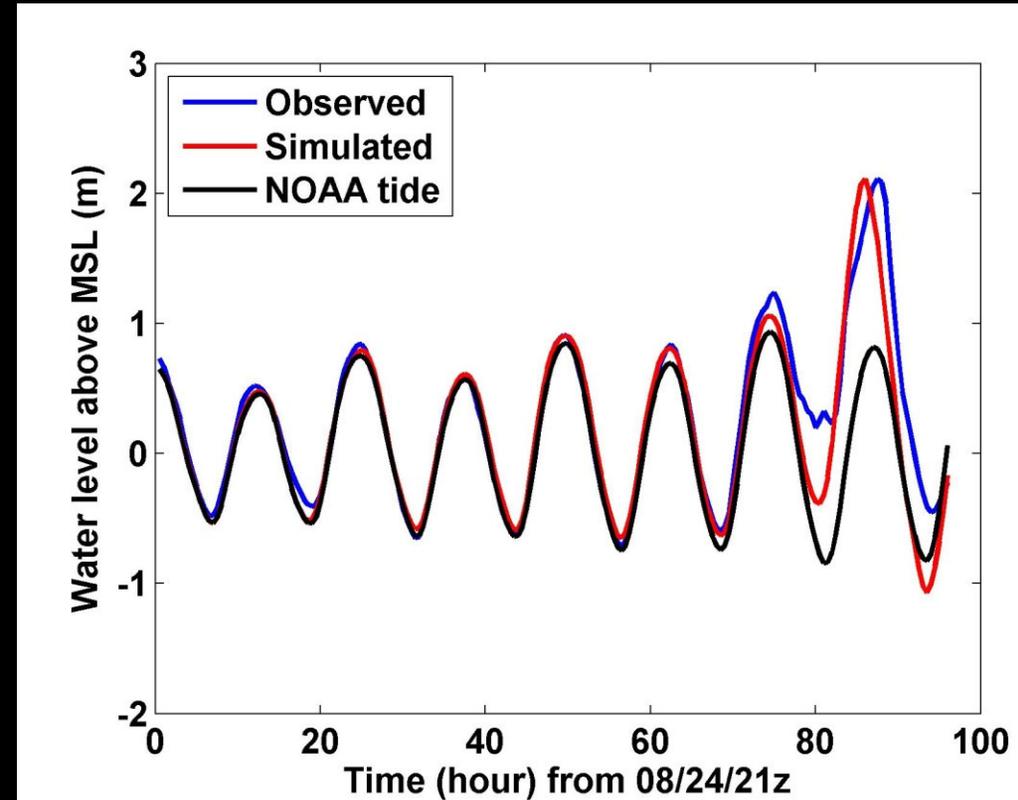
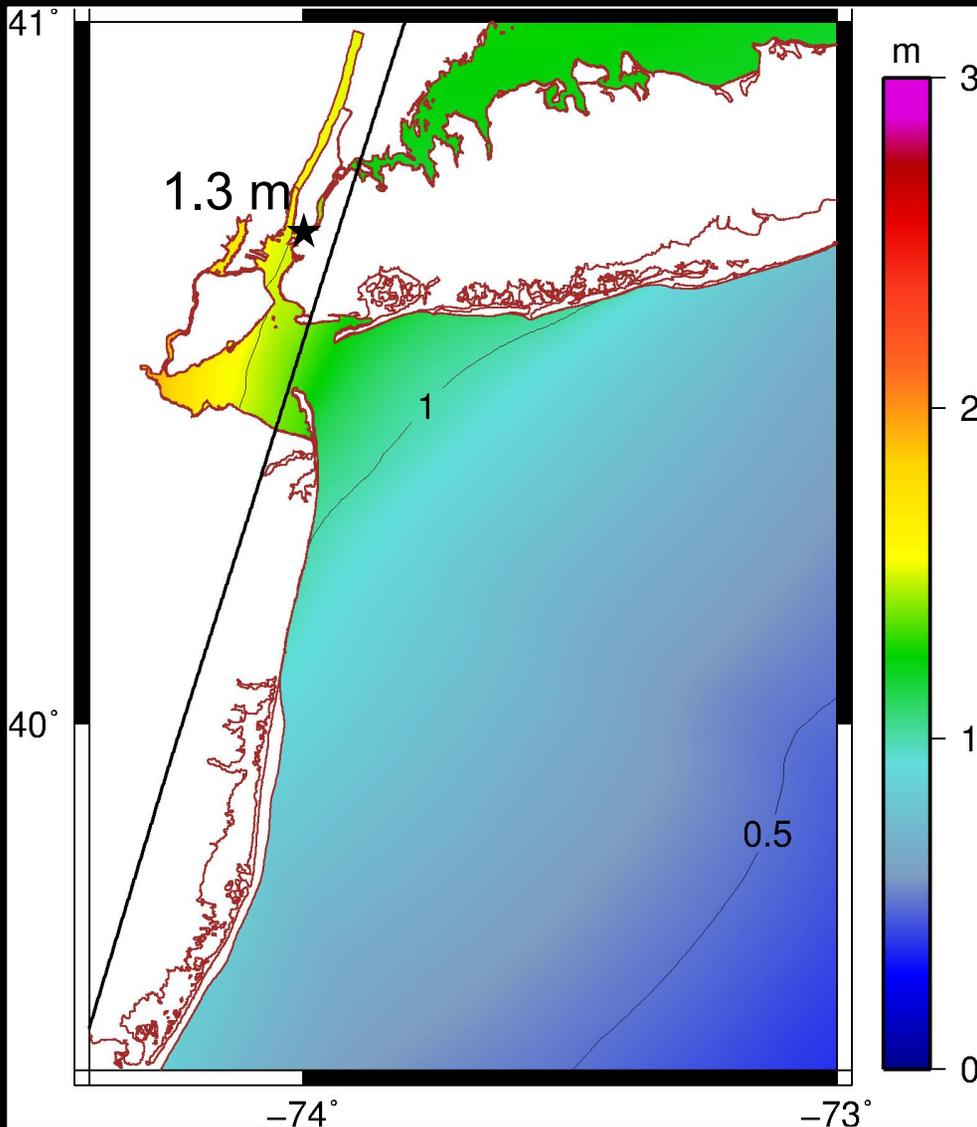
SLOSH model
(Jelesnianski et al. 1992)



ADCIRC model
(Luettich et al. 1992)

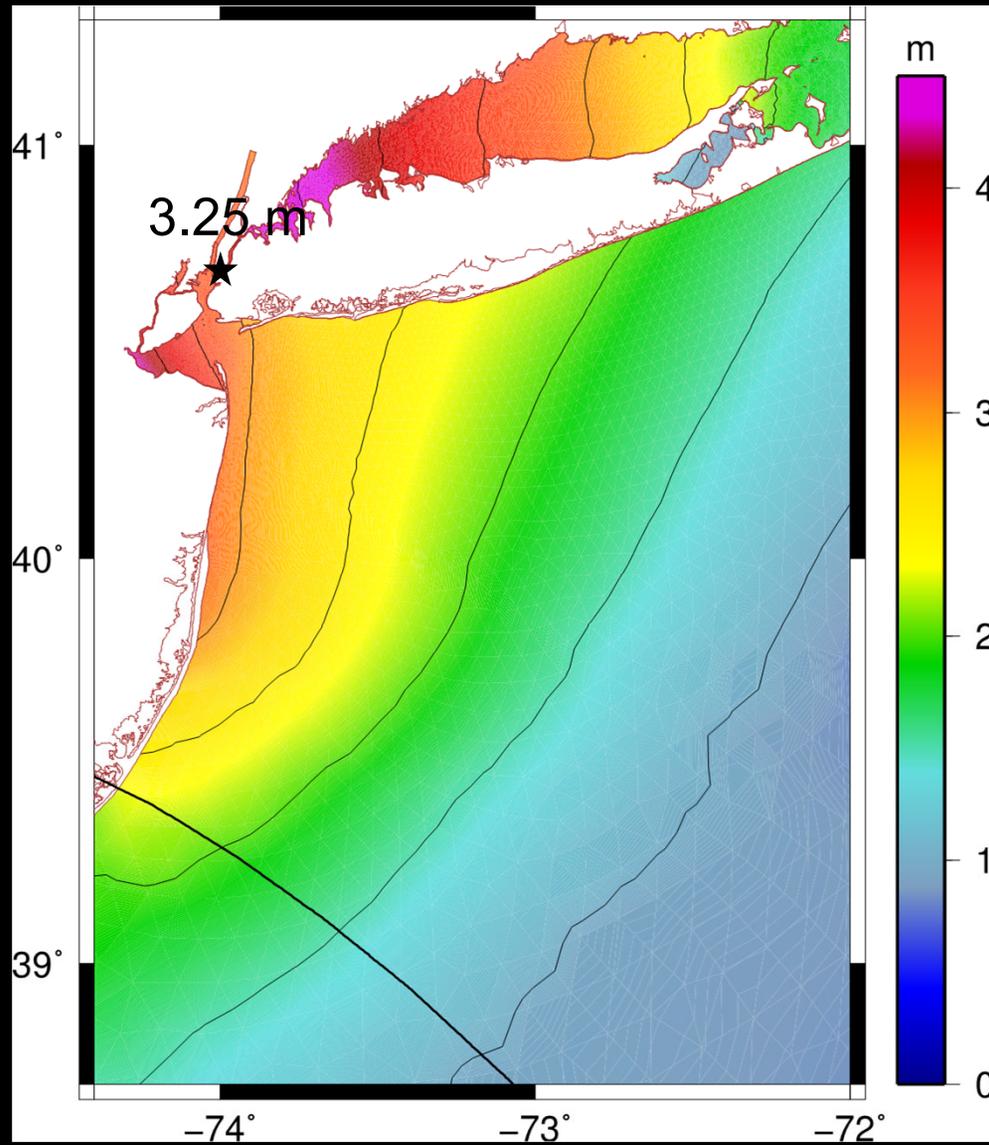


Hurricane Irene (2011) Hindcast

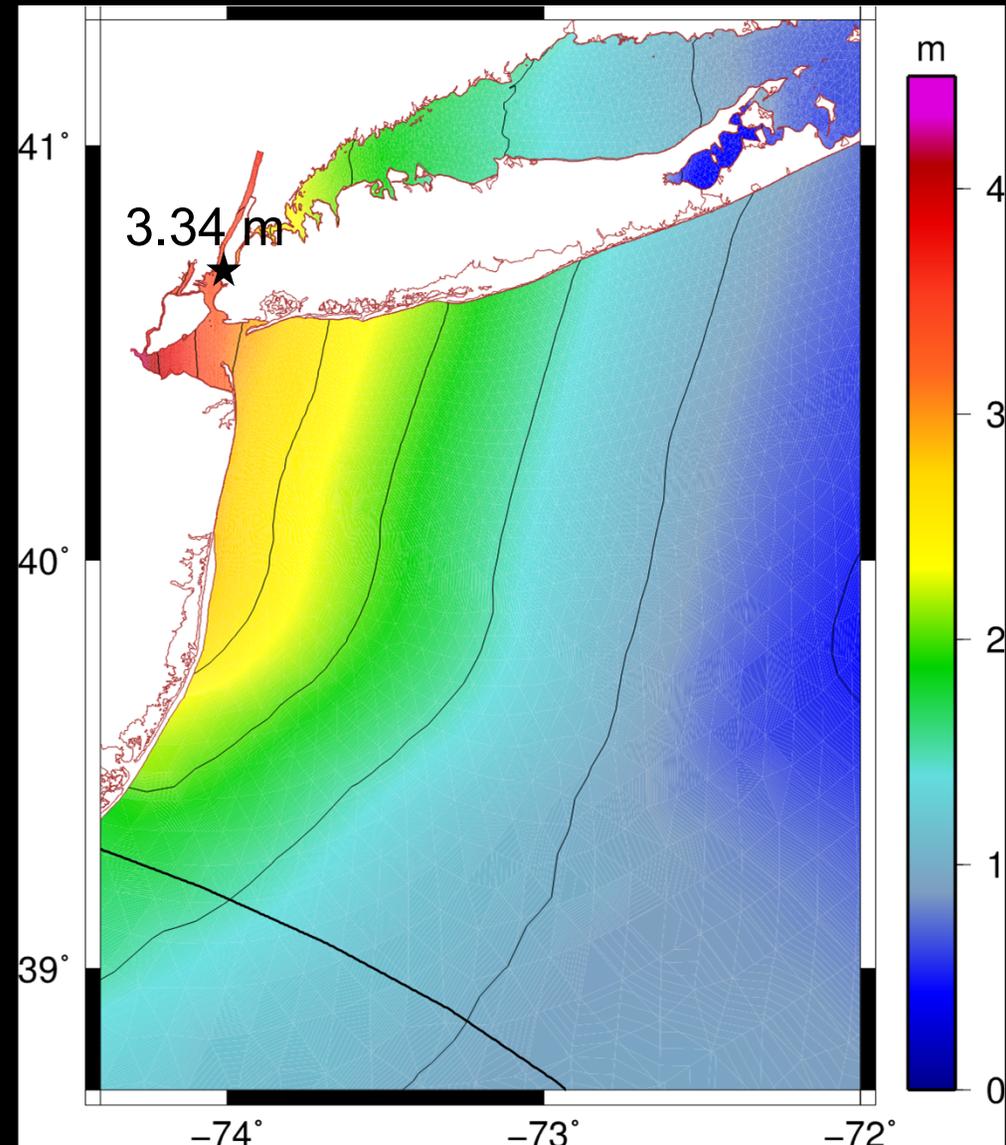


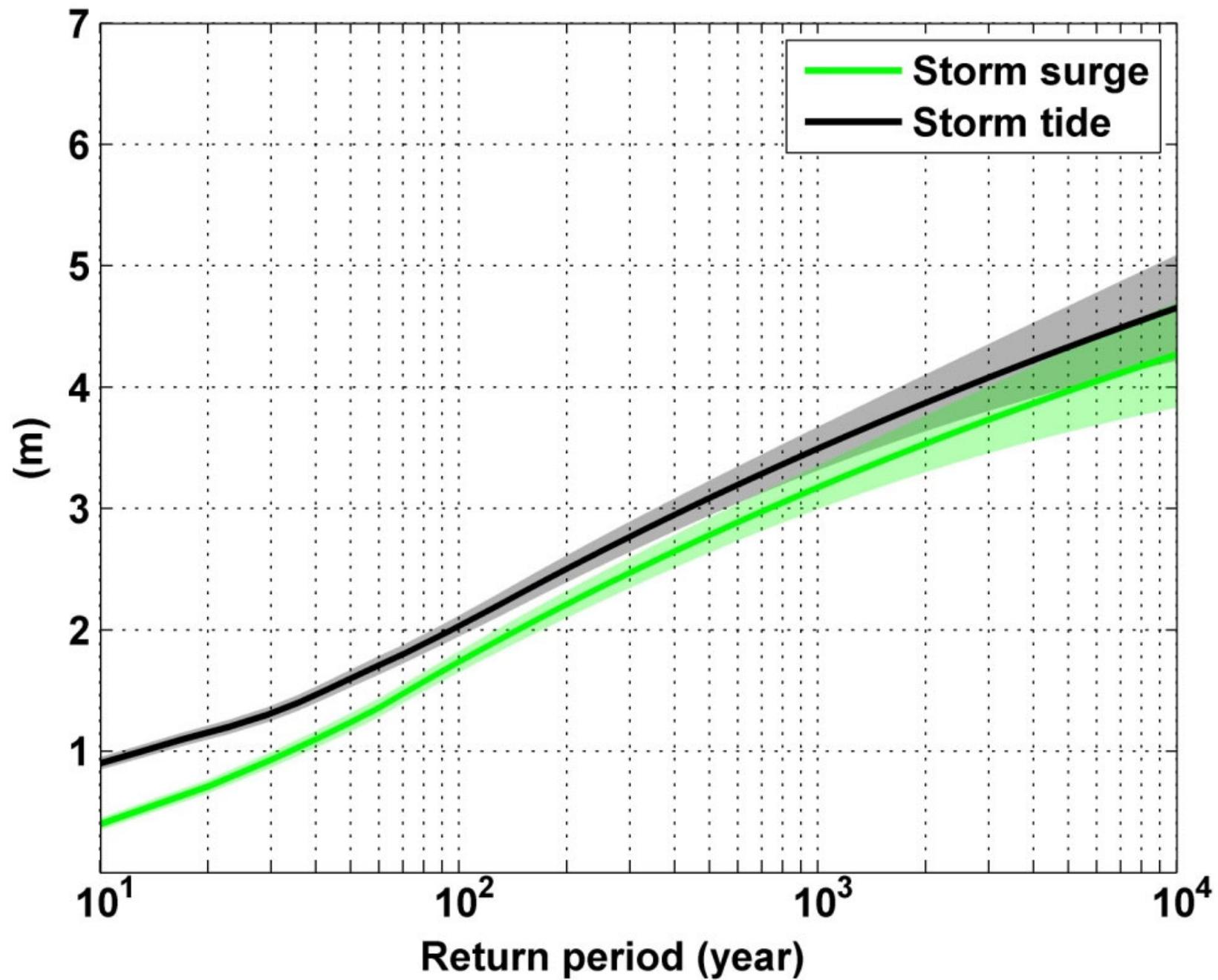
$V_m = 17 \text{ m/s}$, $P_c = 977 \text{ mb}$
 $R_m = 83 \text{ km}$, $U_t = 12 \text{ m/s}$, $ds = 9 \text{ km}$

Hurricane Sandy (2012) Hindcast



HWRF Forecast at 2012102818z



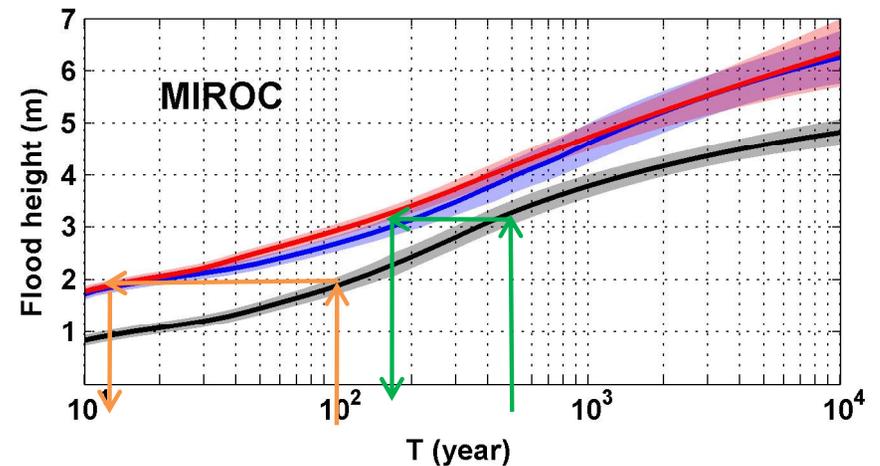
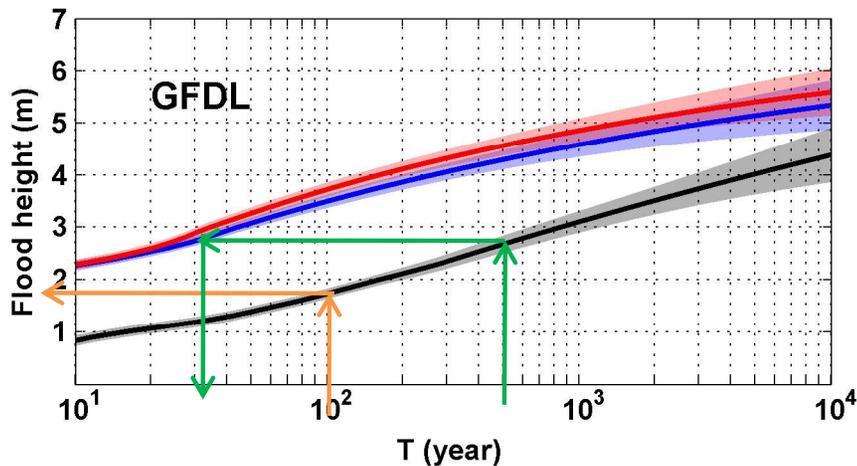
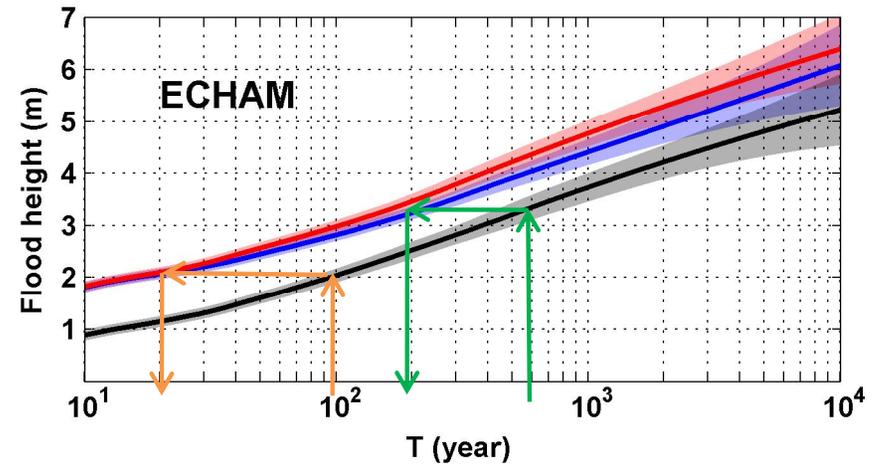
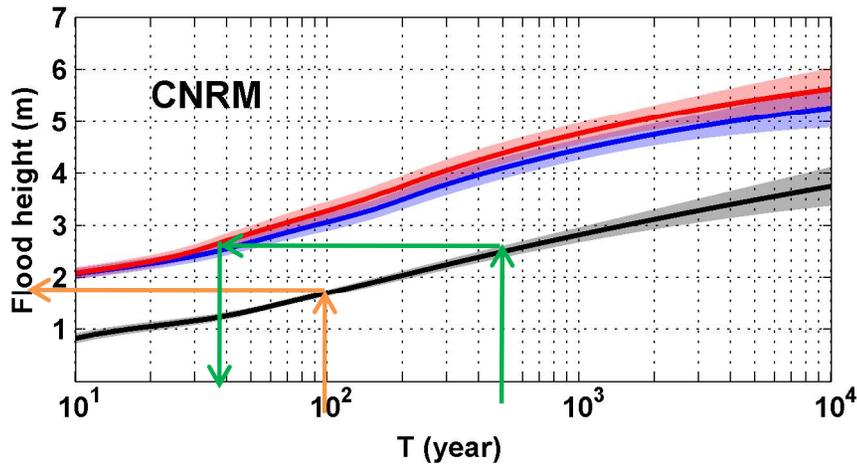


An aerial satellite-style photograph of a tropical cyclone, showing a dark, dense eye surrounded by a thick, swirling ring of white clouds. The surrounding ocean is a deep blue, and the horizon is visible in the distance. The text "Looking Ahead" is overlaid in a bold, blue, sans-serif font in the center of the image.

Looking Ahead

GCM flood height return level

(assuming SLR of 1 m for the future climate)

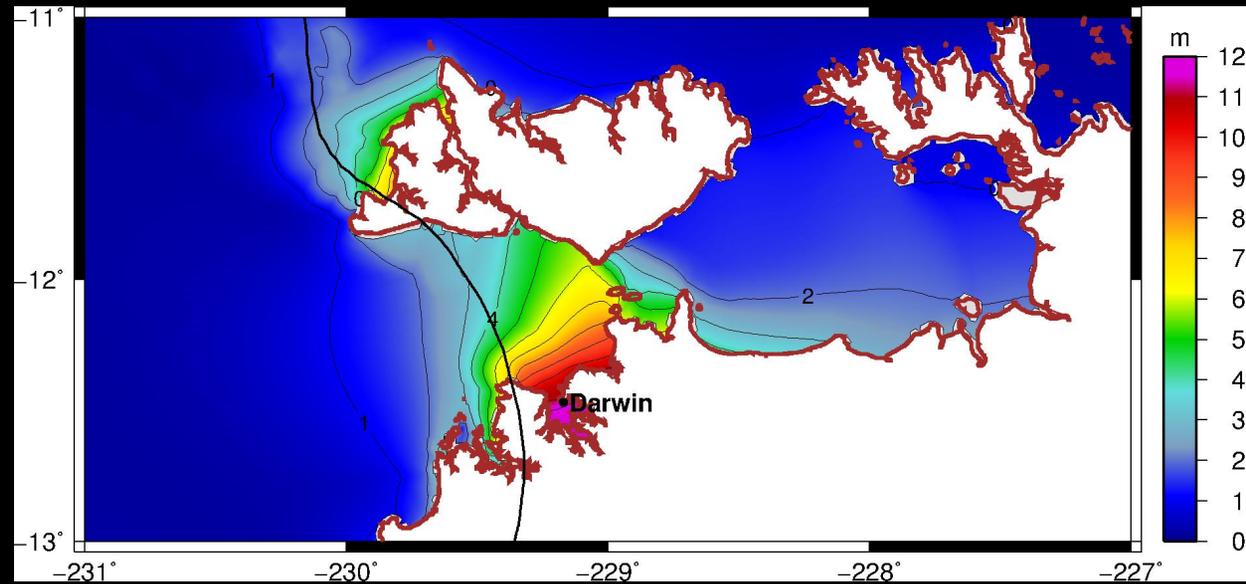
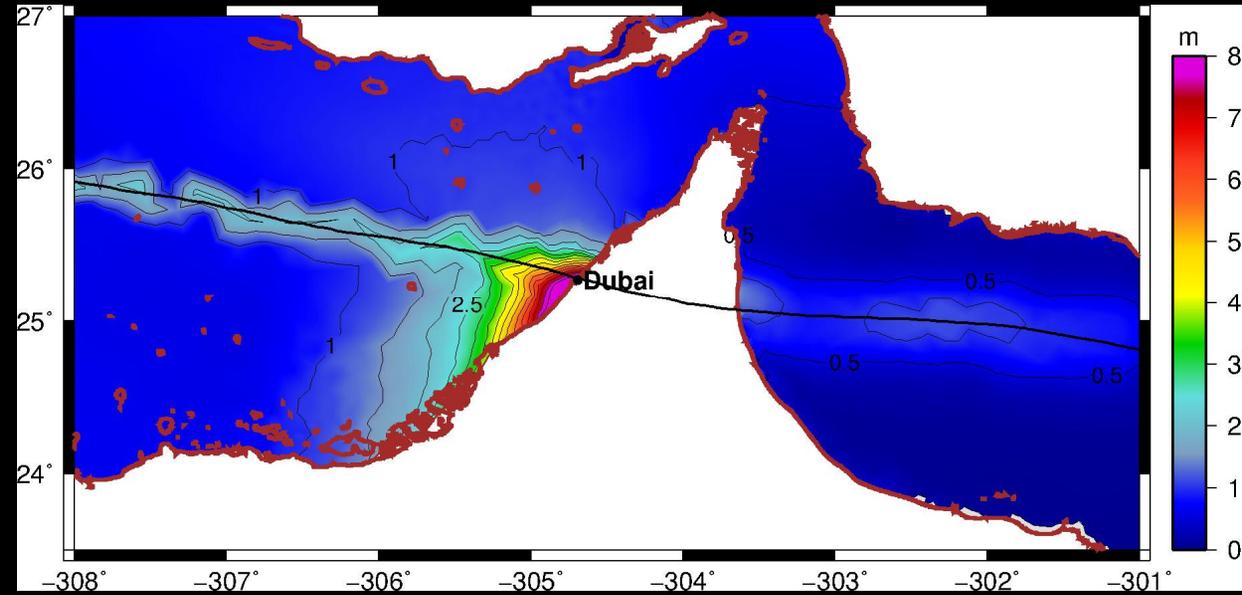
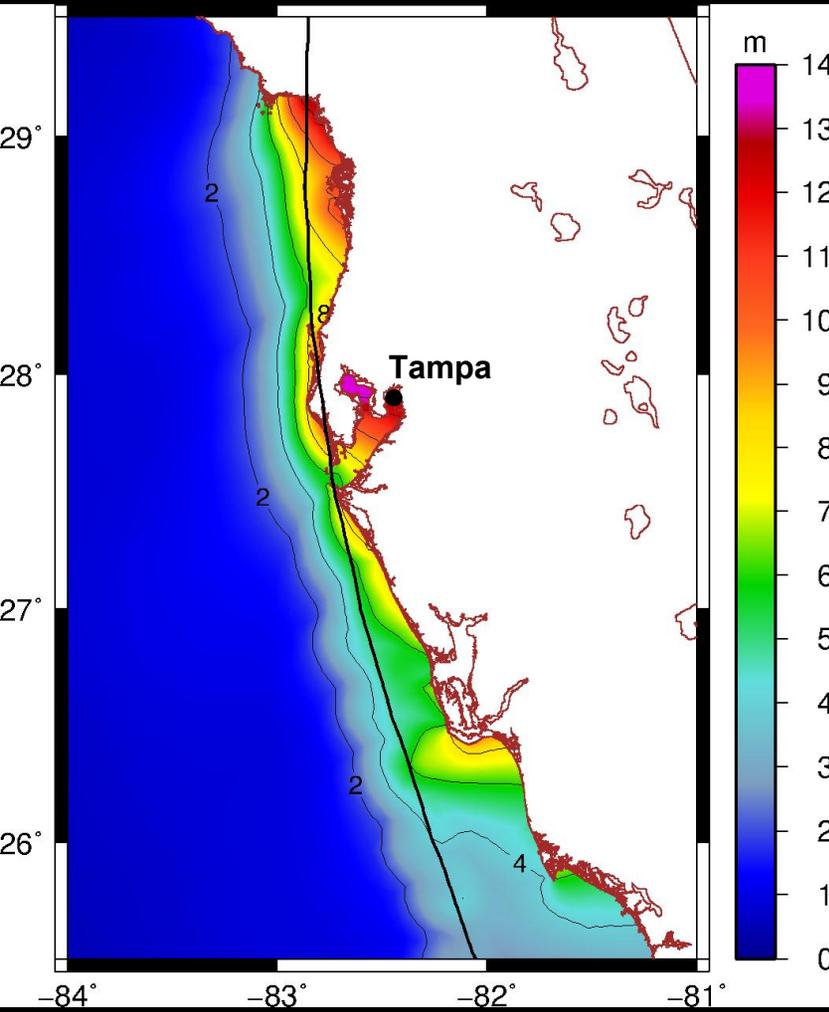


Black: Current climate (1981-2000)

Blue: A1B future climate (2081-2100)

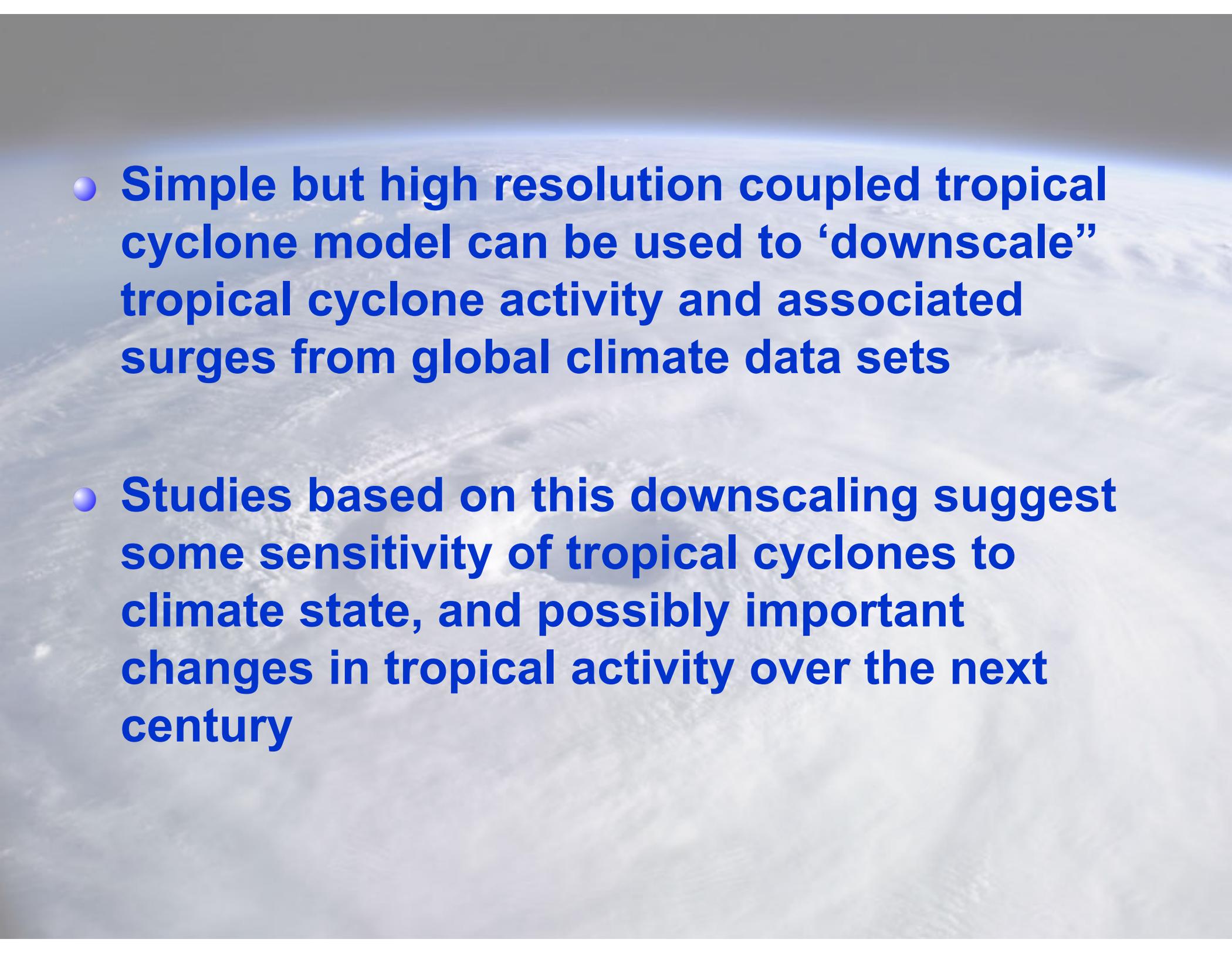
Red: A1B future climate (2081-2100) with R_0 increased by 10% and R_m increased by 21%

Black Swan Tropical Cyclones



Summary

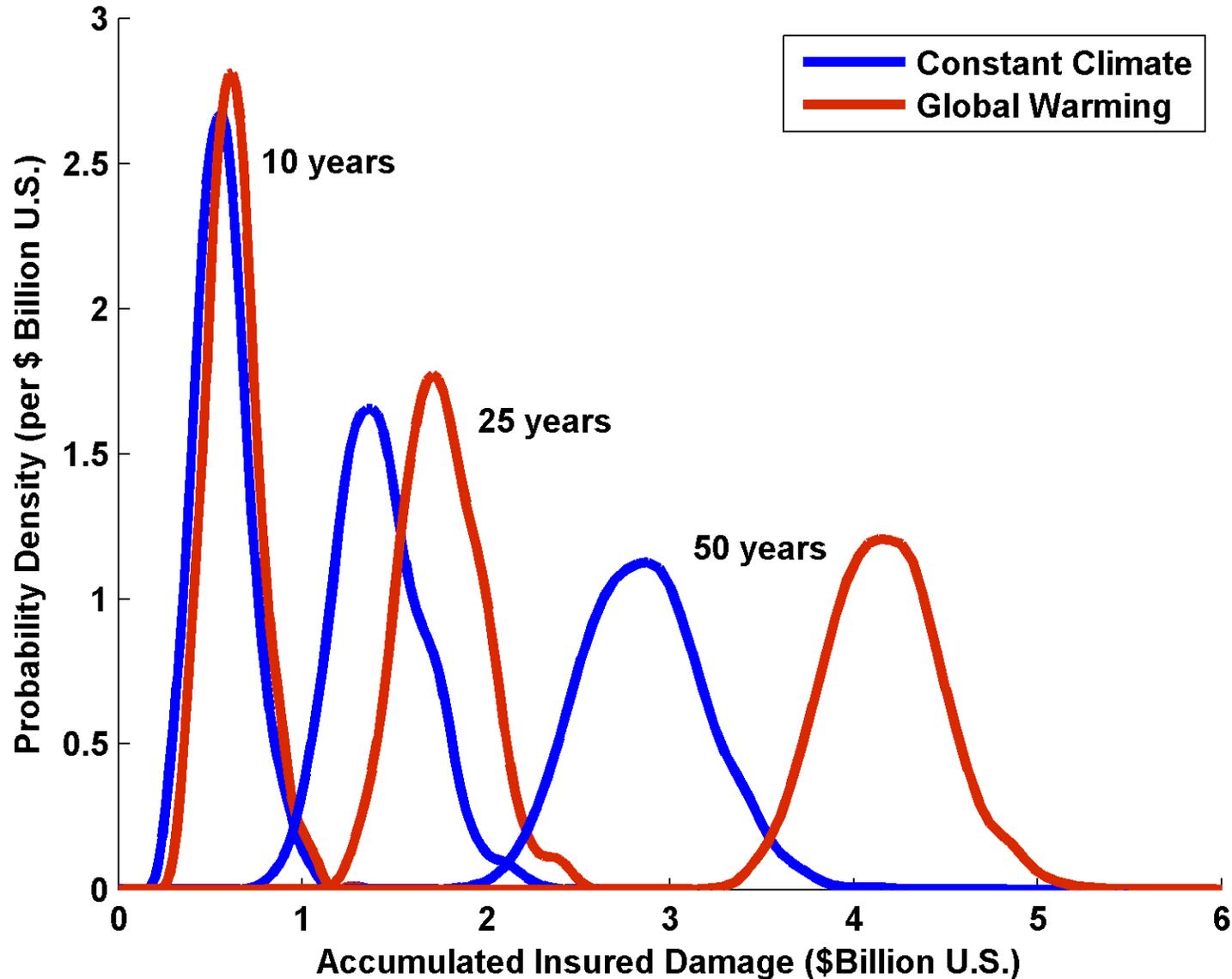
- **Historical records are in general too short to permit accurate estimates of surge risk**
- **Climate change also compromises estimates based strictly on historical records**

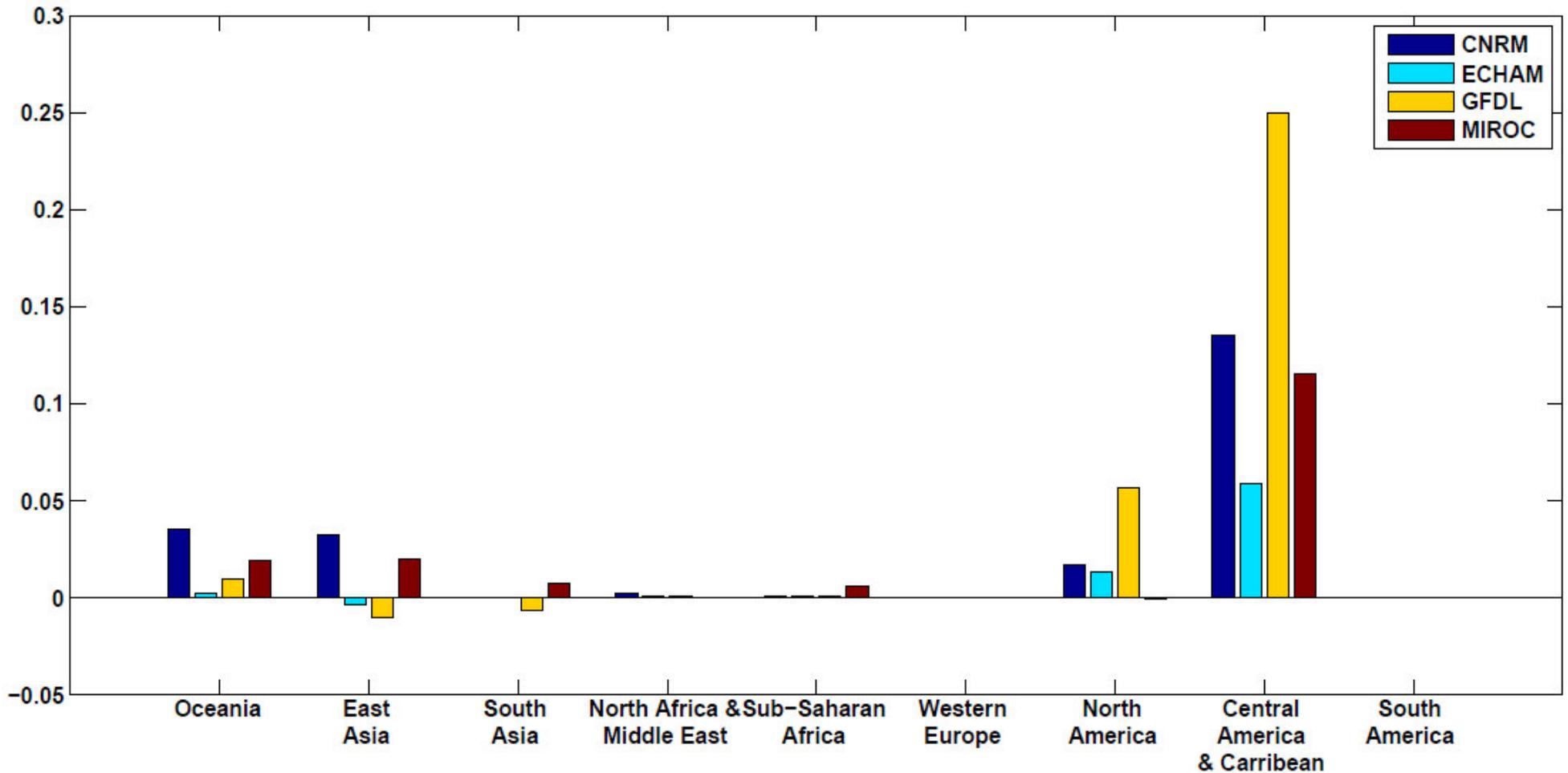
- 
- An aerial photograph of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a vast expanse of the ocean. The image is used as a background for the text.
- **Simple but high resolution coupled tropical cyclone model can be used to ‘downscale’ tropical cyclone activity and associated surges from global climate data sets**
 - **Studies based on this downscaling suggest some sensitivity of tropical cyclones to climate state, and possibly important changes in tropical activity over the next century**

- 
- An aerial photograph of a coastal city, likely New York City, showing a large body of water in the foreground and a dense urban area in the background. The water is a light blue-grey color, and the city is a mix of grey and brown tones. The sky is a pale blue. The text is overlaid on the image in a bold, blue font.
- **The 500 year flooding event in New York City is expected to occur every 25-240 years as a result of sea level rise and increased incidence of intense tropical cyclones**
 - **New York City is also susceptible to winter storm- and hybrid storm-induced surges. More work needs to be done to estimate risks from such events**

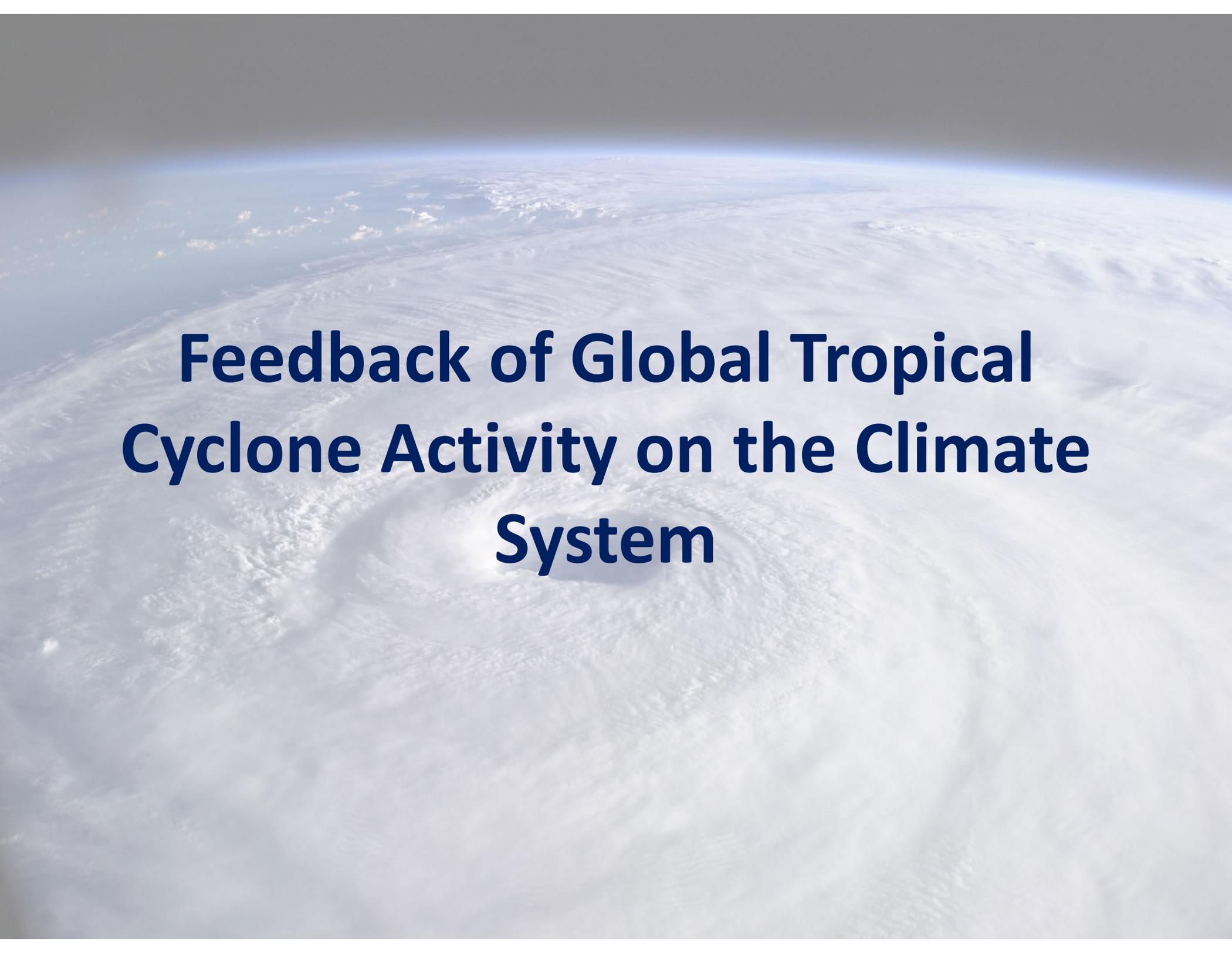
Projections of U.S. Insured Damage

GFDL CM2.0

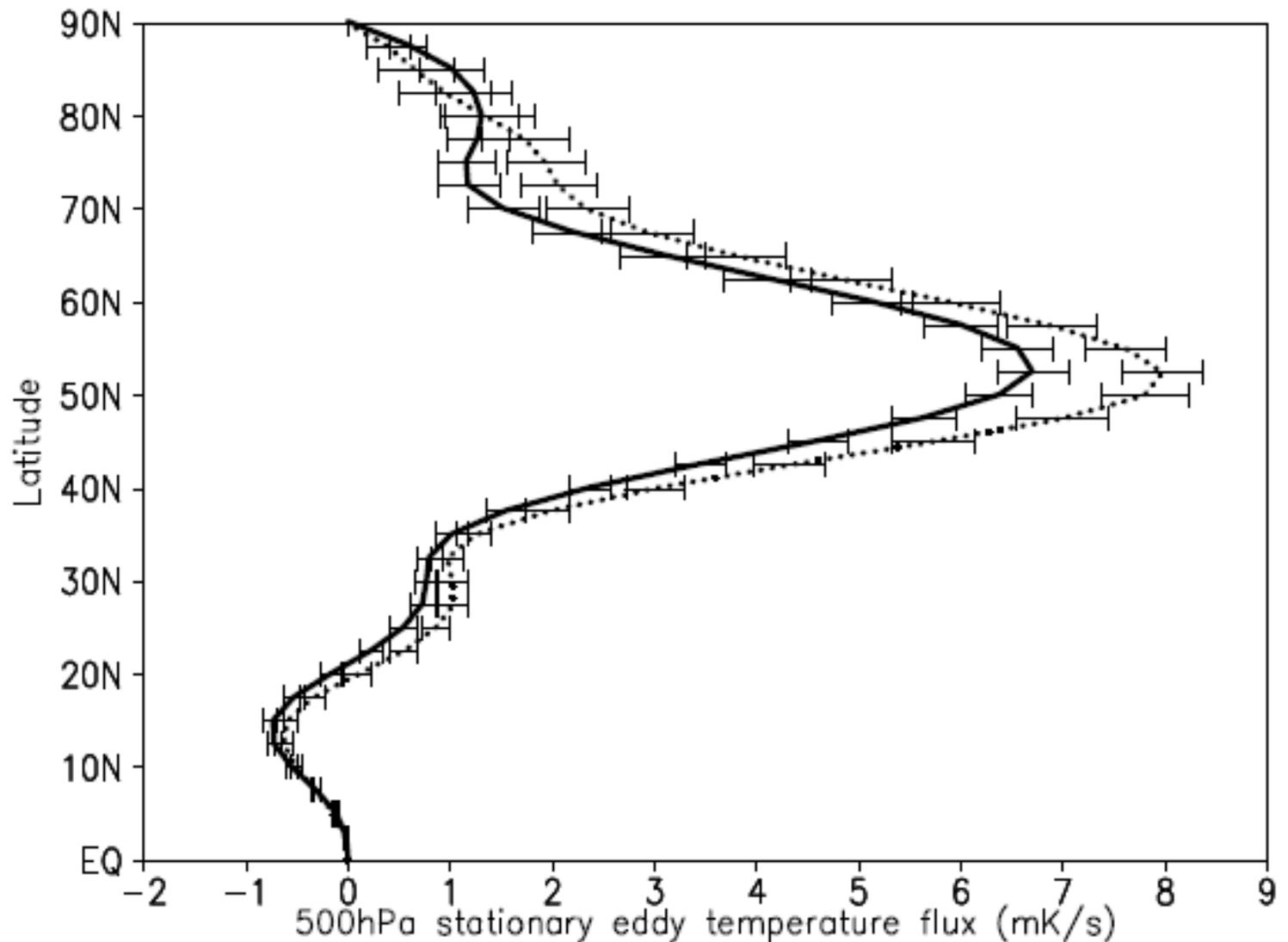




Climate change impacts on tropical cyclone damage divided by GDP by region in 2100. The ratio of damage to GDP is highest in the Caribbean–Central American region but North America, Oceania and East Asia all have above-average ratios.

A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a vast expanse of the ocean. The cyclone is the central focus, with its eye appearing as a bright white circle in the lower-middle part of the frame. The surrounding cloud bands are dense and spiral outwards, covering most of the visible ocean surface. The horizon of the Earth is visible at the top of the image, with a thin blue line representing the atmosphere.

Feedback of Global Tropical Cyclone Activity on the Climate System

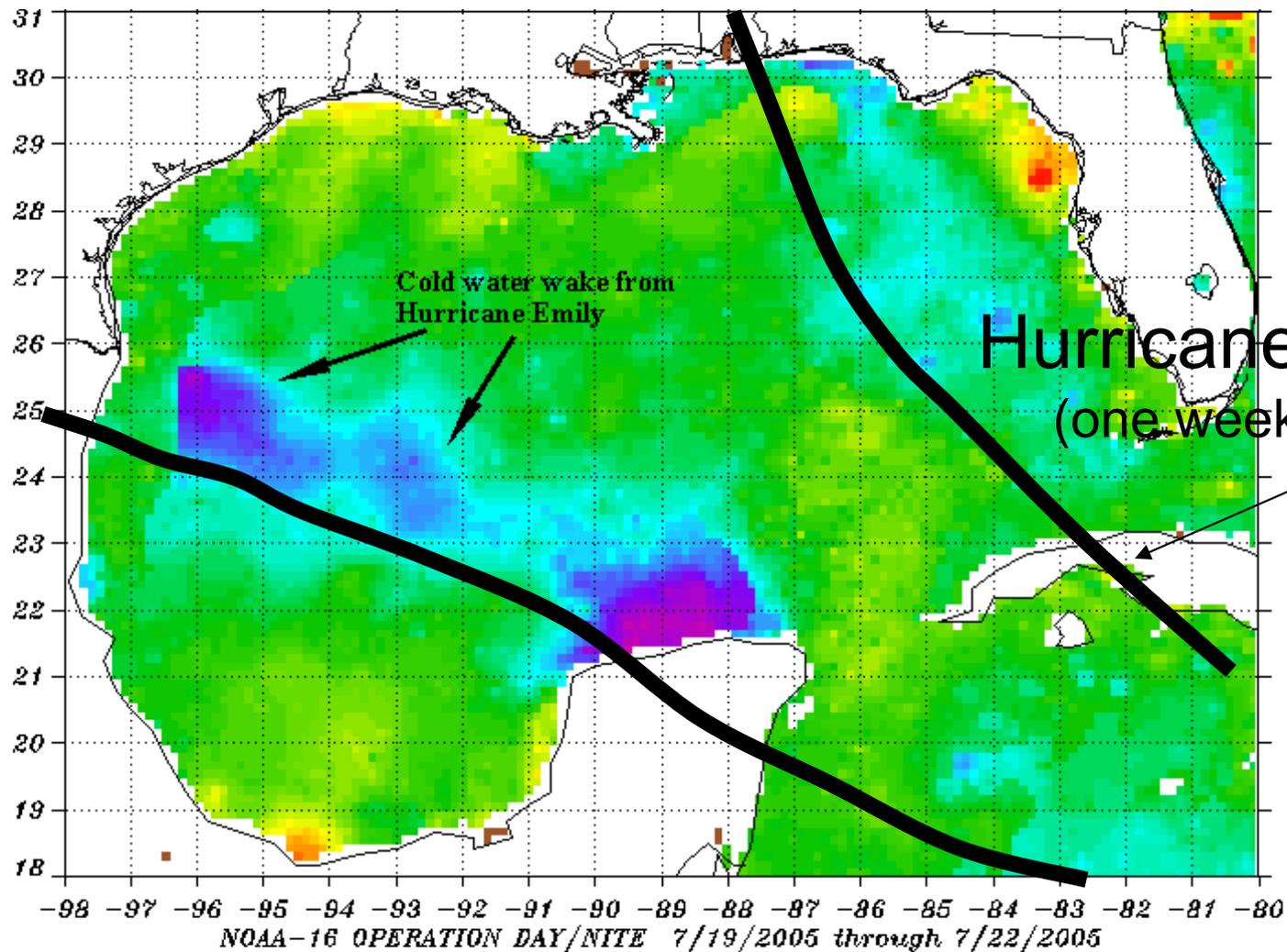


Hart, 2010

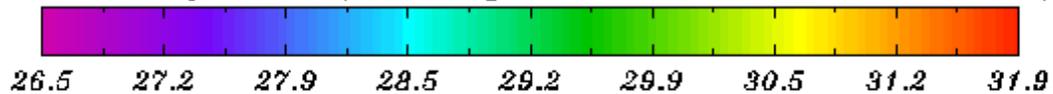
500hPa zonal mean meridional temperature flux (mK/s) of the stationary eddies for January through March. The dotted (solid) curve represents the composite mean of the winters following inactive (active) northern hemisphere TC seasons. Error bars represent the standard error of the mean for datasets of size varying from N=9 to 13. Flux calculated using NCAR/NCEP reanalysis for the period 1960-2008

The wake of Hurricane Emily (July 2005)

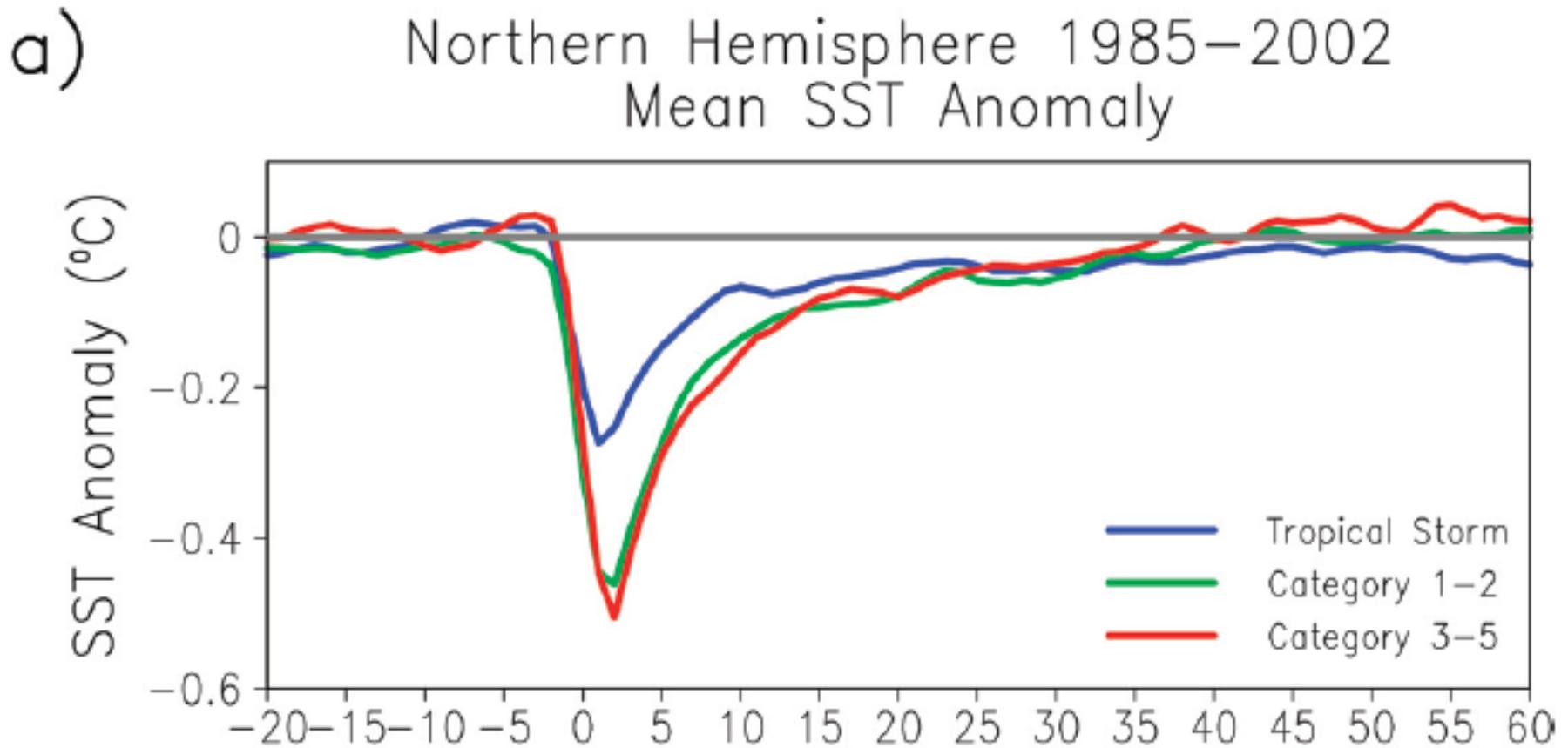
Sea Surface Temperature
in the Wakes
of Hurricanes



SST in degrees C (brown pixels are old and unreliable)



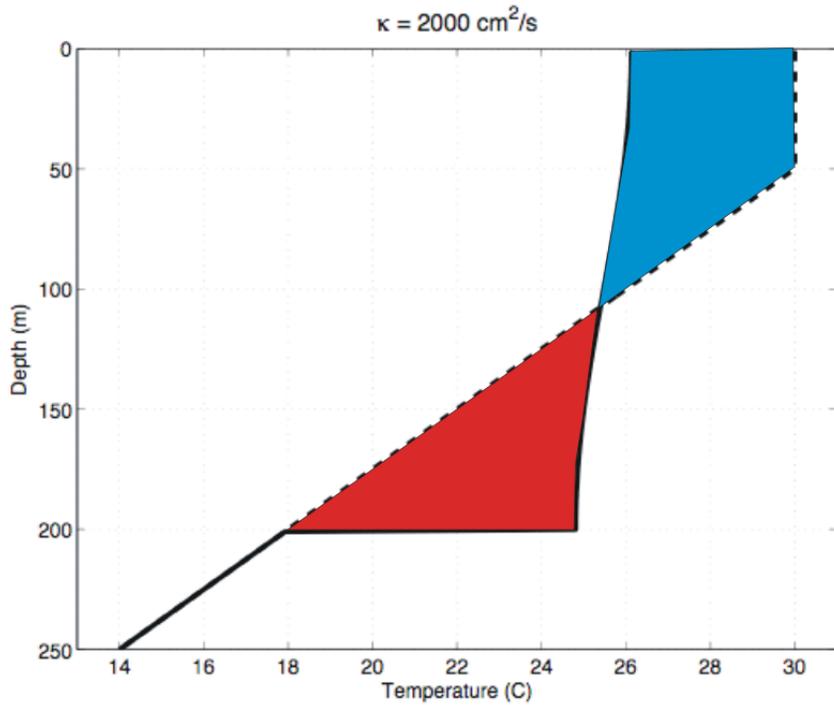
Wake Recovery



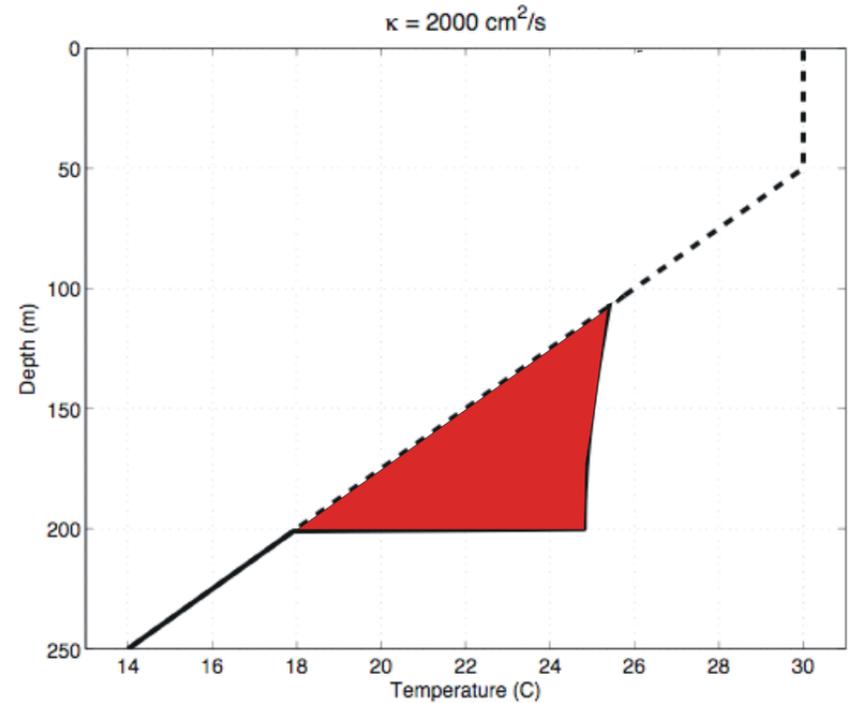
Hart, Maue, and Watson, *Mon. Wea. Rev.*, 2007

Direct mixing by tropical cyclones

Stage 1:
Enthalpy-conserving mixing



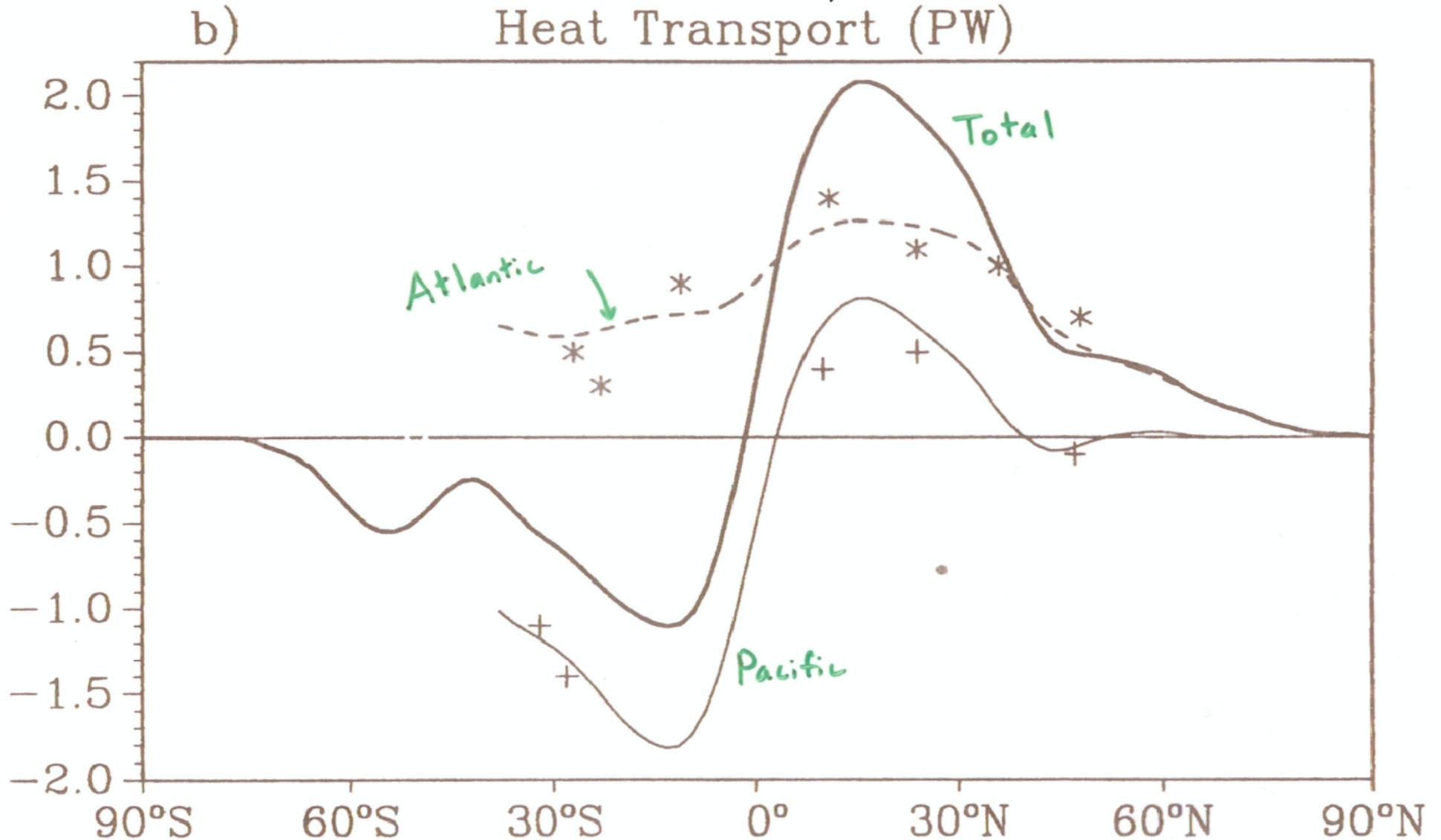
Stage 2:
Wake recovery

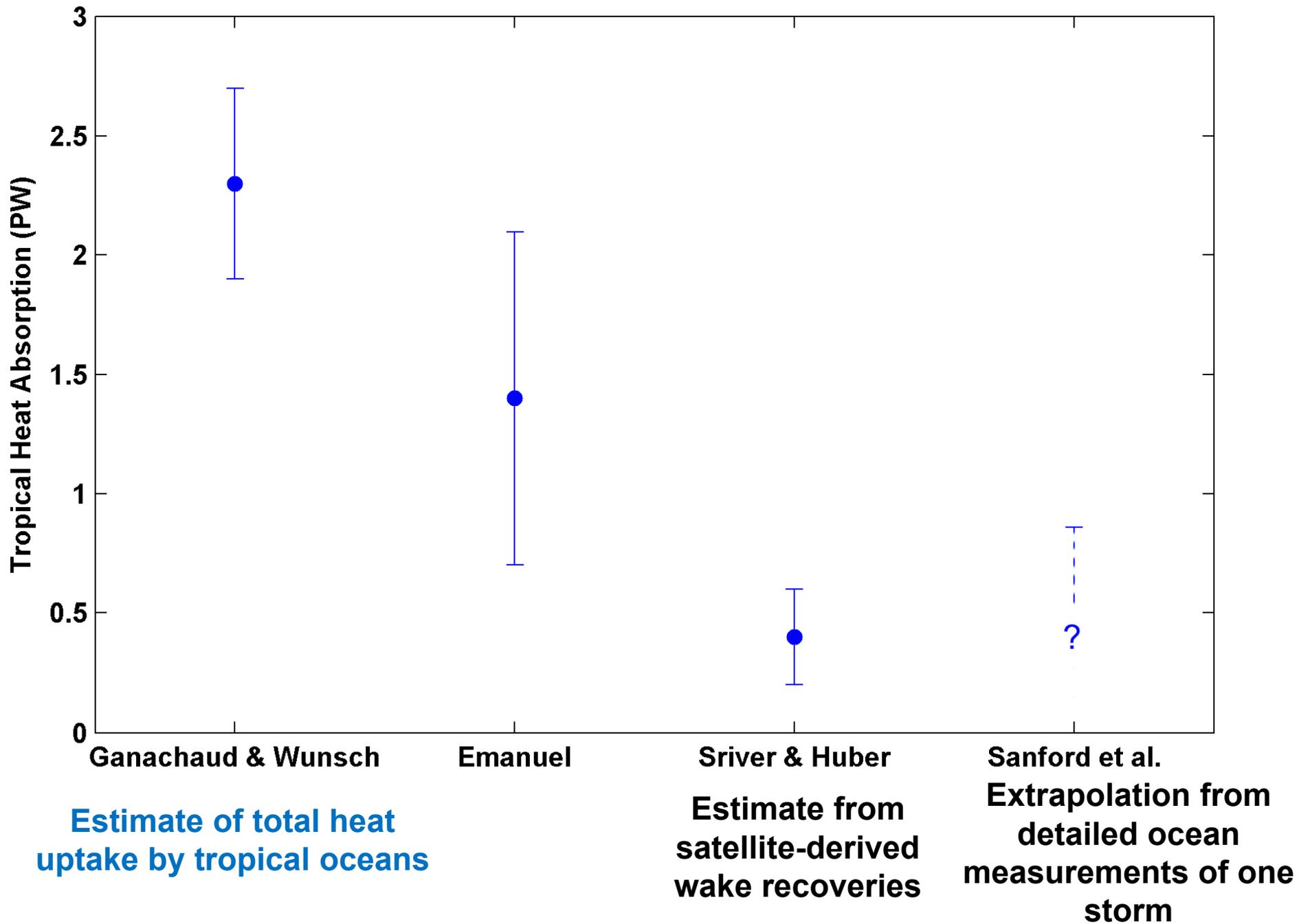


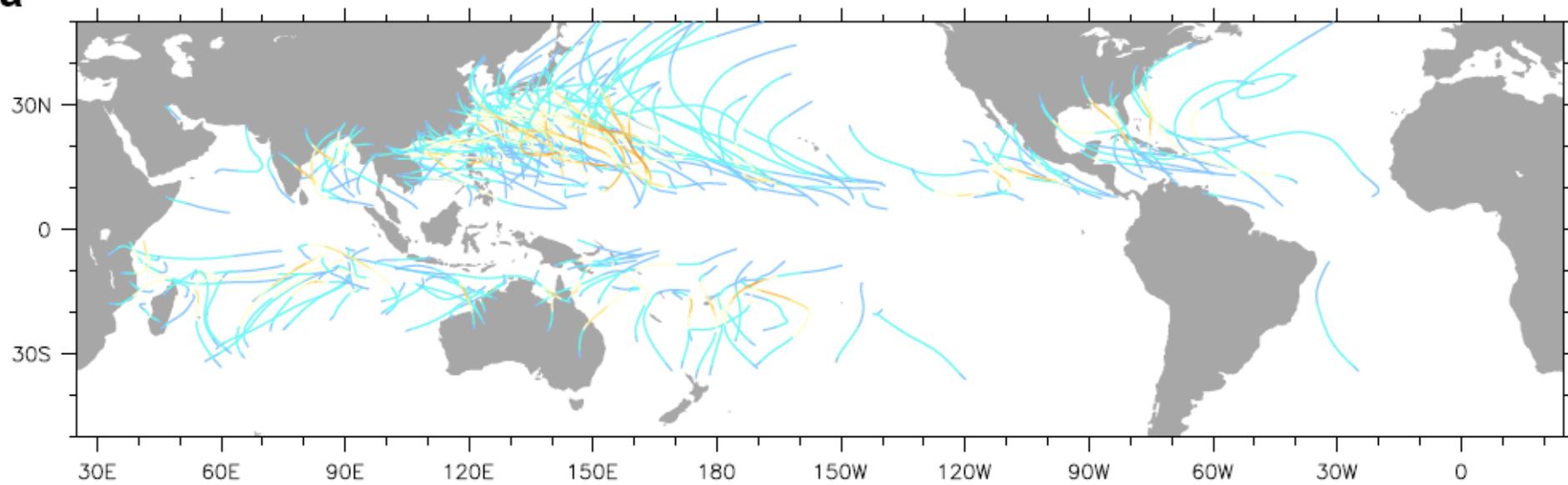
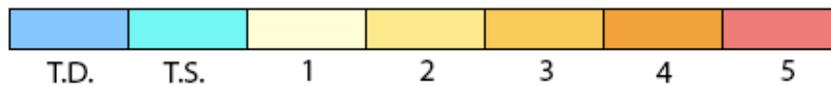
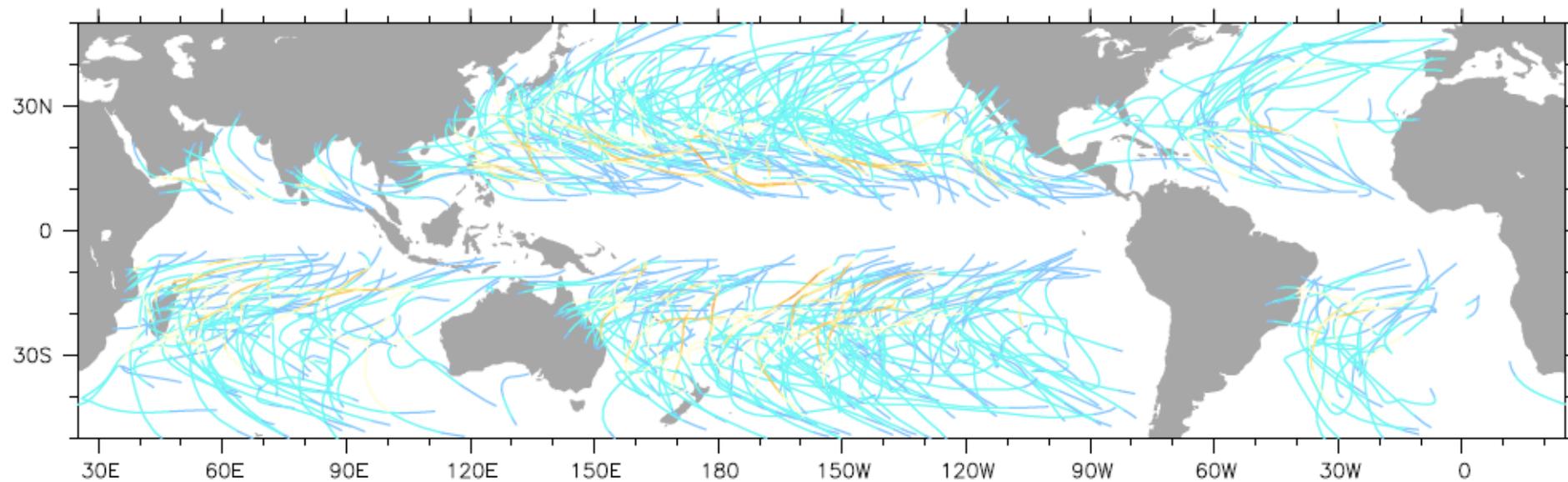
Emanuel (2001) estimated global rate of heat input as 1.4×10^{15} Watts

TC Mixing May Induce Much or Most of the Observed Poleward Heat Flux by the Oceans

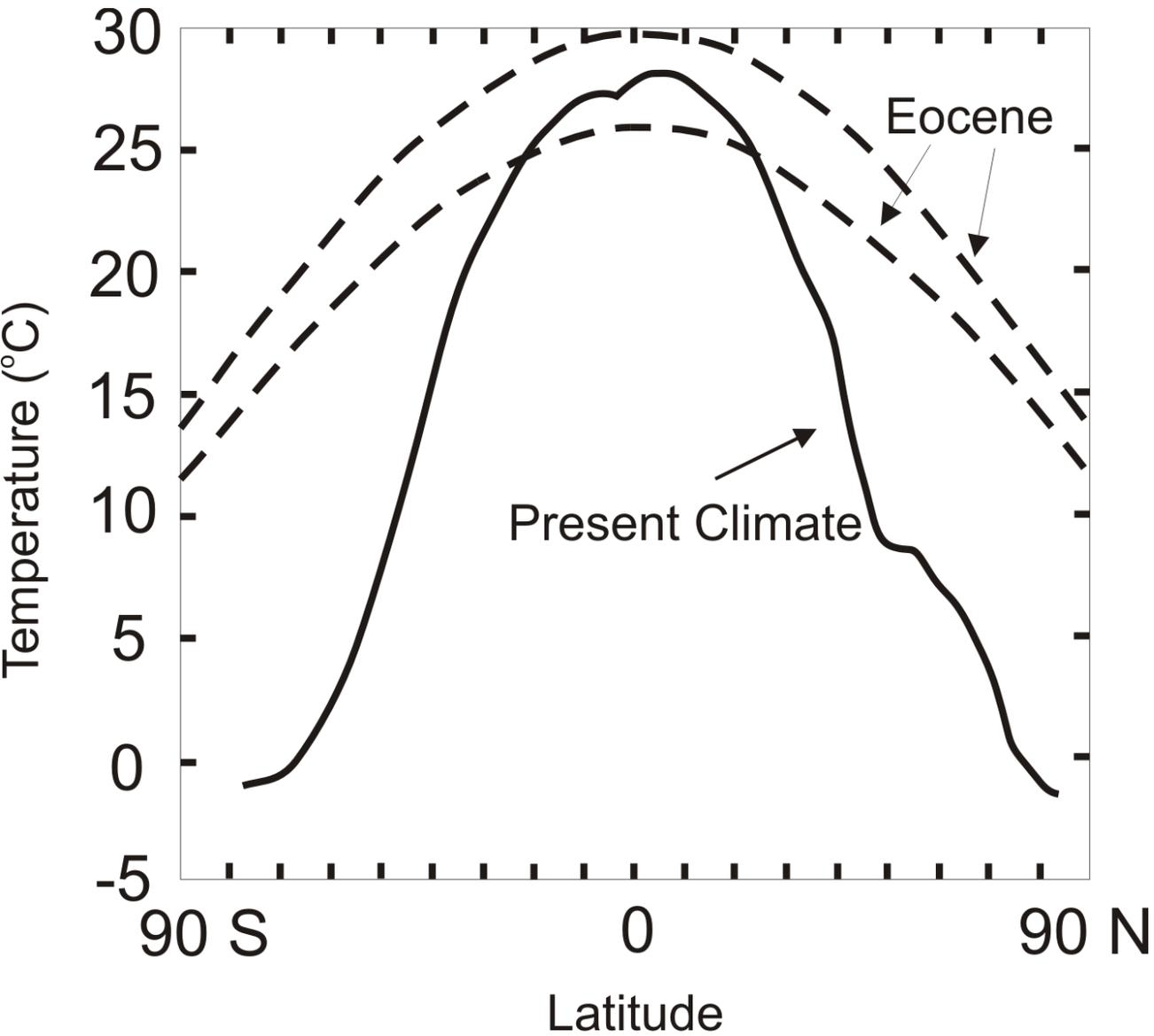
Trenberth et al., 2001
Heat Transport (PW)





a**Present-day TC tracks****b****Pliocene TC tracks**

TC-Mixing may be Crucial for High-Latitude Warmth and Low-Latitude Moderation During Warm Climates, such as that of the Eocene



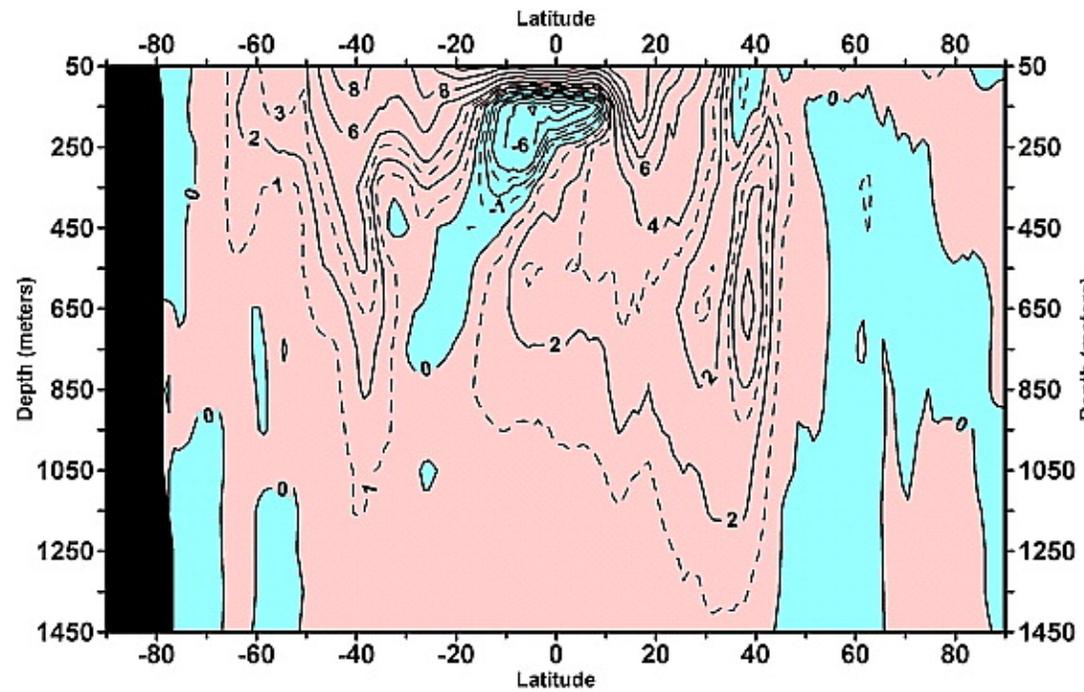
Depiction of central North America, ~60 million years ago

Our future?



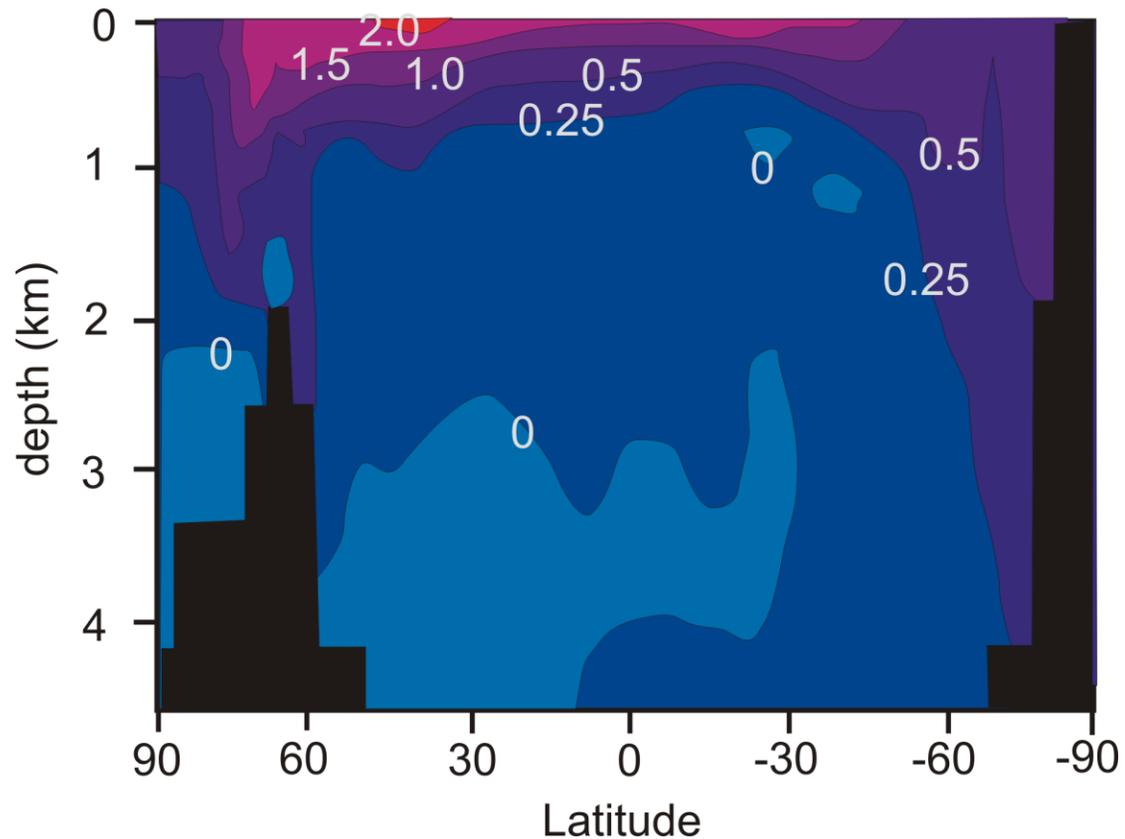
Figure courtesy of Rob Korty, CalTech

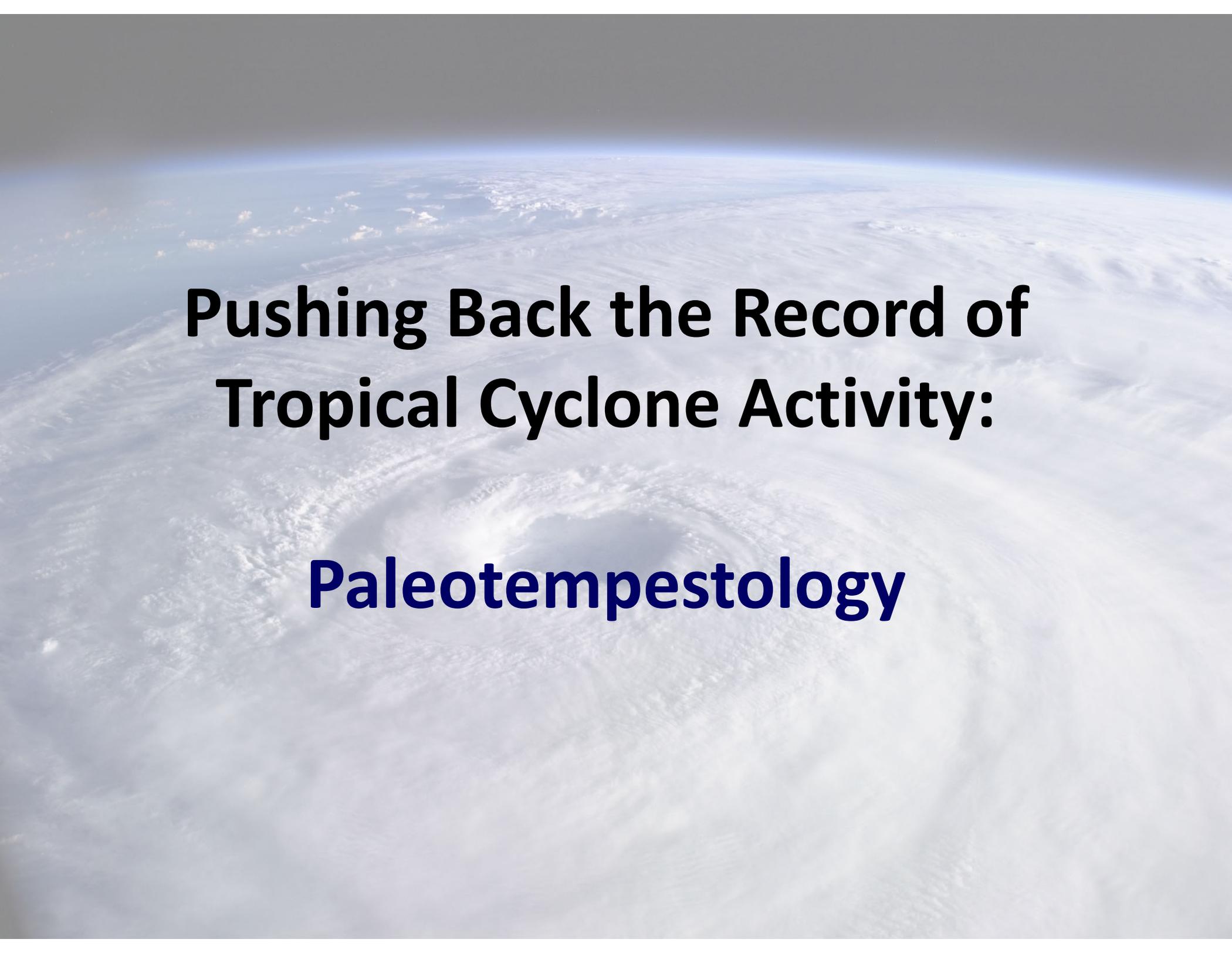
Linear trend (1955–2003) of the zonally integrated heat content of the world ocean by one-degree latitude belts for 100-m thick layers. Source: Levitus et al., 2005



TC-Mixing may explain difference between observed and modeled ocean warming

Zonally averaged temperature trend due to global warming in a coupled climate model. Source: Manabe et al, 1991

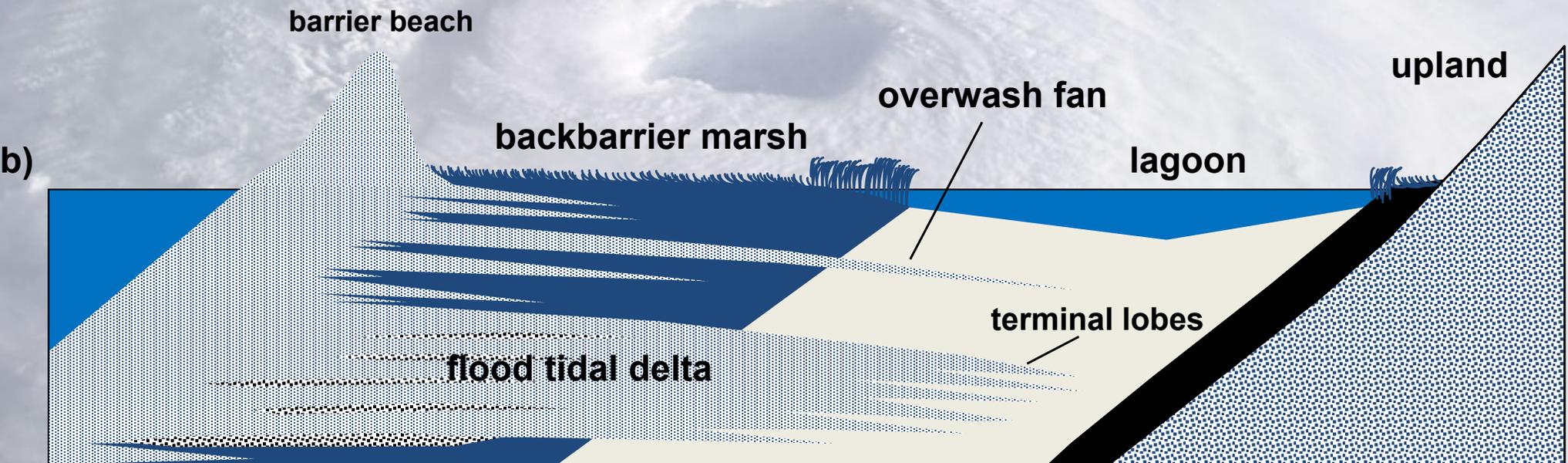
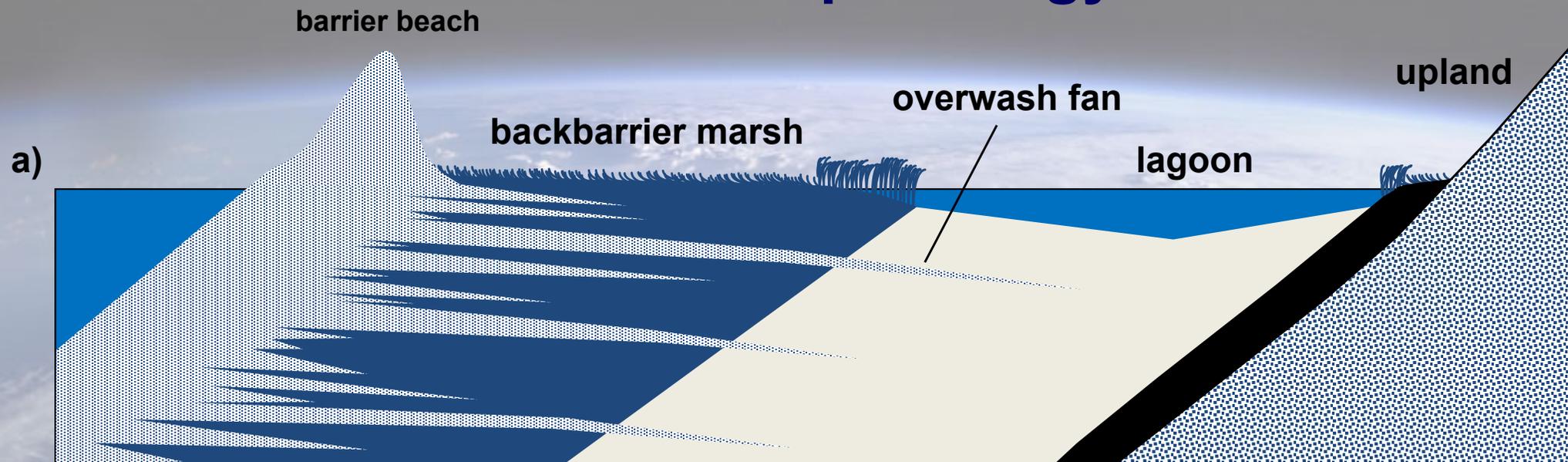


A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over the ocean. The text is overlaid on the image.

**Pushing Back the Record of
Tropical Cyclone Activity:**

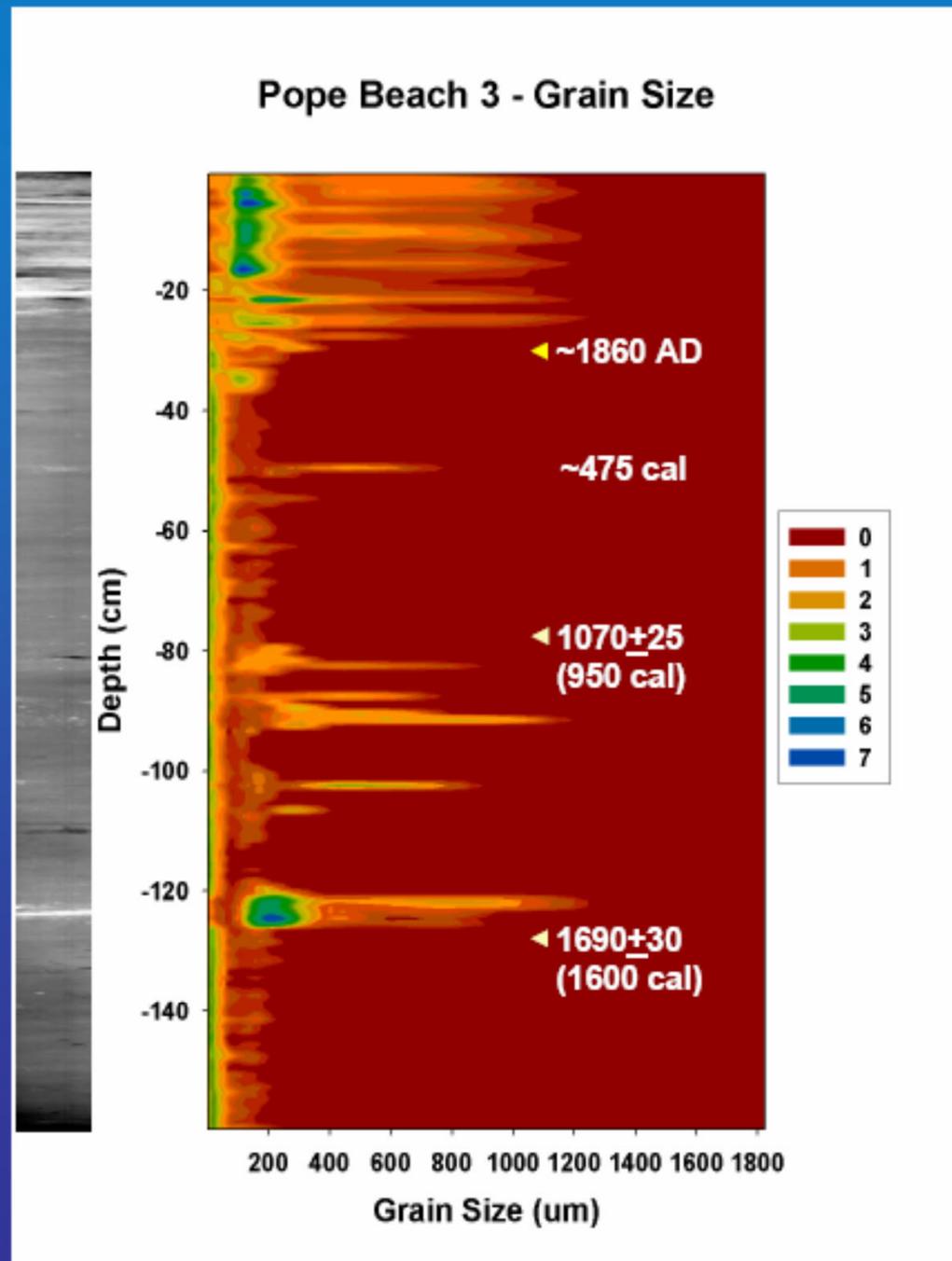
Paleotempestology

Paleotempestology



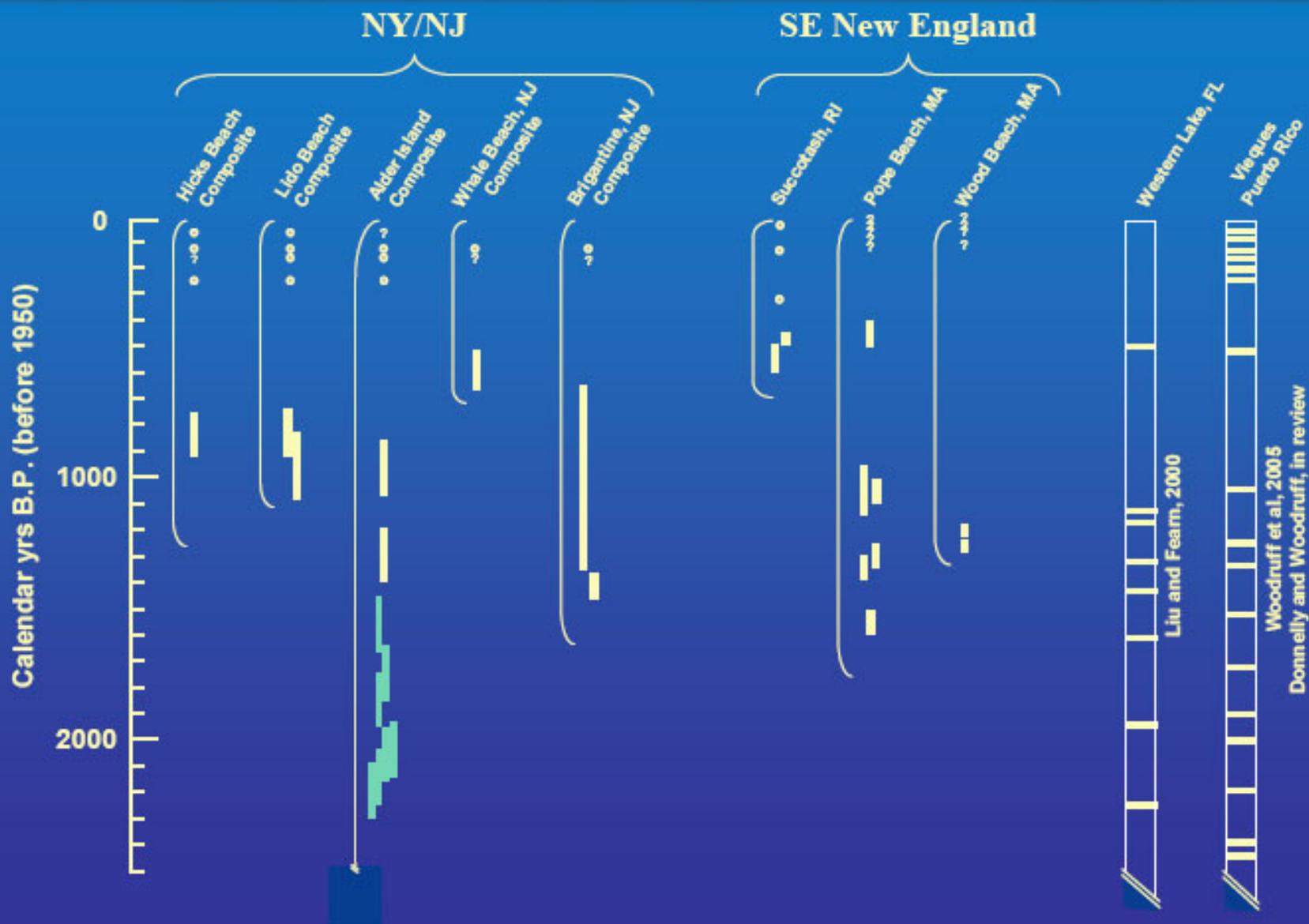
Source: Jeff Donnelly, WHOI

Pope Beach Marsh, Fairhaven, MA

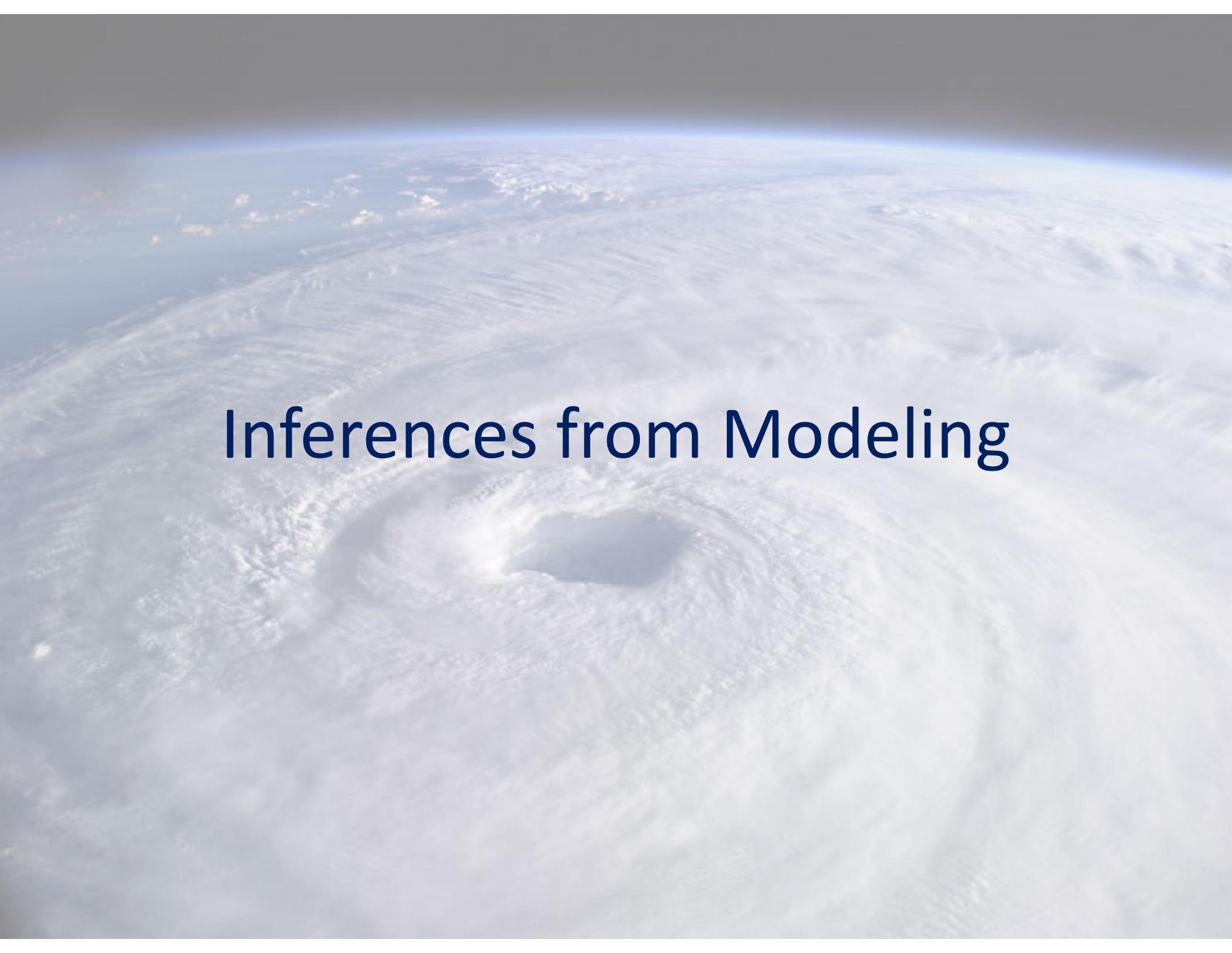


Source: Jeff Donnelly, Jon Woodruff,
Phil Lane; WHOI

North Atlantic Synthesis



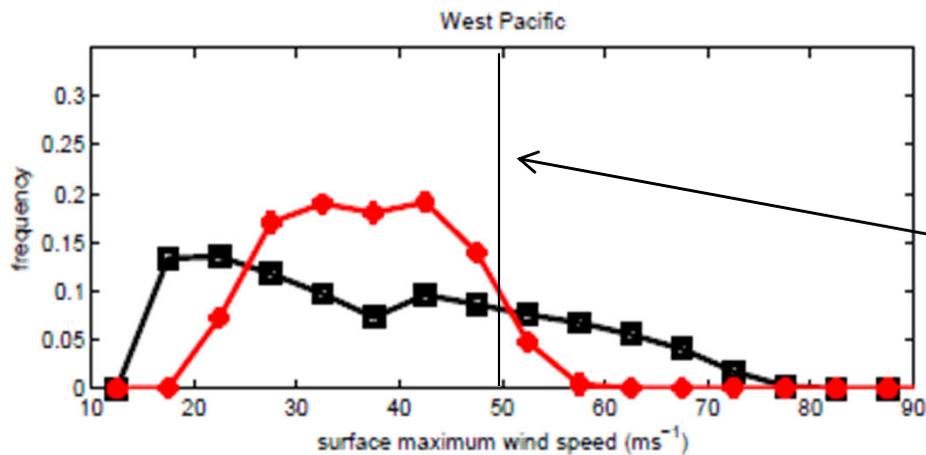
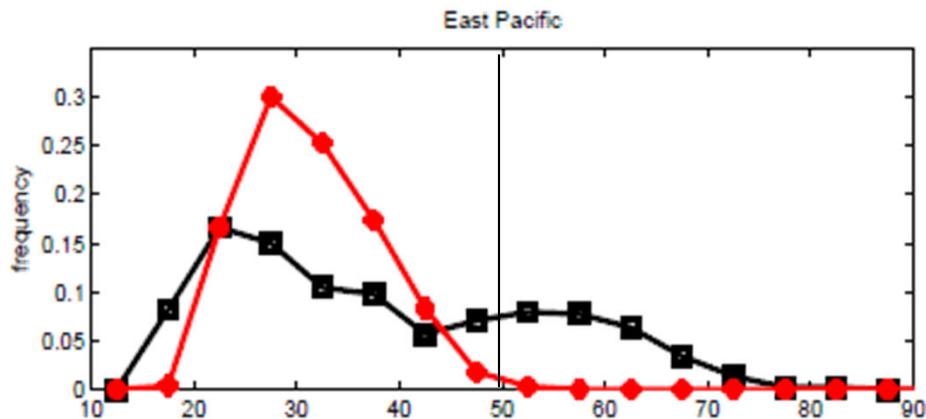
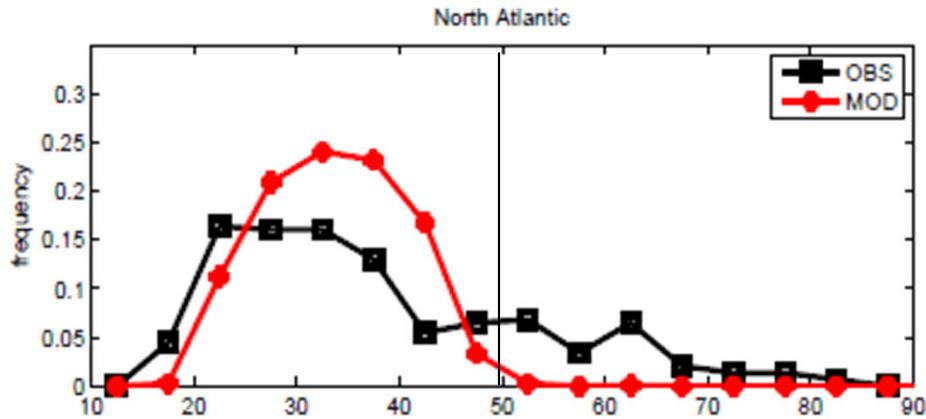
Source: Jeff Donnelly, Jon Woodruff, Phil Lane; WHOI



Inferences from Modeling

The Problem:

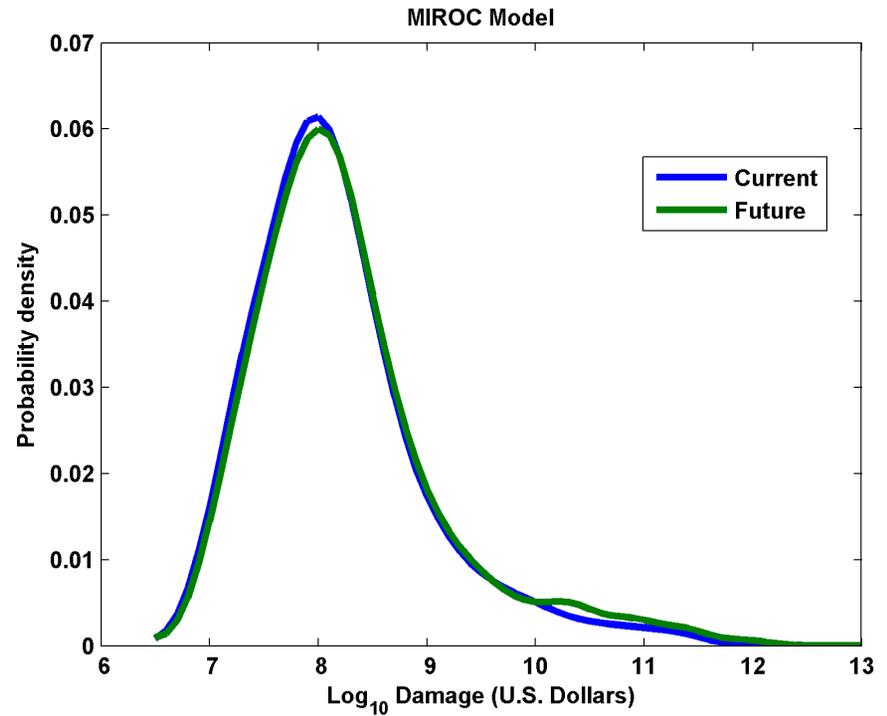
- **Global models are far too coarse to simulate high intensity tropical cyclones**
- **Embedding regional models within global models introduces problems stemming from incompatibility of models, and even regional models are usually too coarse**



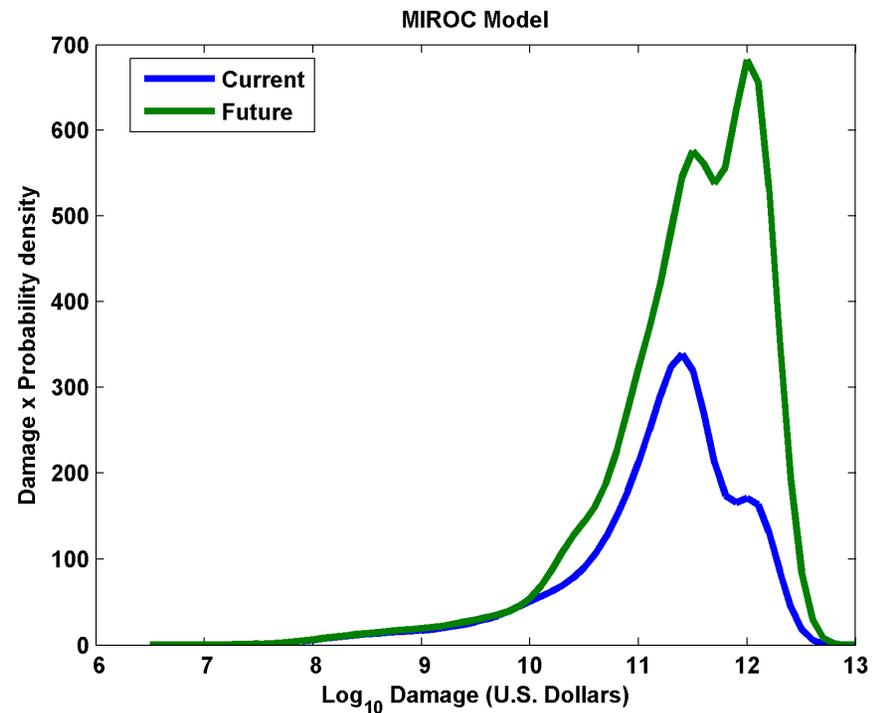
Histograms of Tropical Cyclone Intensity as Simulated by a Global Model with 50 km grid point spacing. (Courtesy Isaac Held, GFDL)

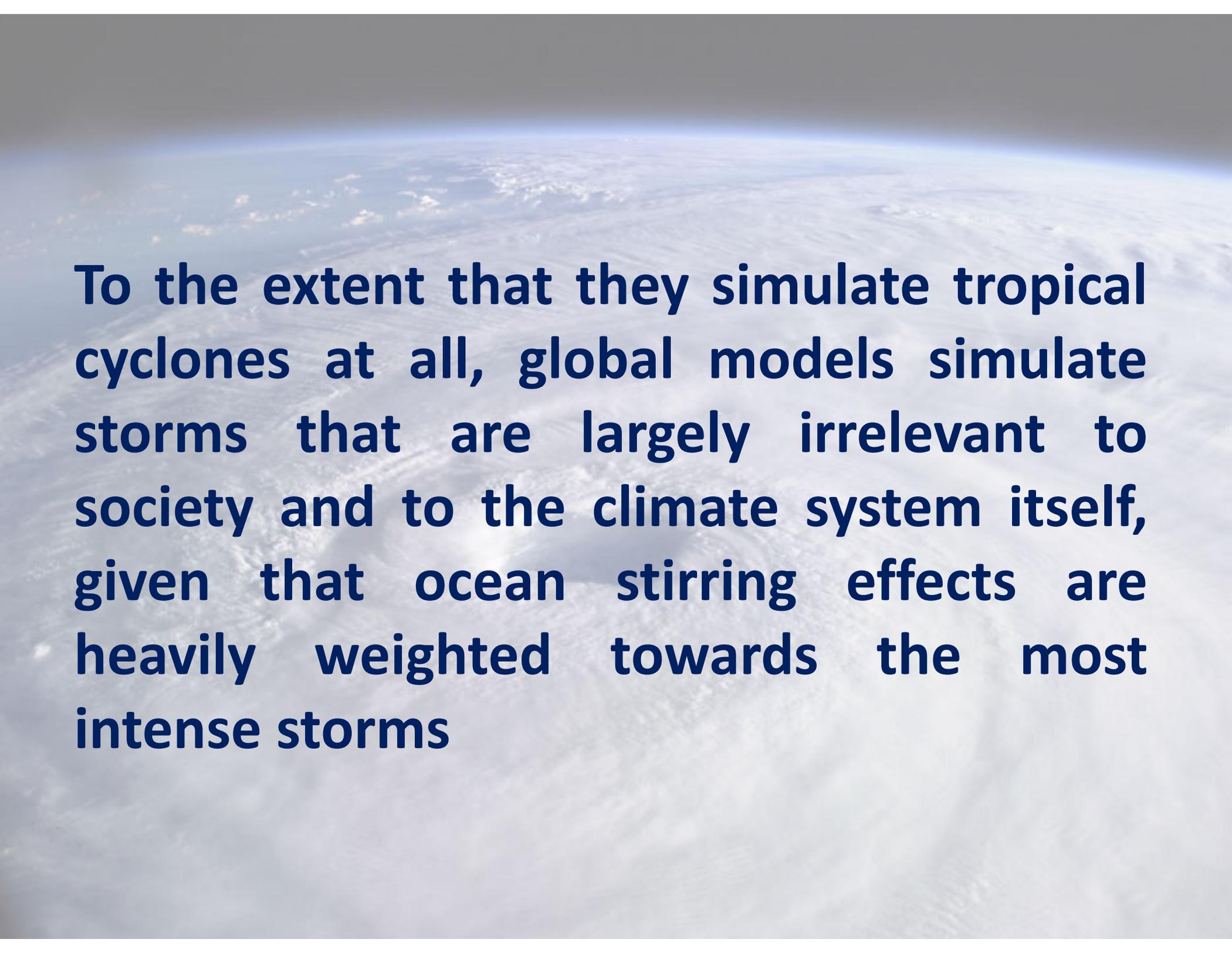
Category 3

Probability Density of TC Damage, U.S. East Coast



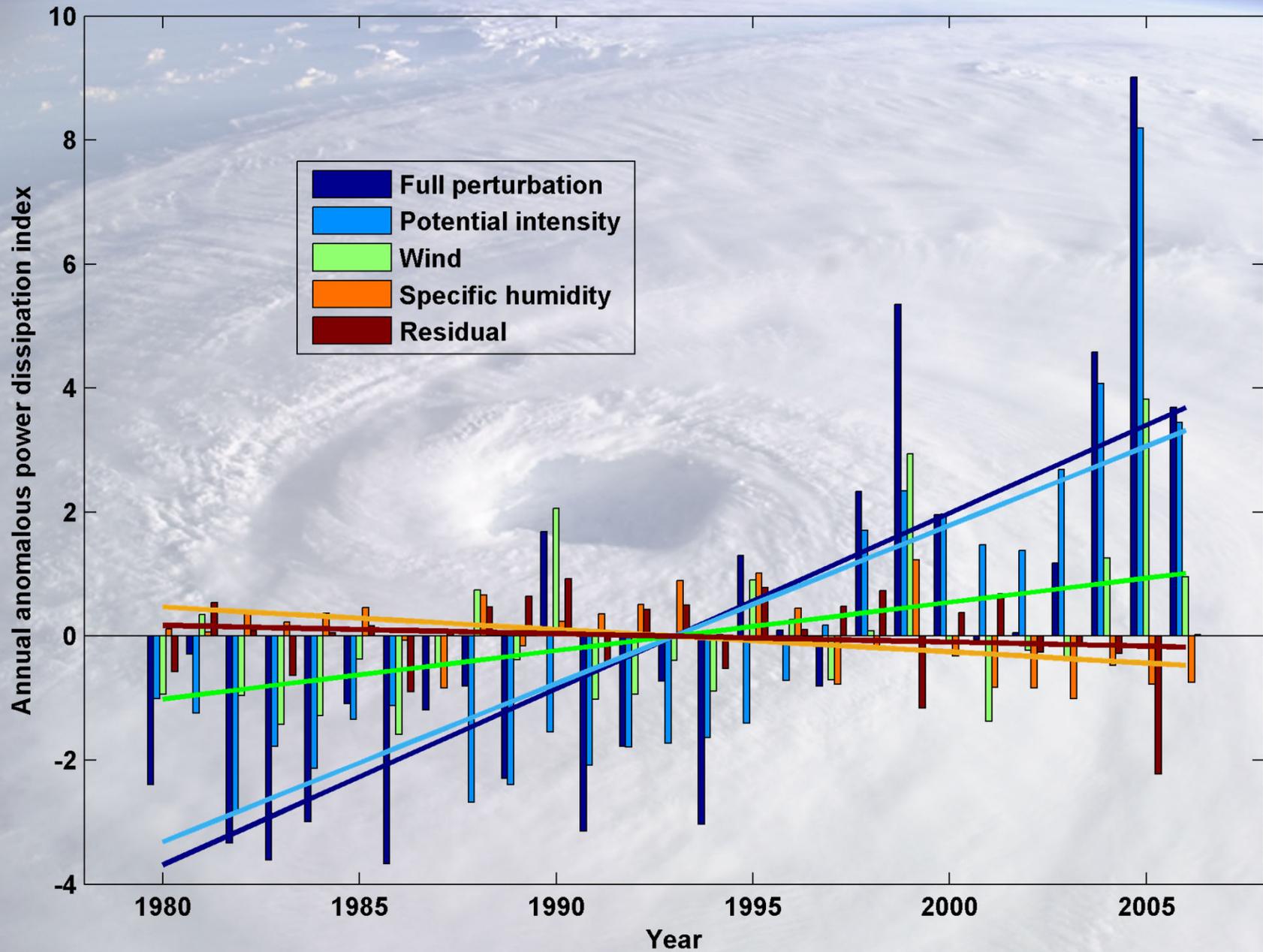
Damage Multiplied by Probability Density of TC Damage, U.S. East Coast



An aerial photograph of a tropical cyclone, showing a well-defined eye and spiral rainbands over a vast expanse of the ocean. The sun is visible in the center of the storm, creating a bright glow. The text is overlaid on the image in a bold, dark blue font.

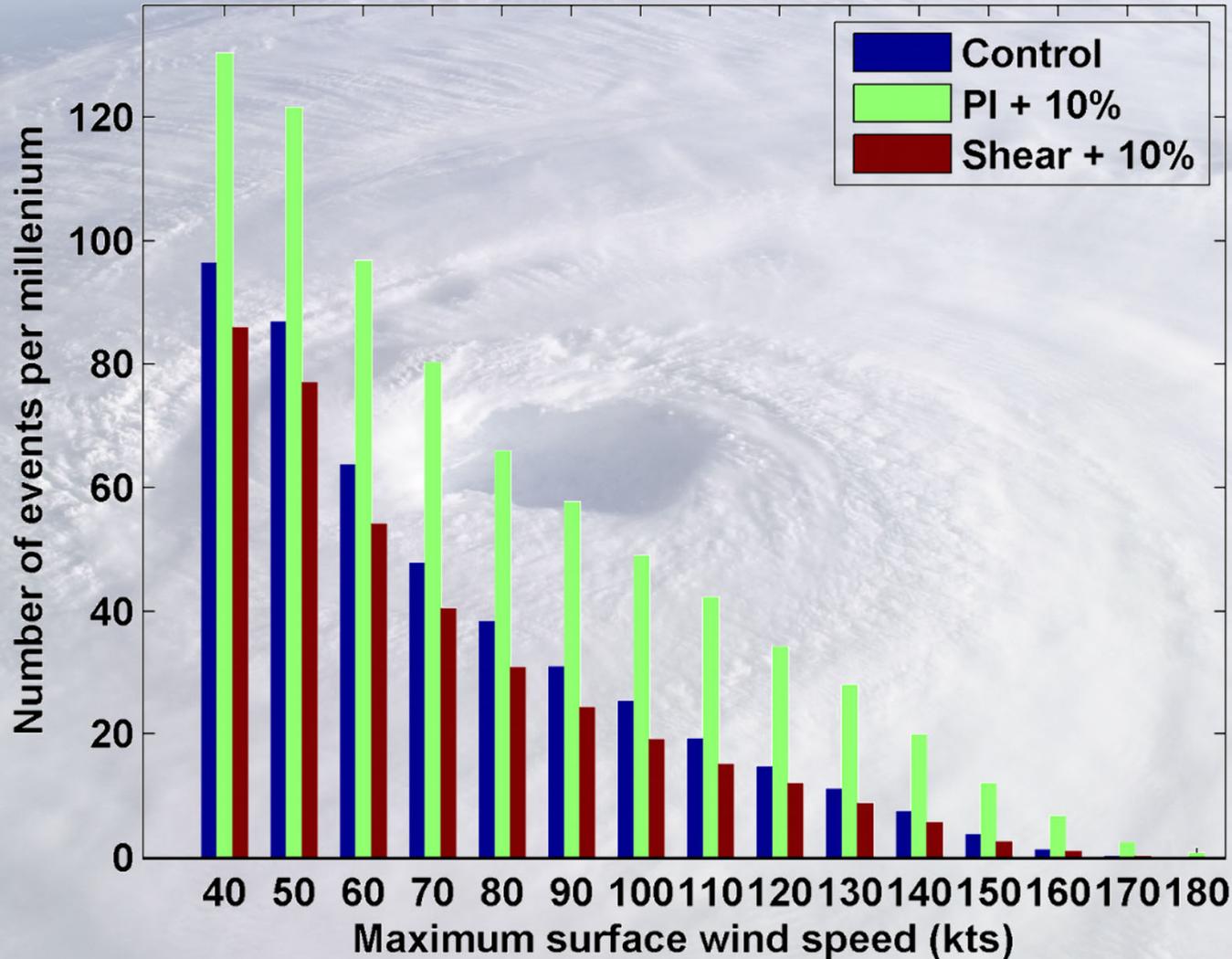
To the extent that they simulate tropical cyclones at all, global models simulate storms that are largely irrelevant to society and to the climate system itself, given that ocean stirring effects are heavily weighted towards the most intense storms

Decomposition of PDI Trends



Sensitivity to Shear and Potential Intensity

2000 Atlantic Storms



Hydrostatic Compensation (following Holloway and Neelin)

Perturbations to moist adiabatic troposphere:

$$\frac{\partial \phi'}{\partial p} = -\alpha' = -\left(\frac{\partial \alpha}{\partial p}\right)_p s^{*'} = -\left(\frac{\partial T}{\partial p}\right)_{s^{*'}} s_b'$$
$$\rightarrow \phi_T' = (T_s - T_T) s_b'$$

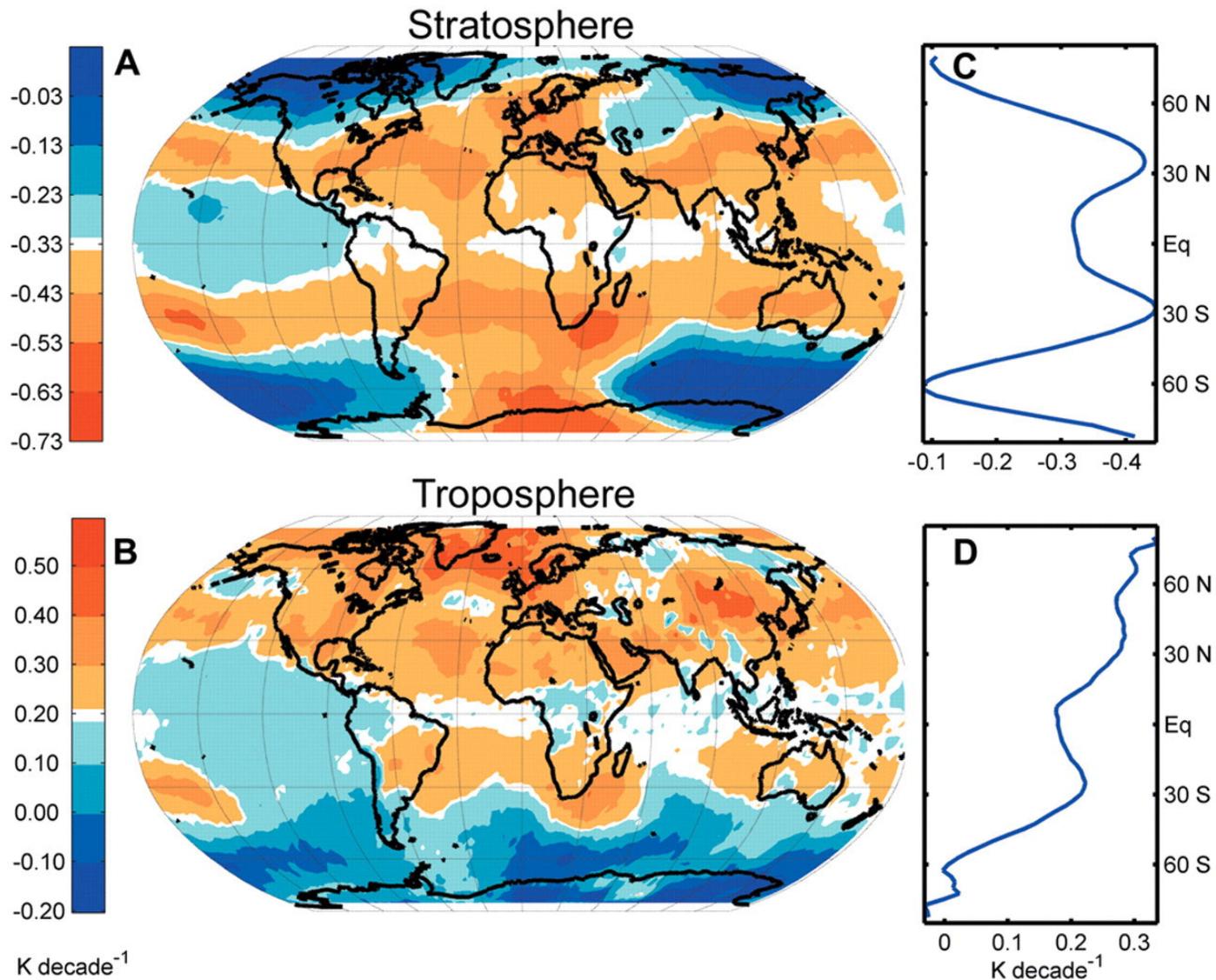
Stratospheric compensation:

$$RT_T' = -\frac{\partial \phi'}{\partial \ln(p)} \cong -\frac{\phi_T'}{\Delta \ln(p)} = -\frac{(T_s - T_T) s_b'}{\Delta \ln(p)}$$

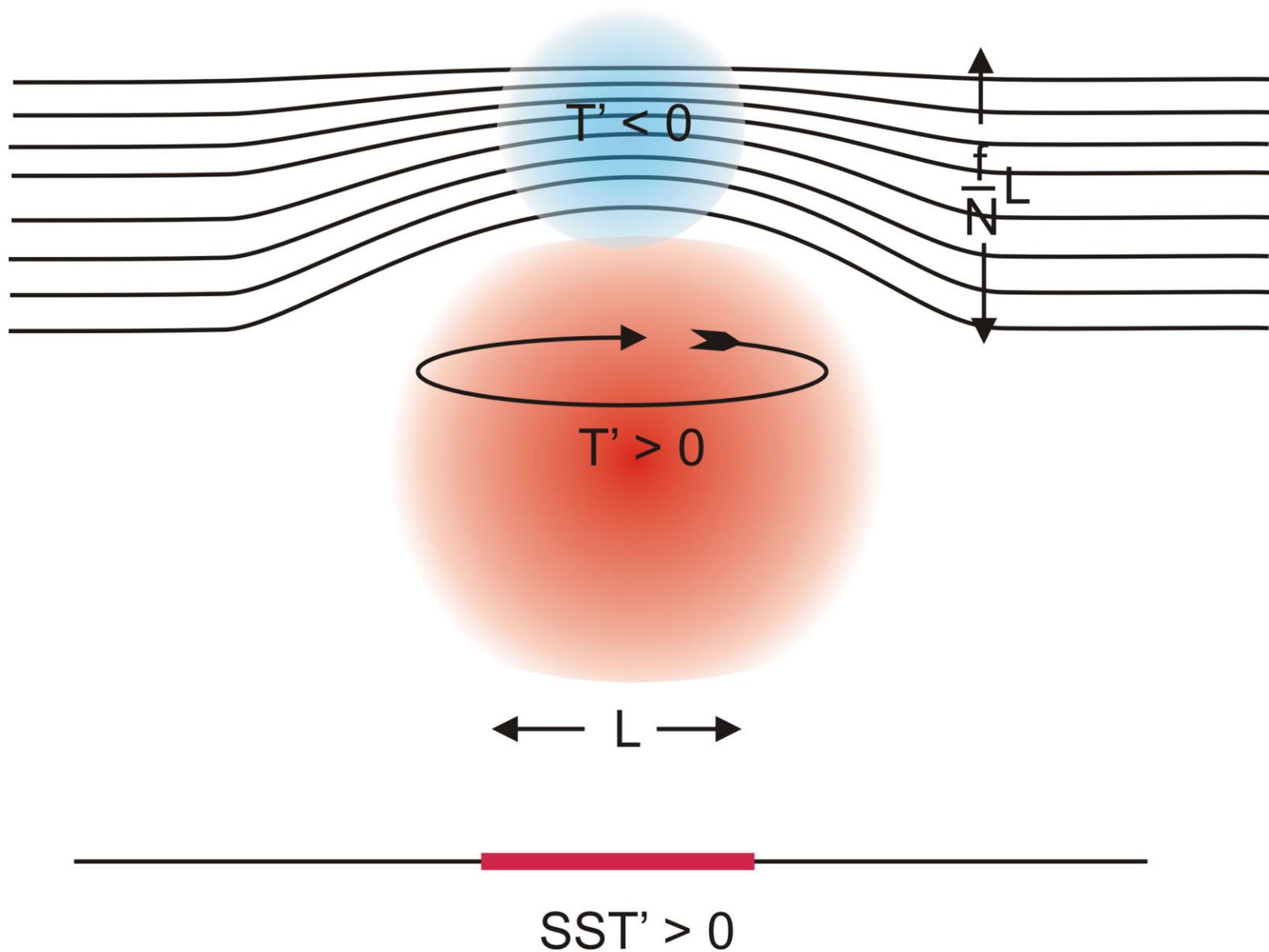
For typical values of the parameters

$$T_T' \simeq -T_s'$$

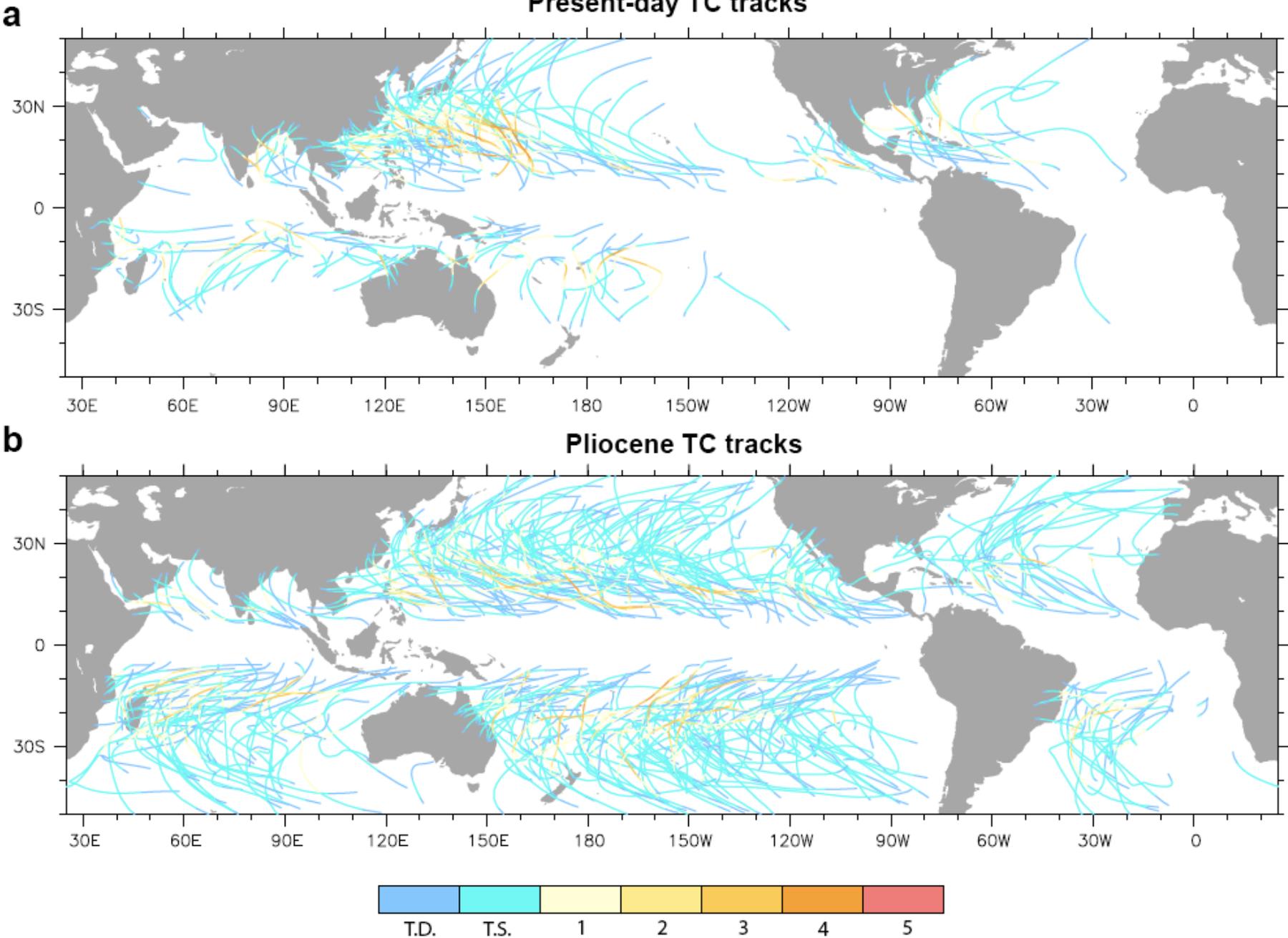
Ozone may not explain spatial pattern of cooling (Fu and Wallace, *Science*, 2006)



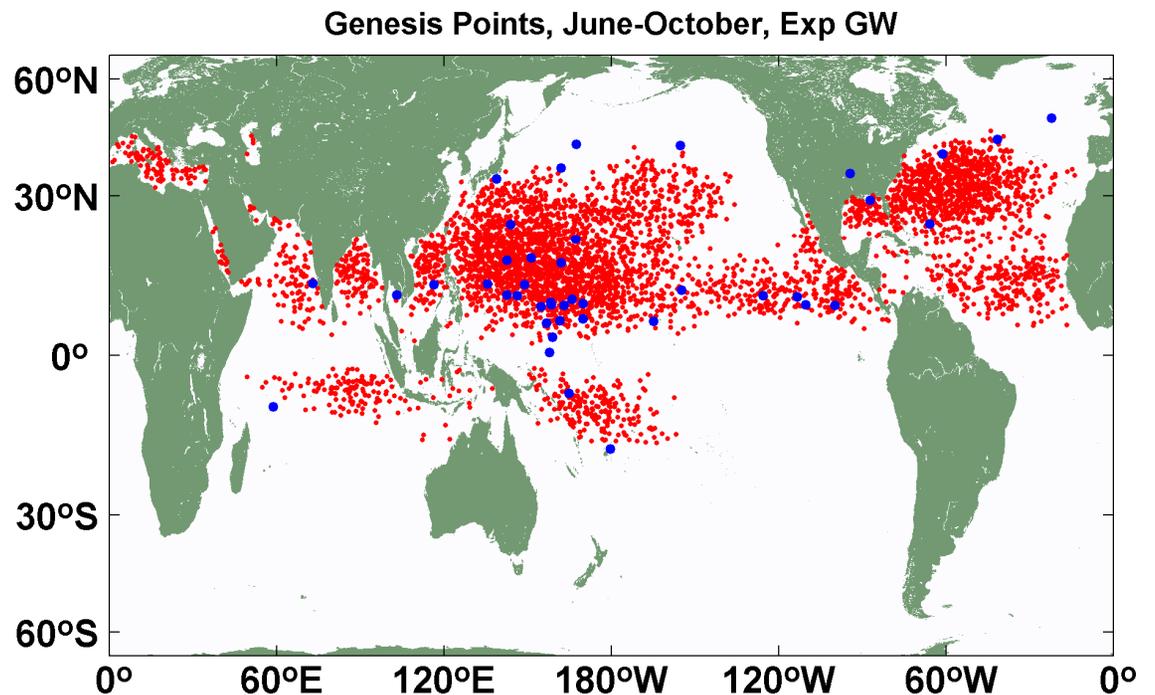
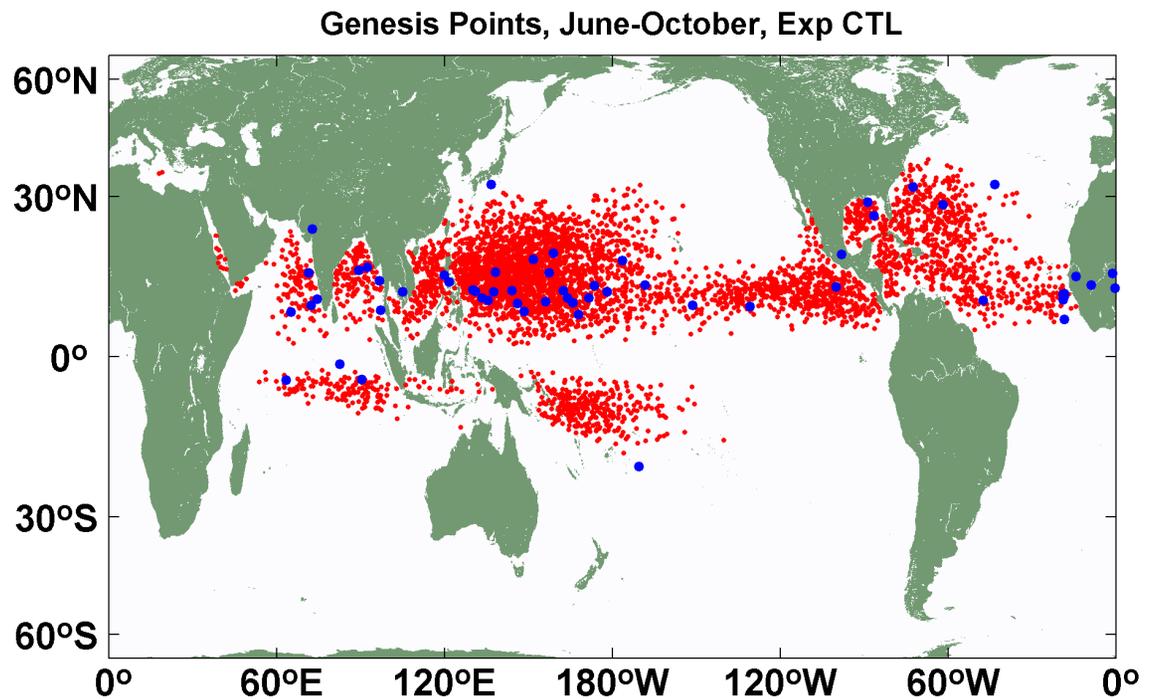
Stratospheric Compensation



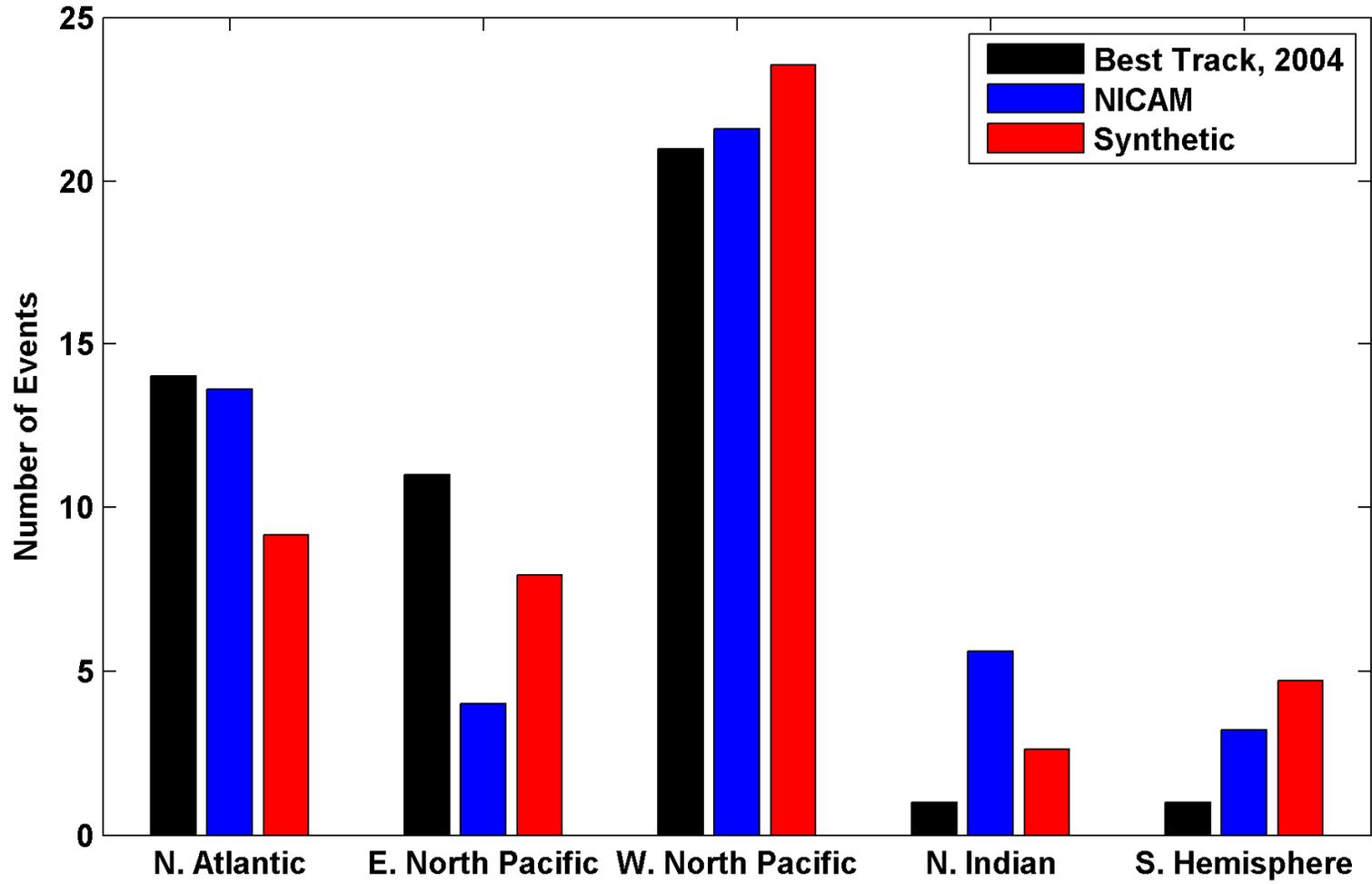
Application to the Climate of the Pliocene



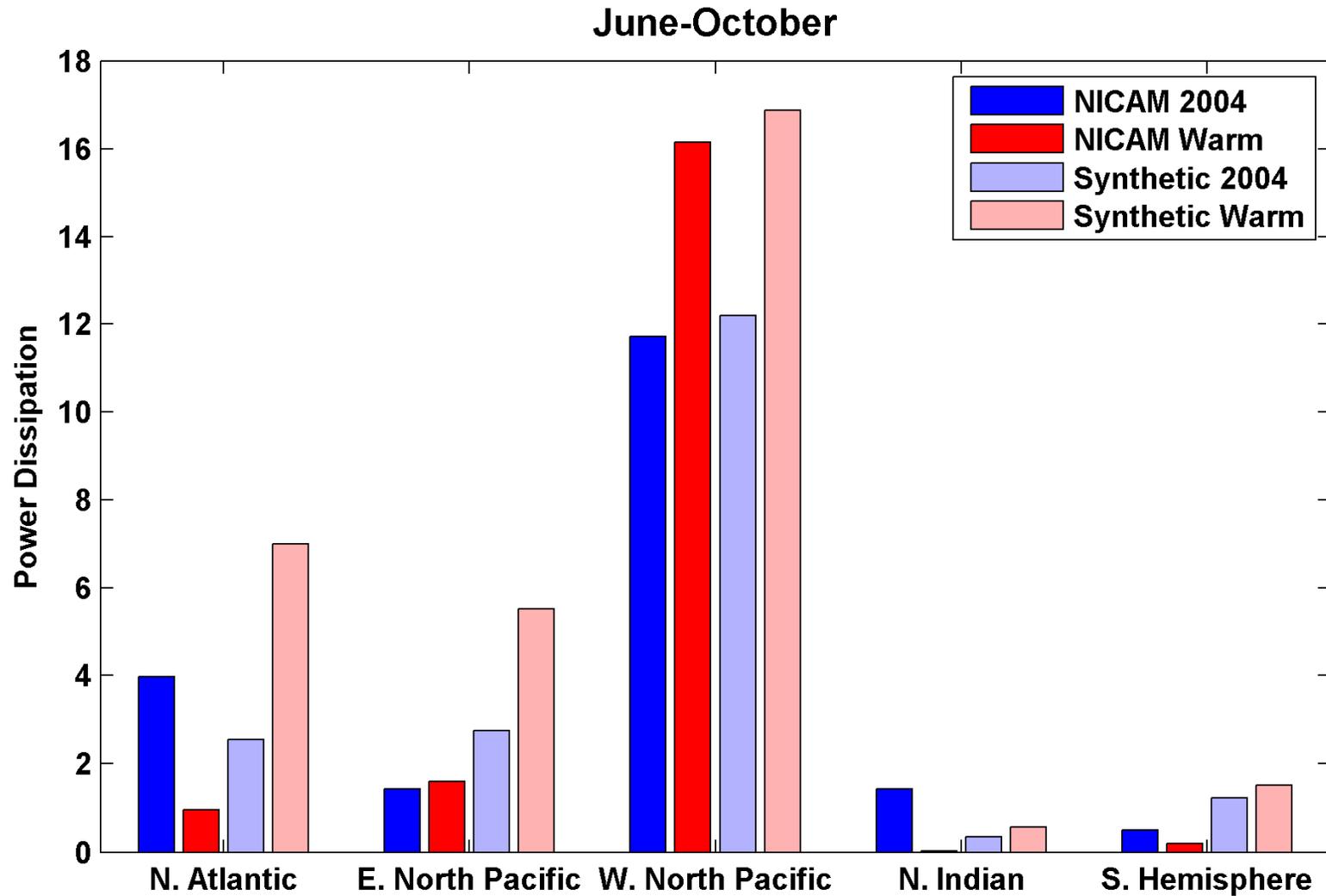
Explicit (blue dots) and downscaled (red dots) genesis points for June-October for Control (top) and Global Warming (bottom) experiments using the 14-km resolution NICAM model. Collaborative work with K. Oouchi.



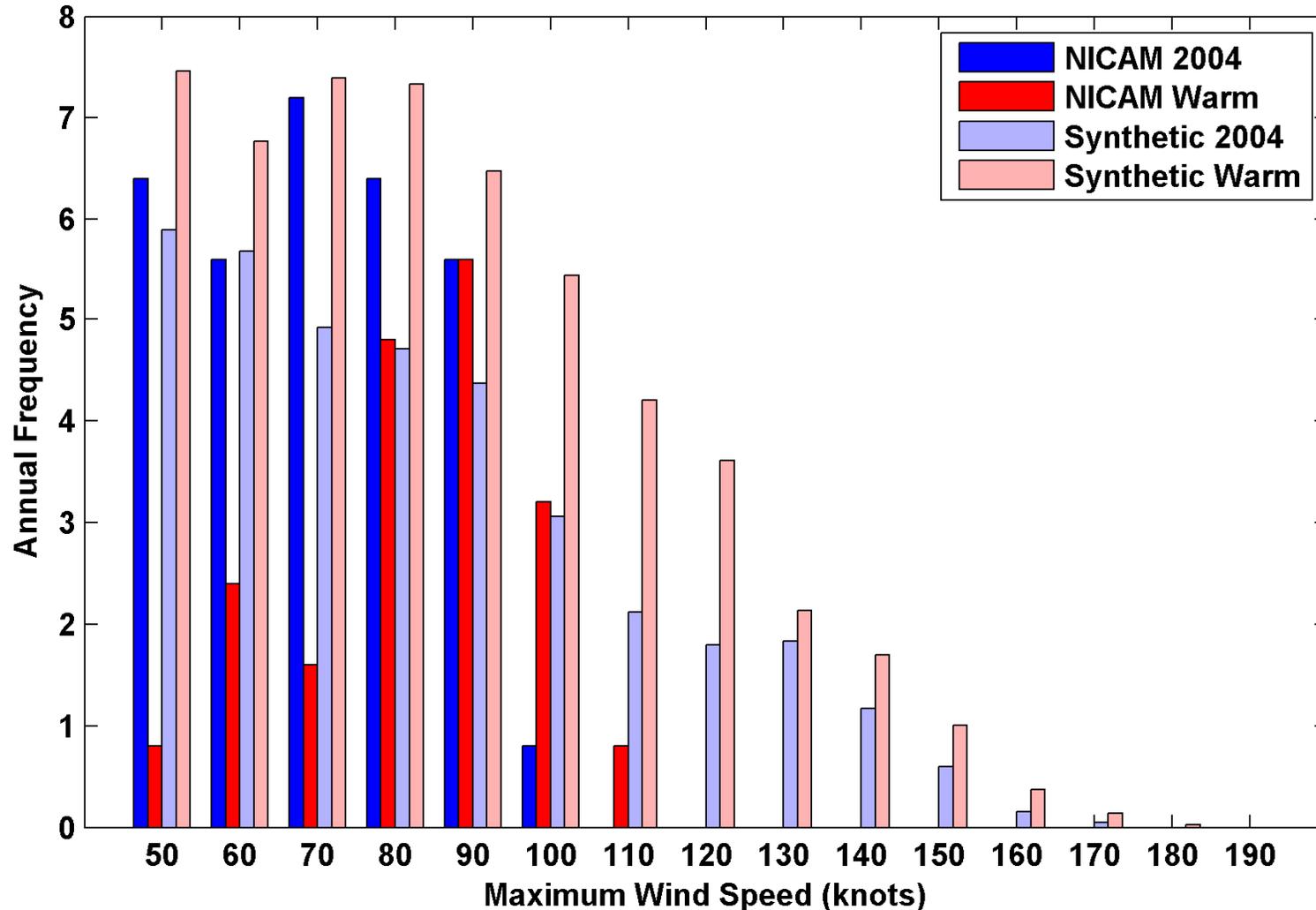
June-October



Change in Power Dissipation with Global Warming



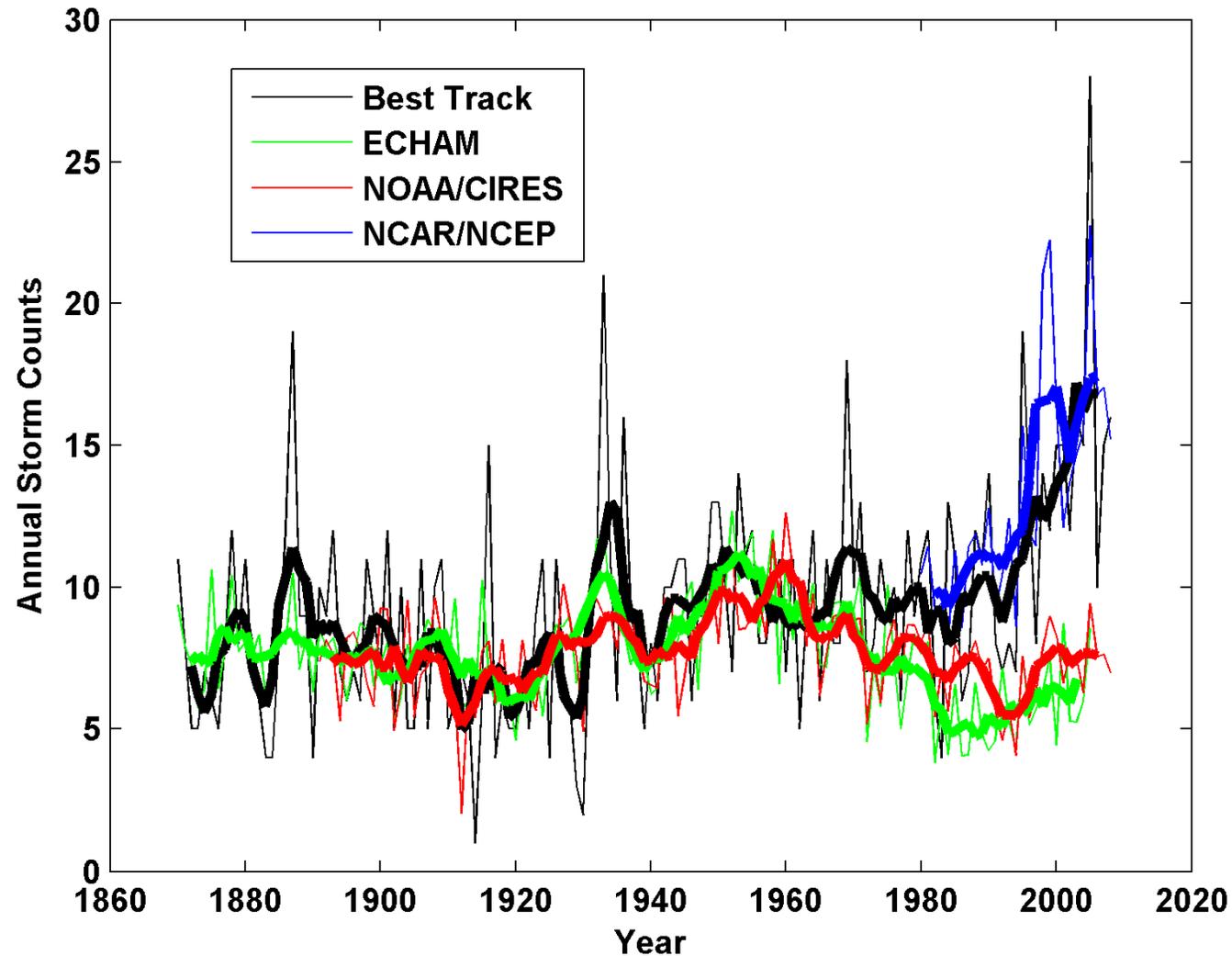
Probability Density by Storm Lifetime Peak Wind Speed, Explicit and Downscaled Events



An aerial satellite-style photograph of a tropical cyclone over the ocean. The storm is characterized by a dense, swirling cloud structure with a prominent eye in the center. The surrounding ocean surface shows some ripples and a slight darkening near the storm's center. The sky is clear and blue, with a thin layer of clouds visible in the distance.

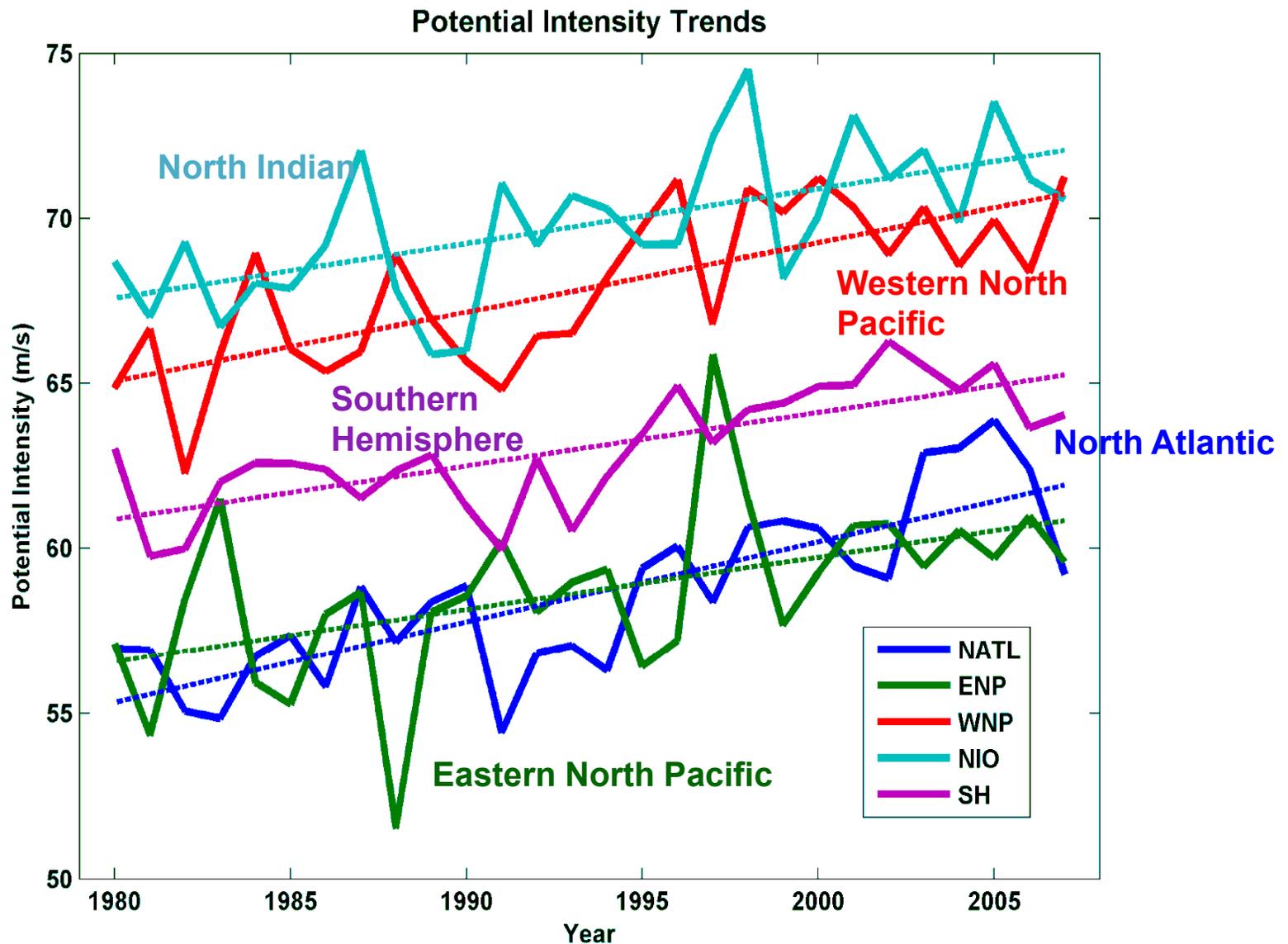
The Importance of Potential Intensity for Genesis and for Storm Intensity

Application to Re-analyses and AGCMs



Annual Atlantic tropical cyclone counts: Unadjusted best-track data (black); and downscaled from the NCAR/NCEP reanalysis, 1980-2008 (blue), the ECHAM 5 simulation, 1870-2005 (green), and the NOAA/CIRES reanalysis, 1891-2008 (red). Thin lines show annual values, thick lines show 5-year running means

Interpretation of Recent Trends in Potential Intensity



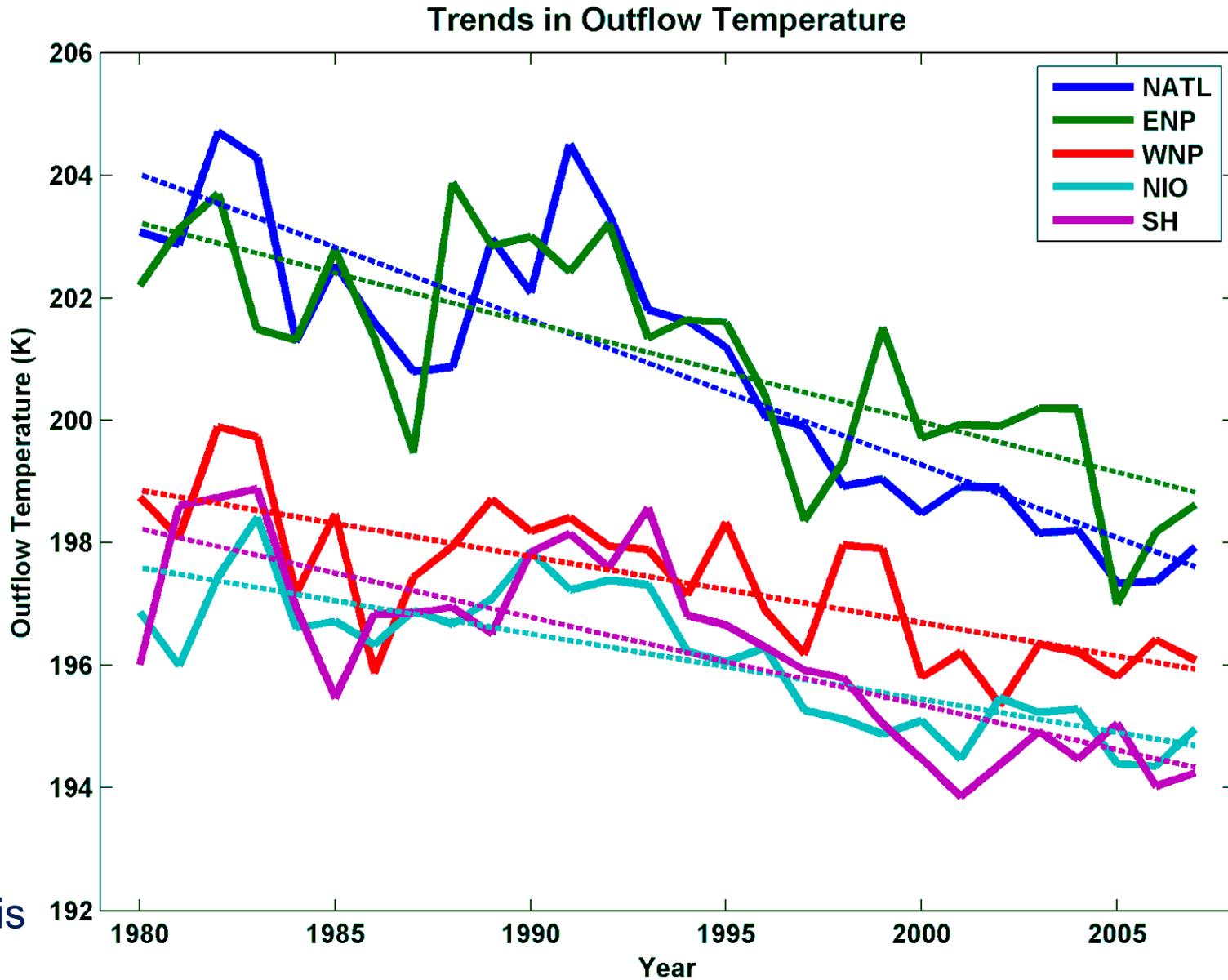
From NCAR/NCEP reanalysis data, 1980-2008

Potential intensity has been increasing by about $12 \text{ ms}^{-1}\text{K}^{-1}$, compared to accepted value of $4 \text{ ms}^{-1}\text{K}^{-1}$. What is the source of this discrepancy?

Surface wind speeds have not changed much since 1980. Key variable: Outflow temperature, which in general decreases with:

- Increasing SST
- Decreasing temperature of lower stratosphere and/or troposphere transition layer

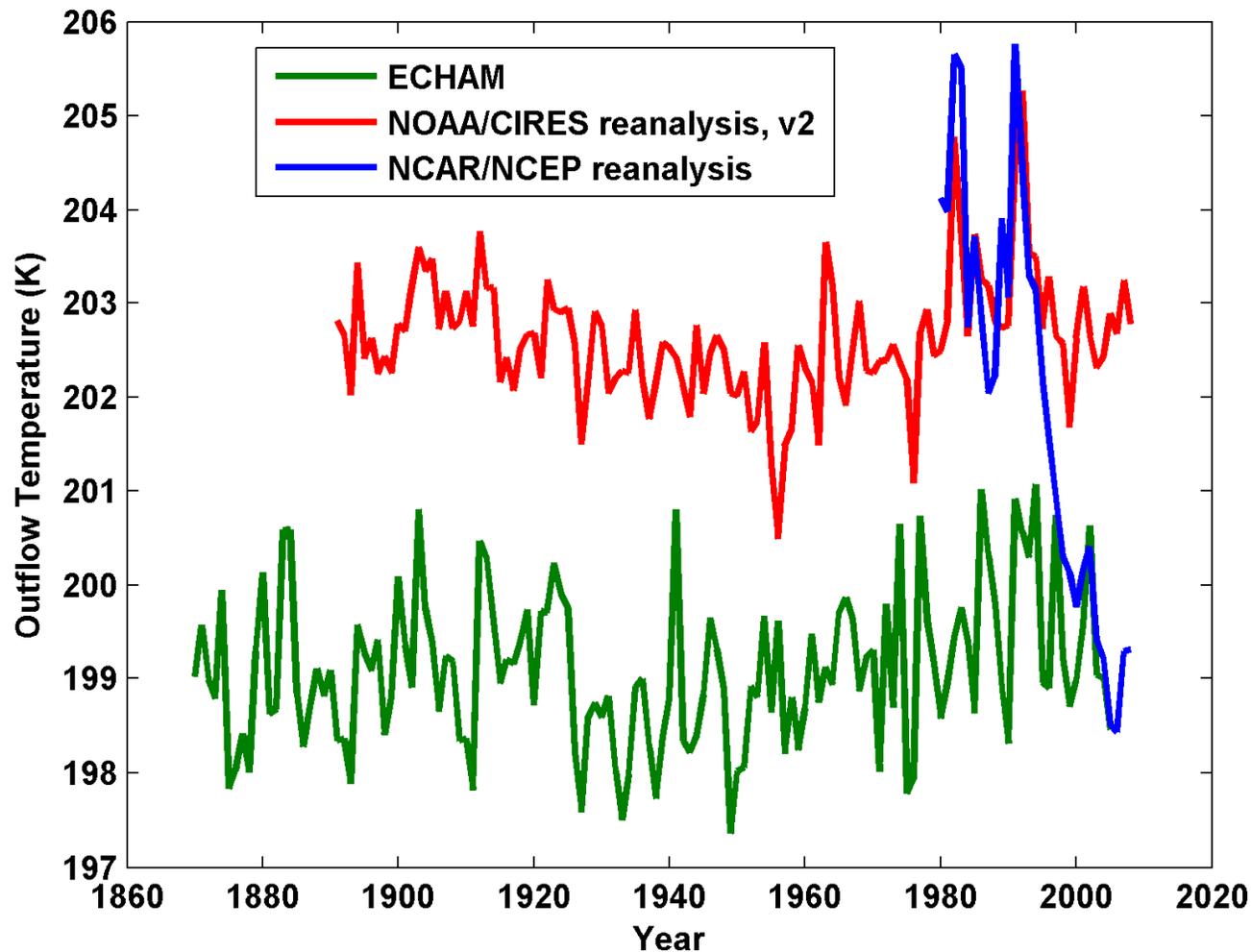
Importance of Trends in Outflow Temperature



From
NCEP
Reanalysis

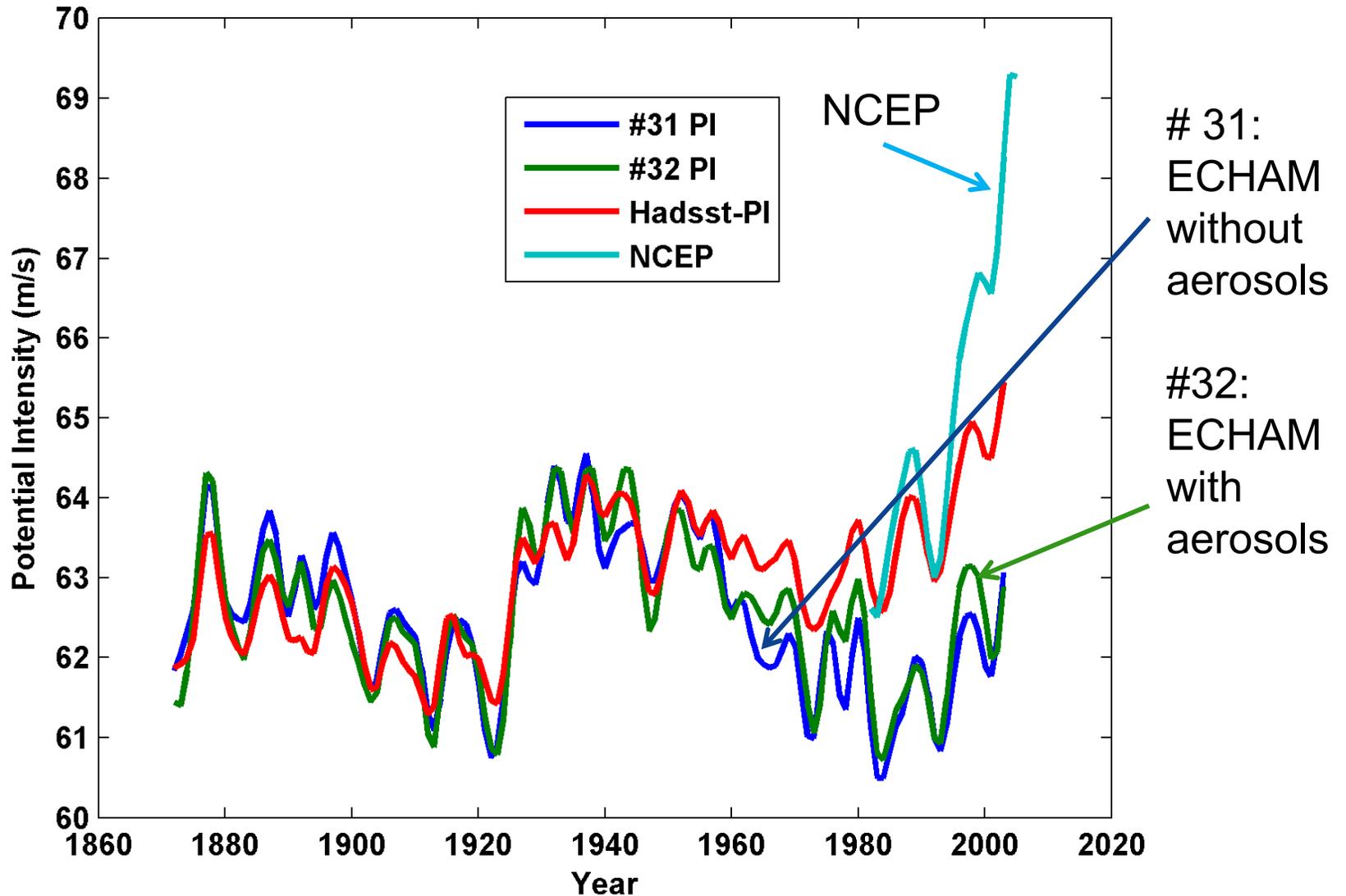
**Do Climate Models Capture Lower
Stratospheric Cooling?**

AGCMs, driven by observed SSTs, do not get the cooling!

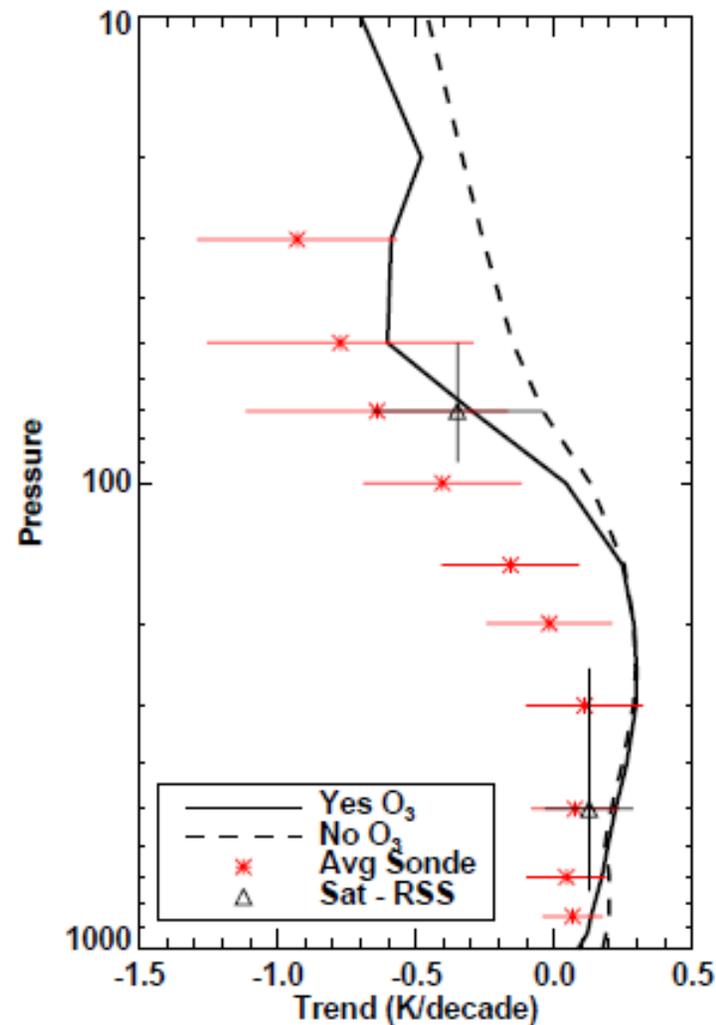


August-October outflow temperatures averaged over the Atlantic MDR from the ECHAM 5 simulation (green), the NOAA/CIRES 20th Century reanalysis, version 2 (red) and the NCAR/NCEP reanalysis (blue)

As a result, they miss the recent increase in potential intensity



1979-1999 Temperature Trends, 30S-30N. Red: Radiosondes; Solid Black: Mean of Models with Ozone; Dashed Black: Mean of Models without Ozone (Cordero and Forster, 2006)

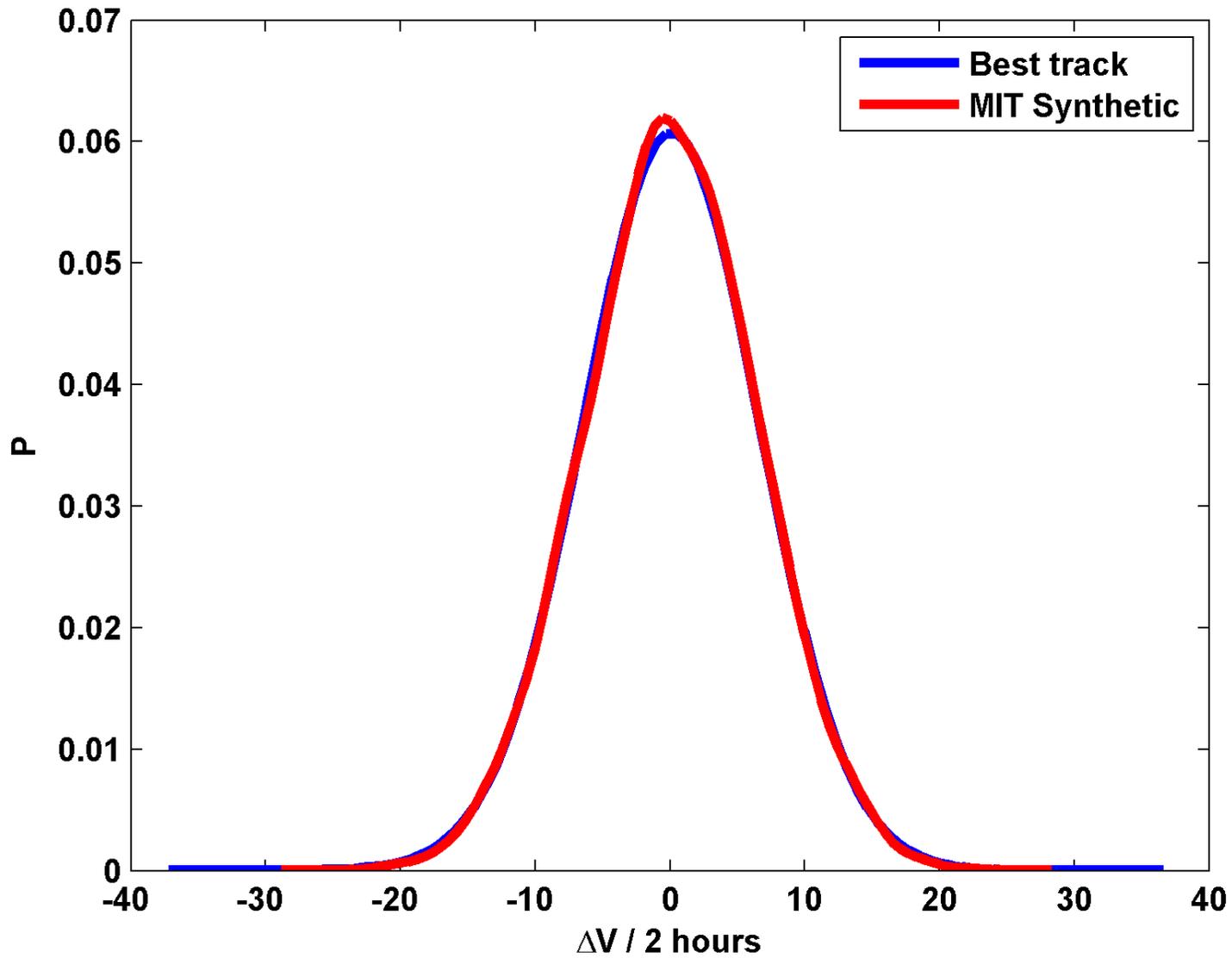


Combine expression for potential intensity, V_{max} , with energy balance of ocean mixed layer:

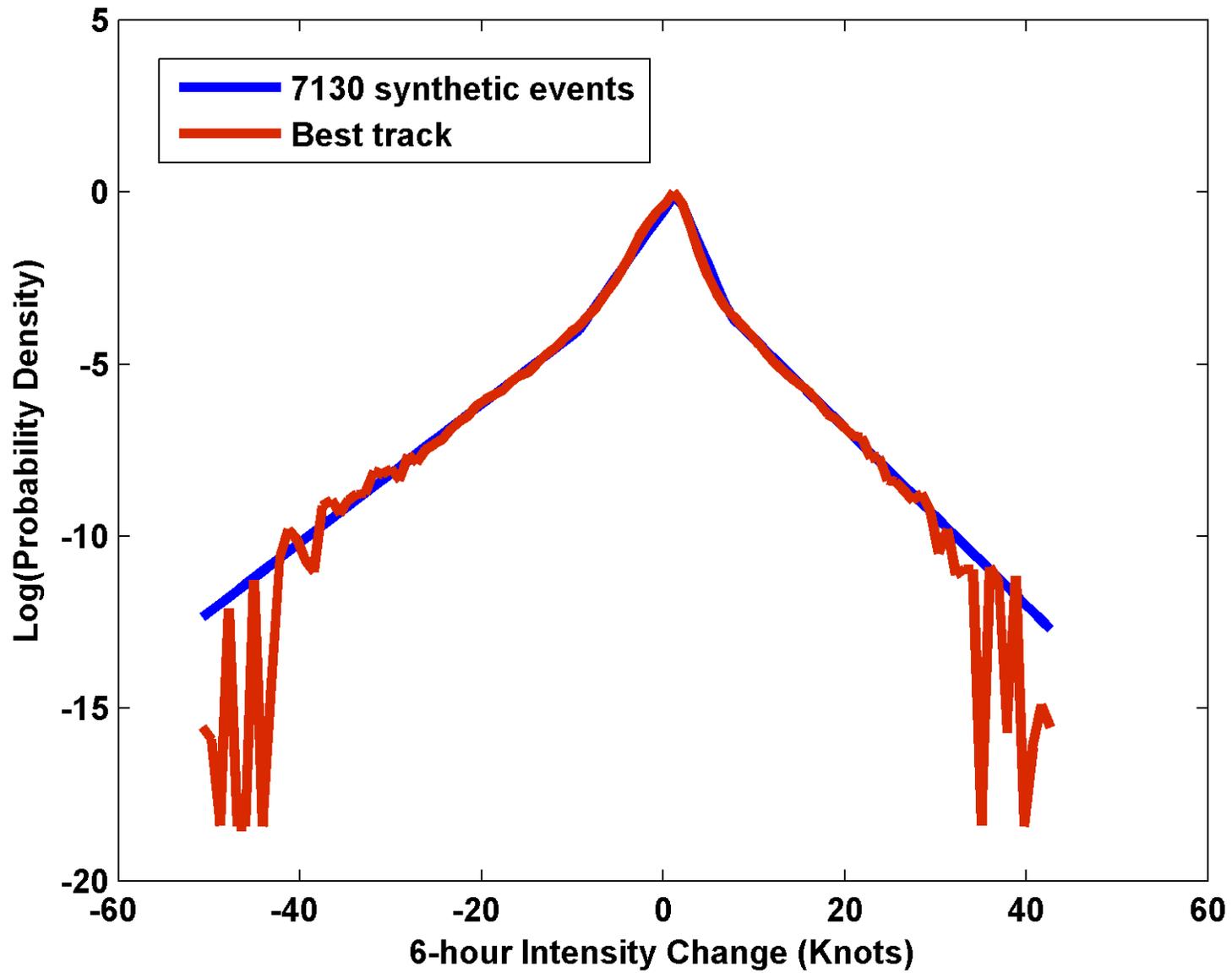
$$V_{max}^2 = \frac{\frac{\text{SST}}{T_s} - \frac{\text{Outflow T}}{T_o} \frac{\text{Net surface radiative flux}}{F_{rad}} - \frac{\text{Ocean mixed layer depth}}{d} \frac{\text{Mixed layer heat flux}}{\nabla \cdot \mathbf{F}_{ocean}}}{C_D \rho |\mathbf{V}_s|}$$

SST → T_s Outflow T → T_o Net surface radiative flux → F_{rad} Ocean mixed layer depth → d Mixed layer heat flux → $\nabla \cdot \mathbf{F}_{ocean}$
 Drag coefficient → C_D Mean surface wind speed → $|\mathbf{V}_s|$

Valid on time scales > thermal equilibration time of ocean mixed layer (~ 2 years)

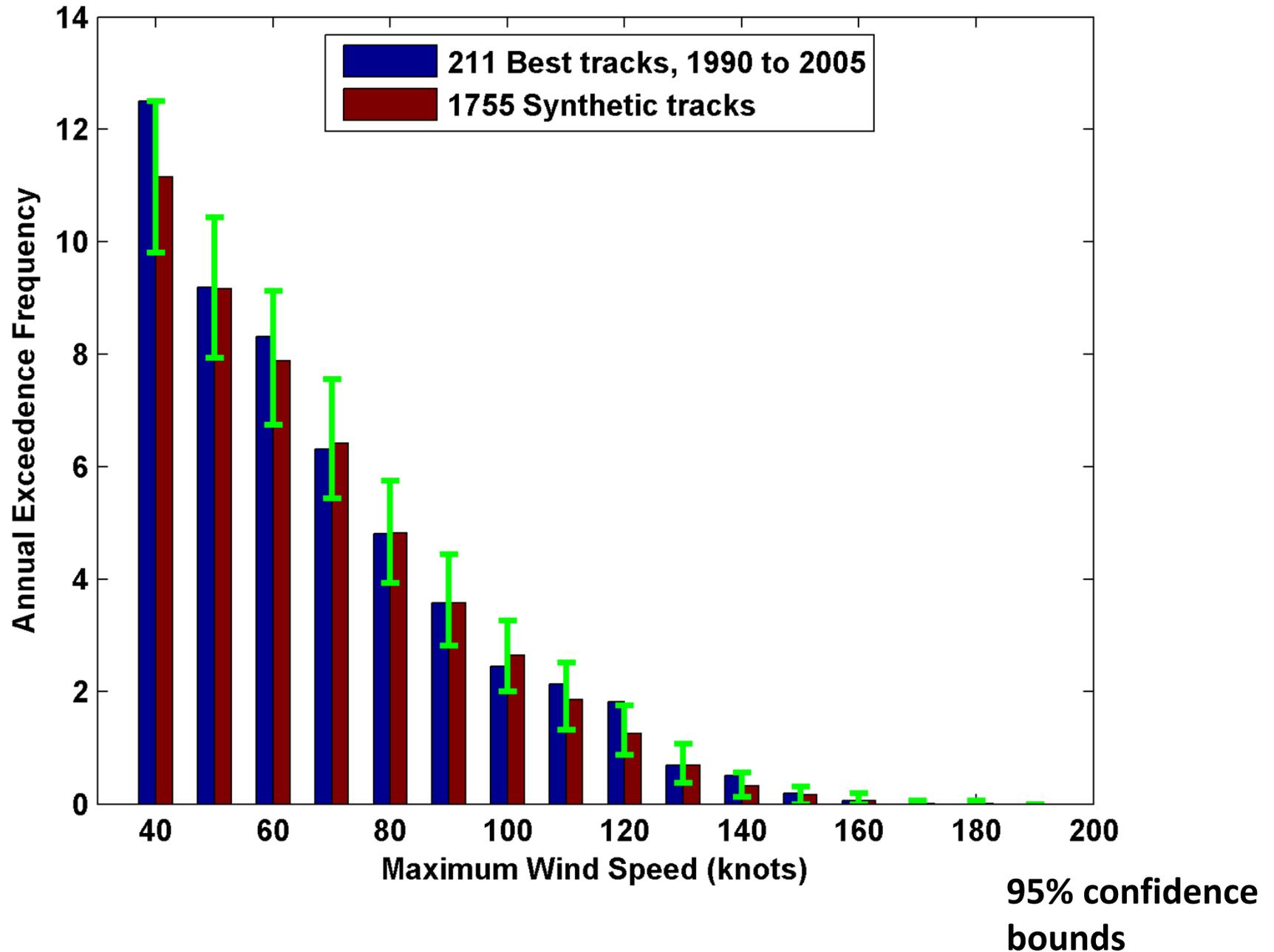


Probability densities of 2-hour intensity change

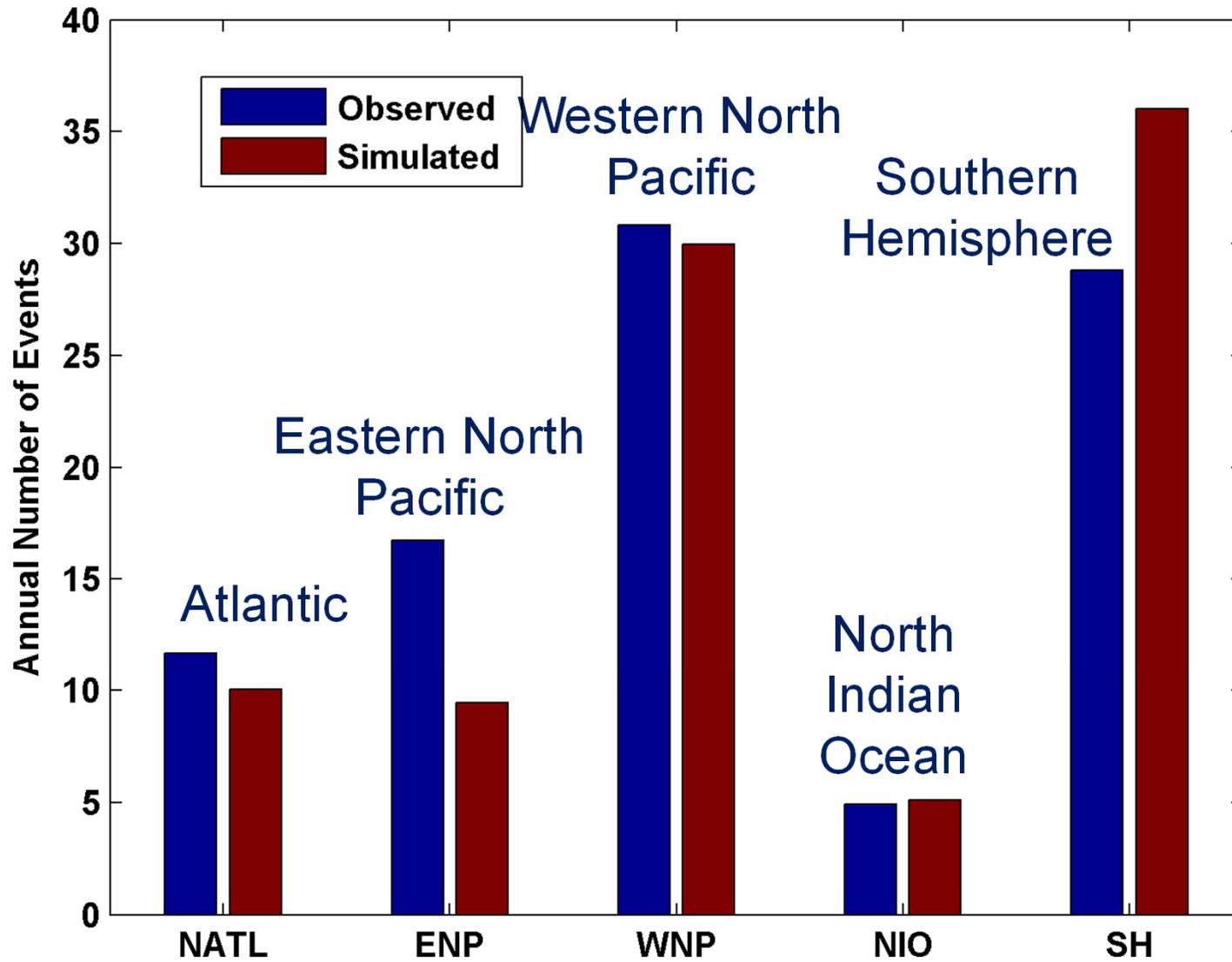


Log of the probability density of 6-hour intensity change

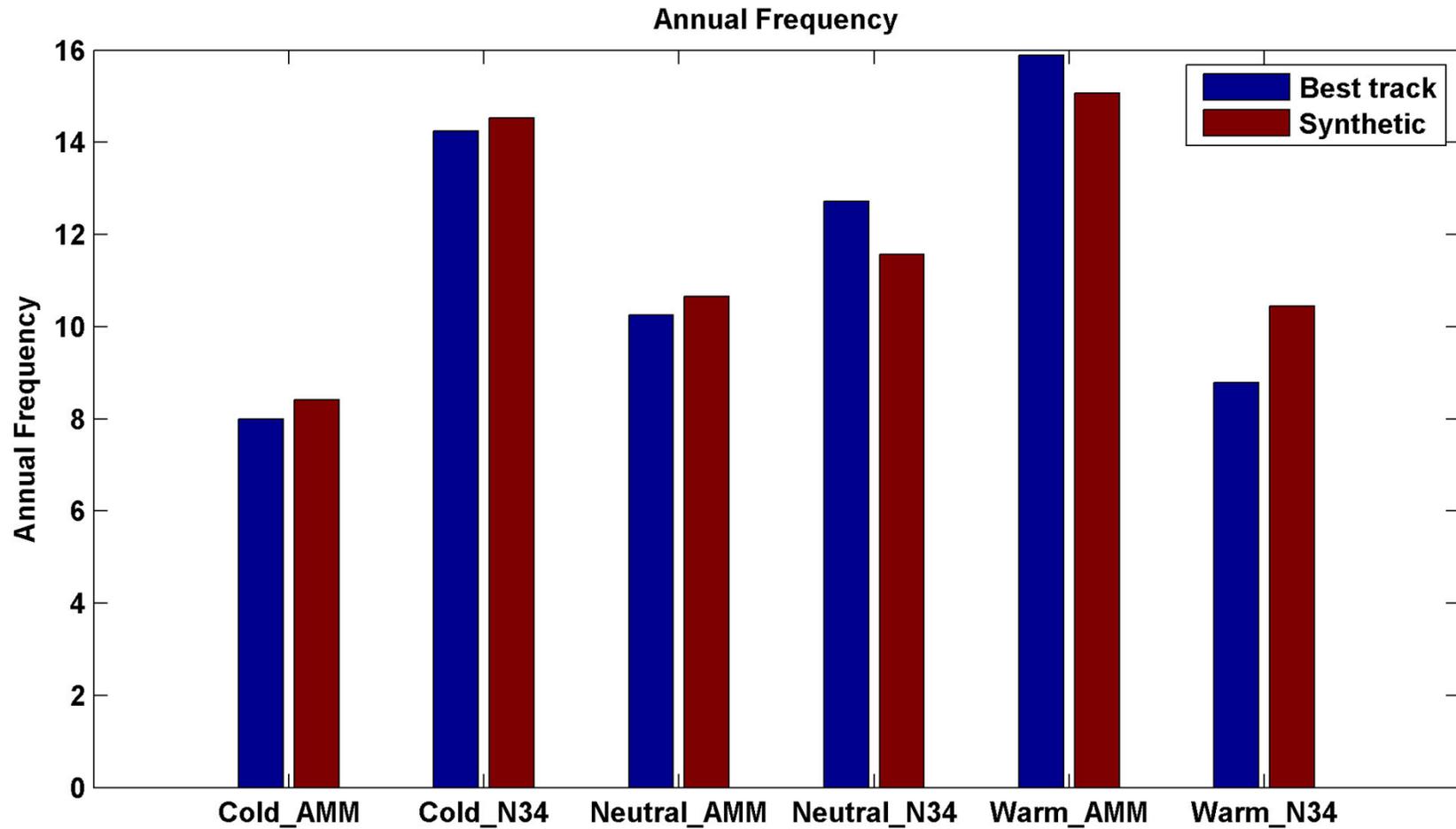
Cumulative Distribution of Storm Lifetime Peak Wind Speed, with Sample of 1755 Synthetic Tracks



Genesis rates

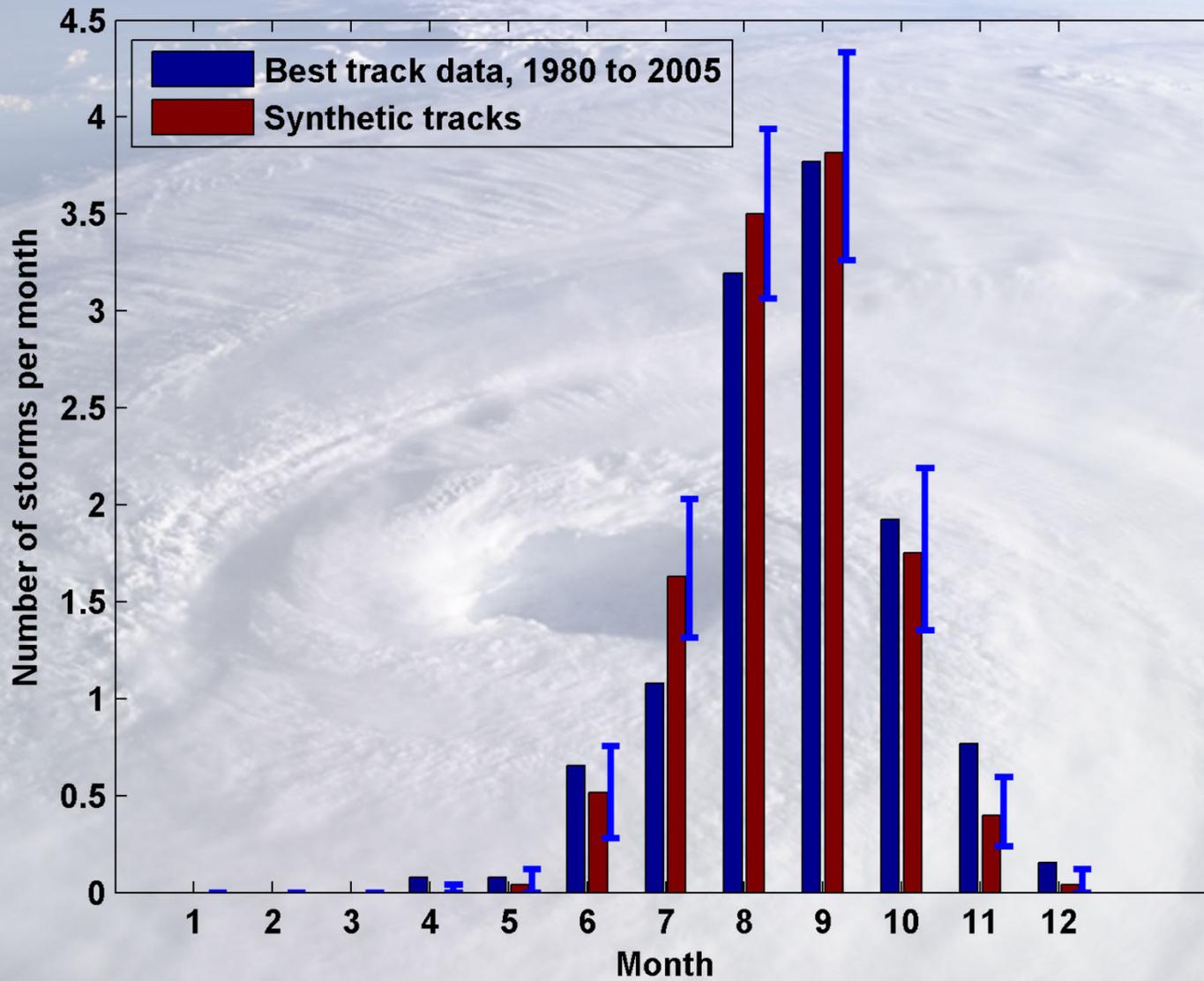


Captures effects of regional climate phenomena (e.g. ENSO, AMM)



Seasonal Cycles

North Atlantic



Atlantic