# Description of Subroutine Convect Version 4.3c 20 May 2002 Kerry Emanuel

# A. General use

The subroutine is designed to be used in time-marching models of mesoscale to global-scale dimensions. It is meant to represent the effects of *all* moist convection, including shallow, non-precipitating cumulus. It also contains a dry adiabatic adjustment scheme.

Since the method of calculating the convective fluxes involves a relaxation toward quasi-equilibrium, subroutine CONVECT must be run for at least several time steps to give meaningful results. At the first time step, the tendencies and convective precipitation will be zero. If the initial sounding is unstable, these will rapidly increase over successive time steps, depending on the values of the constants ALPHA and DAMP. Thus the user interested in convective fluxes and precipitation associated with a single initial sounding (i.e., without large-scale forcing) should still march CONVECT forward enough time steps that the fluxes have returned back to zero; the net tendencies and precipitation integrated over this time interval are then the desired results. But it should be cautioned that these quantities will not necessarily be independent of other model parameters such as the time step. CONVECT is very much built on the philosophy that convection, to the extent it can be represented in terms of large-scale variables, is never very far away from statistical equilibrium with the large-scale flow.

To achieve a smooth evolution of the convective forcing, CONVECT should be called at least every 20 minutes during the time integration. CONVECT will work at longer time intervals, but the convective tendencies may become noisy.

Particular attention should be paid to the interaction between CONVECT and the calling model's boundary layer scheme. Although subroutine CONVECT contains a dry adiabatic adjustment routine, it should be bypassed if the calling model has its own boundary layer scheme. This is accomplished by setting IPBL to 0. It is recommended that the boundary layer scheme be called just before the call to CONVECT.

The thermodynamics used in CONVECT account for the dependence of heat capacities and gas constants on water substance and the temperature dependence of the latent heat of vaporization. The linear increase of  $L_v$  with decreasing temperature is carried right through to all temperatures below freezing. While this is artificial, it partially makes up for the lack of latent heat of fusion. The user should alter the values of the thermodynamic constants at the beginning of CONVECT and also at the beginning of TLIFT to be consistent with those used by the calling program. But note that the value of CL is artifically small. This is intentional and should not be changed.

Convection is assumed to originate only from the level at which the moist static energy reaches it maximum value in the region *below* the level of minimum moist static energy. Convection is not allowed to originate simutaneously from more than one level.

## **B.** Preparation

IT IS OF CRITICAL IMPORTANCE THAT A WORK ARRAY OF DIMENSION (I,J) BE DEFINED IN THE CALLING PRO-GRAM, WHERE I AND J ARE HORIZONTAL INDICES COV-ERING THE ENTIRE MODEL DOMAIN. (THE J INDEX IS UN-NECESSARY IN A 2-D MODEL.) THIS ARRAY SHOULD BE INITIALIZED TO ZERO BUT NOT SUBSEQUENTLY ALTERED BY THE CALLING PROGRAM. AT EACH CALL TO CONVECT, THE FLOATING POINT SCALAR CBMF THAT IS USED IN THE CALL TO CONVECT MUST BE DEFINED SUCH THAT CBMF= ARRAY(I,J),

(This is a memory array and is discussed in Section D1 below.)

Next, the parameter NA at the beginning of the subroutine must be set to a value larger than (by at least 1) the value of ND, which is the dimension of the onedimensional thermodynamic arrays in the calling program.

Careful consideration should be given to setting the values of the two switches IPBL and MINORIG. IPBL should be set to zero if the calling model has boundary layer physics. Setting IPBL to zero skips CONVECT's dry adiabatic adjustment scheme.

**MINORIG** is the lowest model level that convection is allowed to originate from. In general, this should be the first model level above the surface layer. If convection is allowed to originate from a layer whose thickness is too small, then the mass of this layer is likely to be evacuated in a single time step, resulting in poor performance and the return of an IFLAG value of 4.

The thermodynamic constants, described in detail in Section 2 below, should be made consistent with those used by the calling program, both at the beginning of CONVECT and at the beginning of TLIFT. Finally, the value of ALPHA may be altered to give smoother integrations, but care should be taken that it is not too small (evidenced by very unstable soundings) or too big (evidenced by highly intermittent convection). DAMP can also be altered but should lie in the range 0.01-0.2.

### C. A note about energy conservation

The conservation of energy by the scheme is rigorously enforced. It is assumed that all kinetic energy generated within convective clouds is locally dissipated, so that mass-weighted, vertically-integrated enthalpy is conserved. The temperature and specific humidity tendencies satisfy

$$\int_{0}^{p_{0}} [c_{pd}(1-q) + c_{pv}q] \frac{\partial T}{\partial t} dp + \int_{0}^{p_{0}} L_{v} \frac{\partial q}{\partial t} dp = 0.$$
(1)

The scheme precisely satisfies a finite difference version of (1). The user should note in particular that the heating is given by

$$[c_{pd}(1-q) + c_{pv}q]\frac{\partial T}{\partial t}$$

This should be consistent with, for example, the conversion of radiative heating to temperature change in the calling program. (If one does not want to consider the dependence of heat capacity on water substance, then set  $c_{pv} = c_{pd}$  in CONVECT.) Moreover, if the surface energy balance of the model is important, then care should be taken to assure that the latent heat coefficient at the surface in the calling program has the same value as  $L_v$  (at the surface) in CONVECT. If the temperature dependence of  $L_v$  is neglected in the calling program, then setting  $c_{\ell} = c_{pv}$  will eliminate it in CONVECT as well.

## D. Description of the code

#### 1. The call to convect

The basic design of the scheme allows the user to input one-dimensional arrays of temperature, specific humidity, saturation specific humidity and pressure, as well as three scalars representing surface conditions, and receive as output the convective precipitation and time tendencies of temperature and specific humidity as well as some quantities necessary for having the convective downdrafts interact with the surface heat and moisture fluxes. The input arrays of temperature, specific humidity and saturation specific humidity are subject to dry adiabatic adjustment by the scheme, so the returned arrays may be altered accordingly. If the dry adiabatic adjustment itself results in condensation, the condensed water is assumed to precipitate and the latent heat is added to the layer.

The first line of parameters in the subroutine call contains arrays T, Q, QS, U, V, TRA, P, and PH, corresponding to temperature (Kelvin), specific humidity (gm  $gm^{-1}$ ), saturation specific humidity ( $gm gm^{-1}$ ), zonal and meridional wind speeds  $(m \ s^{-1})$ , tracer mixing ratio (nondimensional), pressure (mb), and pressure at the half-levels (mb). Except for TRA, these are one-dimensional arrays; TRA is dimensioned (ND, NTRA), where NTRA is the number of different tracers. The arrays must be defined so that their first element is the value corresponding to the first grid point above the ground level. The temperature, specific humidity, saturation specific humidity, wind speeds and tracer concentrations must be defined at the same levels as pressure (P). The array PH contains pressures at the model half-levels; the first element of PH should correspond to a half level *below* (i.e., at a higher pressure than) the first grid level at which T, Q, QS, U, V, TRA and P are defined. As mentioned above, the arrays T, Q, QS, U, V and TRA are returned unaltered, unless dry-adiabatic adjustment occurs. The arrays P and PH are always returned unaltered. All the arrays except PH and TRA are dimensioned ND, while PH is dimensioned ND+1 and TRA is dimensioned (ND, NTRA).

The next set of parameters in the call statement are single-integer values and one single floating point value, DELT. The first, ND, is the dimension of the arrays T, Q, QS, U, V, P, FT and FQ, and the first dimension of TRA. Note that PH is dimensioned ND+1. The integer NL is the number of levels one wishes checked for convection. It must be less than or equal to ND -1. NL must be at least one more than the maximum number of model levels, starting at the surface, that will be affected by moist convection during the integration. For example, if the model levels are numbered upward from the surface and level 8 is the maximum level you expect convection ever to penetrate to, NL should be 9. In general, NL will be a model level located in the lower stratosphere. It is important that the calling program predicts or specifies temperature, pressure, specific humidity, and saturation specific humidity up to at least one level higher than the highest level moist convection will penetrate to. Setting NL equal to ND - 1 is safe, but some computational overhead will occur in checking levels that prove to be unaffected by convection.

As mentioned above, NTRA is the number of tracer species.

If convective momentum fluxes are not used, and/or no tracer transport by convection is required, create dummy arrays U(ND), V(ND), and TRA(ND,1) and set them to zero, as the case may be. Also set NTRA=0 to skip the tracer calculations.

The next parameter on the second line, DELT, is the time step in seconds between calls to convect. It is used to check that a CFL condition is met. If it is not, the value of IFLAG (discussed below) is altered, and the convective mass fluxes are reduced to insure that the CFL condition is satisfied.

IFLAG is an integer that contains information about the performance of the scheme. Standard values are 0 and 1. If the returned value is zero, no moist convection has occurred and if it is 1, moist convection has happened. A value of 0 will also occur if T(1) < 250 K or if Q(1) < 0. A returned value of 2 indicates that the moist convection was by passed because the lifted condensation pressure of boundary layer air was less than 200 mb or greater than 2000 mb (the latter being an indication that something is wrong with the input variables). Likewise, a returned value of 3 indicates that the level of cloud base is at or above the highest level convection is assumed to penetrate; that is, ICB  $\geq$  NL-1. A value of IFLAG of 2 or 3 returns to the calling program without doing moist convection. Finally, when IFLAG = 4, the convective mass fluxes are large enough that a CFL condition is violated. This is not fatal, in the sense that the subroutine calculation continues. One may expect this to occur at initialization, if the initial soundings appear to be very unstable to CONVECT, or in cases where the mass flux becomes excessive due to the release of large, previously stored quantities of convective available potential energy (e.g., Oklahoma in May). If it happens often, try reducing the value of ALPHA. If this does not work, then either the vertical grid interval or the time step should be reduced.

The final set of variables in the call statement include the floating point onedimensional output arrays FT and FQ, FU and FV, the output array FTRA, dimensioned (ND, NTRA), the output floating point scalars PRECIP, WD, TPRIME and QPRIME, and the storage scalar CBMF (floating point).

The output array FT contains the moist-convectively produced tendency of absolute temperature in degrees Kelvin per second. Likewise, the array FQ contains the moist-convectively produced tendency of specific humidity (*not* mixing ratio), in gm gm<sup>-1</sup> s<sup>-1</sup> and FU and FV contain the moist convective tendencies of the zonal and meridional wind, in  $m \ s^{-2}$ . The output array FTRA, dimensioned (ND, NTRA), contains the moist convective tracer tendencies for each of the NTRA tracers, in

units of tracer mixing ratio per second. The scalar PRECIP returns the convectively produced precipitation, in mm  $d^{-1}$ . If convection does not occur, all of these values are set to zero.

The scalars WD, TPRIME and QPRIME are for use in coupling this convection scheme to the calling program's formulation of surface fluxes. They are, respectively, a convective downdraft outflow velocity scale, and the perturbations from the gridarea mean values of temperature and specific humidity. An example of how they might be used is as follows:

Suppose the surface fluxes, F, in the calling program are given by simple aerodynamic flux formulae of the form

$$F = C|\mathbf{V}|(x_s - x), \tag{1.1}$$

where C is a dimensionless constant,  $|\mathbf{V}|$  is the near-surface wind speed,  $x_s$  is the surface value of the quantity in question, and x is the value of that quantity in the atmosphere near the surface. Now it can be shown that the grid-area average value of F can be approximated by

$$F \simeq C\left(\overline{|\mathbf{V}|}(x_s - \overline{x}) - \overline{v'x'}\right),\tag{1.2}$$

where

$$\overline{|\mathbf{V}|} = \sqrt{u^2 + v^2 + w^2 + w_d^2},\tag{1.3}$$

and

$$v' = \left(\sqrt{u^2 + v^2 + w^2 + w_d^2} - \sqrt{u^2 + v^2 + w^2}\right).$$
 (1.4)

Here, u and v are the grid area-averaged values of the scalar horizontal wind components (predicted by the calling program), w\* is a dry covective velocity scale,  $\overline{x}$ is the grid area-averaged value of x, x' is the outflow deviation of x from its mean value, and  $w_d$  is the outflow velocity scale. In the output of CONVECT,  $w_d$ =WD and x'=TPRIME for temperature and QPRIME for specific humidity. The representation of the effect of convective outflows on surface fluxes was found to be important in single-column tests using the TOGA/COARE data set.

### CBMF

The scalar floating point variable CBMF is the convective cloud base upward mass flux, in  $Kg m^{-2} s^{-1}$ . It is essential that CBMF be set to zero at the beginning of an integration and that it be unaltered by the calling program between successive calls to CONVECT at the same horizontal grid point. That is, for each vertical column of grid points, the calling program must "remember" the last value of CBMF at that vertical column between calls to CONVECT.

## 2. Preliminaries

After the call statement, various arrays are dimensioned. Note here that it is necessary to set a parameter value for NA; this should usually equal ND.

The next group contains all the parameters used by the scheme. Their values have been optimized to produce the best possible forecasts of relative humidity using a single-column model driven by TOGA/COARE data. Their definitions are as follows:

**ELCRIT:** The critical liquid water content (gm/gm) in the warm parts of cloud, above which all condensed water is assumed to be converted to precipitation. The actual autoconversion threshold value decreases linearly from the value given by ELCRIT to zero over the temperature range from 0 C to the value given by TL-CRIT.

**TLCRIT:** The critical temperature (C) below which the autoconversion threshold is zero.

**ENTP:** A constant that influences the rate at which undilute air mixes with its environment, according to the equation

$$\delta M = M \frac{|\delta B| + \text{ENTP } \delta p}{\Sigma \left( |\delta B| + \text{ENTP } \delta p \right)},\tag{2.1}$$

where M is the undilute mass flux,  $\delta M$  is the rate of mixing in a given altitude interval,  $|\delta B|$  is the absolute value of the undilute buoyancy, and  $\delta p$  is the change in pressure over the interval.

SIGD: The fractional area assumed to be covered by the unsaturated downdraft.

**SIGS:** The fraction of the precipitation shaft that is assumed to occur in the environment (as opposed to in cloud).

**OMTRAIN:** The terminal pressure velocity (Pascals/s) of rain.

**OMTSNOW:** The terminal pressure velocity (Pascals/s) of snow.

**COEFFR:** A dimensionless coefficient governing the rate of evaporation of rain.

**COEFFS:** A dimensionless coefficient governing the rate of evaporation of snow.

**CU:** A coefficient governing the cumulus momentum transport. It must lie in the range zero to unity; if it is unity there is no convective momentum tendency and if it is zero the maximum magnitude of the momentum tendency results.

**BETA:** The coefficient determining the magnitude of the convective downdraft effect on surface fluxes.

**DTMAX:** The critical magnitude of the "negative area" (here measured in degrees Kelvin) to which the cloud base mass fluxes relax the convecting atmosphere.

**ALPHA and DAMP:** Coefficients governing the rate of relaxation of the cloud base mass flux towards its equilibrium value, as governed by the equation

$$\Delta \text{CBMF} = 0.1 * \text{ALPHA} * (\Delta T_v + \text{DTMAX}) - \text{DAMP} * \text{CBMF}, \quad (2.2)$$

where  $\Delta \text{CBMF}$  is the change of cloud base mass flux over a time step, CBMF is the cloud base mass flux itself, and  $\Delta T_v$  is the minimum value of the difference between the virtual temperature of a parcel lifted from the surface layer and its environment below its level of free convection.

After this comes the specification of thermodynamic constants and gravity; these specifications are also made at the beginning of subroutine TLIFT. *These should* be consistent with the constants used in the calling program. They are the heat capacity at constant pressure of dry air, the heat capacity of water vapor, the heat capacity of liquid water, the gas constant for dry air, the gas constant of water vapor, and the latent heat of vaporization at 0° C. Note that the actual latent heat coefficient used in CONVECT is temperature dependent, according to

$$L_v = L_{v0} + (c_{pv} - c_\ell)(T - 273.15).$$
(2.3)

This is used even when  $T < 0^{\circ}$  C, making the latent heat coefficient too large at temperatures below freezing. On the other hand, we do not include the latent heat of fusion in the calculation.

In the loop DO 5... the arrays FT, FQ, FU, FV and TRA, and the scalars PRECIP, WD, TPRIME and QPRIME are initialized to zero. IFLAG is also set equal to 0. The array of potential temperature, TH, is calculated.

## 3. Dry adiabatic adjustment (loop DO 30 ...)

This adjustment is performed internally even if the calling program does some kind of adjustment, to insure the numerical stability of the moist convective calculations.

Working down from the top level, the highest level jn for which  $\theta_v^j < \overline{\theta^{ij}}$  is determined, if any. Here,  $\overline{\theta_v^{ij}}$  is the pressure-weighted mean virtual potential temperature in the layer between levels i and j. The adjustment is performed over the levels ito jn, inclusive. The mass-weighted mean values of enthalpy (AHM) and specific humidity (QM) are determined, and Q, U, V and the tracers are set equal to their mean values in the layer. The rest of the loop calculates  $\theta^j$  and  $T^j$  according to

$$\theta^{j} = \frac{\sum_{j=i}^{jn} \left[ \left( c_{pd} (1 - q^{j}) + c_{pv} q^{j} \right) T^{j} \Delta p^{j} \right]}{\sum_{j=i}^{jn} \left[ \left( c_{pd} (1 - q_{m}) + c_{pv} q_{m} \right) \left( \frac{p^{j}}{p_{0}} \right)^{R/c_{p}} \Delta p^{j} \right]},$$
(3.1)  
$$T^{j} = \theta^{j} \left( \frac{p^{j}}{p_{0}} \right)^{R/c_{p}}.$$
(3.2)

This preserves the mass-weighted average enthalpy; i.e., the kinetic energy of the adjustment is assumed to be dissipated as heat.

After the adjustment, the adjusted layer is checked for supersaturation. If this occurs, the saturation is removed and the latent heat is added to the saturated layer. The condensed water is assumed to precipitate without evaporation and is added to the variable PRECIP.

If the adjustment results in instability at the top of the adjusted layer, the adjustment is repeated.

This is repeated for each value of i, working downward.

4. Calculation of geopotential liquid water static energy, and moist static energy

Specific volume is integrated over pressure to give geopotential, GZ, and the environmental liquid water static energy, H, and moist static energy, HM, are calculated. The array CPN is the weighted heat capacity at constant pressure.

## 5. Calculation of parcel origin level

First, the level of minimum moist static energy is found. Then the level of maximum moist static energy below that level is found and called NK; this is the assumed origin level for convecting parcels.

#### 6. Resonableness check

If the temperature at the parcel origin level is too low, or the specific humidity negative, the routine stops and returns control to the calling program. Similarly, if moist static energy increases monotonically upward above MINORIG, moist convection is assumed not to occur.

### 7. Lifted condensation level

The formula used is very similar to that of Bolton (Mon. Wea. Rev., 1980) but computationally faster. PLCL is the lifted condensation pressure of surface air.

### 8. Level of cloud base, ICB

Next, the first level *above* cloud base, ICB, is found. The maximum allowed value of the cloud base mass flux (CBMF) is determined here as well.

### 9. Lifted parcel properties, subroutine TLIFT

The call to TLIFT has as input the arrays P, T, Q, QS, and GZ, and the integer ICB. All of these are unaltered by TLIFT. It returns the absolute temperature (TP) of a parcel lifted adiabatically from the level NK, the total amount of adiabatic condensed water (CLW) in gm gm<sup>-1</sup>, and a *partial* calculation of the virtual temperature of the lifted parcel which does not yet include water loading effects. The routine is also passed the values of ND and NL. The subroutine TLIFT is described at the end of this document. Note that TLIFT is called twice: Once to determine parcel properties up through cloud base and once again to determine parcel properties above cloud base.

## 10. Test for convection

Convection is assumed not to occur if the negative area in the sounding exceeds a critical threshold *and* there was no convection at the last time step.

### 11. Calculation of parcel precipitation efficiencies and virtual temperatures

(loops DO  $60 \ldots$  and DO  $64 \ldots$ )

The parcel precipitation efficiencies, EP, are determined here. The method of doing this is discussed above in the description of the parameter values.

The virtual temperature of the environment, TV, is calculated here and the calculation of the lifted parcel virtual temperature, TVP, is finished. This quantity includes the effect of cloud water loading.

#### 12. Initialization of working arrays

Working arrays are initialized to zero. Also note that the temperature-dependent latent heat coefficient, LV, is calculated as well as its ratio, LVCP, to the weighted mean heat capacity.

13. Cloud top

The cloud top, INB, is here defined as one level greater than the highest level at which the lifted parcel has a positive value of Convective Available Potential Energy (CAPE). This level may not be greater than NL-1. Later, the returned tendencies of temperature and specific humidity are adjusted to reflect penetration to nearer the actual level at which CAPE vanishes.

## 14. Calculation of lifted parcel liquid water static energy (loop DO 95...)

The parcel's liquid water static energy is increased by removal of precipitation:

$$h = [c_{pd}(1-q) + c_{pv}q]T - L_v\ell + gz$$
(12.1)

$$\Delta h = [L_v + (c_{pd} - c_{pv})T]\Delta\ell, \qquad (12.2)$$

where  $\Delta \ell$  is the amount of condensed water removed from the sample. HP is the lifted parcel's liquid water static energy after removal of precipitation.

15. Calculation of cloud base mass flux (CBMF) and undilute updraft mass fluxes, (M). The cloud base mass flux and the undilute mass fluxes to each level are calculated according to equations (2.1) and (2.2) listed above under the description of parameter values.

## 16. Calculation of mixing fractions and mixed air properties (loop DO 170...)

First, QTI is the cloud water in the undilute updraft after precipitation has been removed. In loop DO 160 ... we calculate the mixing fraction of environmental air, SIJ, that produces no temperature tendency when air mixed at level i detrains at level j. The formula for this is

$$\sigma^{ij}h^i + (1 - \sigma^{ij})h^i_p = h^j + (c_{pv} - c_{pd})T^j(Q^{ij} - q^j), \qquad (14.1)$$

where

$$Q^{ij} = \sigma^{ij} q^i + (1 - \sigma^{ij}) q_t^i.$$
(14.2)

Here,  $Q^{ij}$  is the total amount of water substance in the mixture (called QENT in the program). Note that the initial calculation assumes that no precipitation has formed in the mixed air draft. Also note that in all calculations in this subroutine, it is assumed that all water substance in cloud has a heat content proportional to the environmental temperature, rather than the cloud temperature, at that level. This is a very minor approximation but makes the mixing calculations much more straightforward.

The quantity ALTEM is the condensed water in the mixture at level j. It is calculated by expanding the Clausius-Clapeyron equation about the environmental temperature at level j:

$$q_m^{*j} \simeq q^{*j} + \left(\frac{\partial q^*}{\partial T}\right)_p^j \left[T_m^j - T^j\right], \qquad (14.3)$$

where  $q_m^*$  is the saturation specific humidity of the mixture,  $q^*$  is that of the environment, and  $T_m$  is the temperature of the mixture. The condensed water in the mixture is just

$$\ell^{ij} = Q^{ij} - q_m^{*j}. \tag{14.4}$$

To solve the preceding two equations for  $\ell^{ij}$  we need to know  $T_m^j$ . But the condition that detrainment of the mixture at j produces no temperature change is equivalent to:

$$c_{pd}(T_m^j - T^j) - L_v \ell^{ij} = 0. (14.5)$$

These three relations are now solved for  $\ell^{ij}$ , whose value is temporarily assigned to ALTEM.

The variable CWAT is the amount of condensed water remaining in the undilute updraft after precipitation has been removed. If ALTEM is greater than CWAT, or if the preceding calculation gives nonsensical values of  $\sigma^{ij}$ , a new calculation is performed assuming that precipitation forms. All condensed water in excess of CWAT is converted to precipitation. This means that to the left-hand side of (14.1) we must add the quantity

$$L_v \left[ \ell^{ij} - \text{CWAT} \right],$$

with  $\ell^{ij}$  calculated according to (14.3), (14.4), and (14.5). The next block of code after IF((STEMP ... solves (14.1) with this addition, for SIJ (=  $\sigma^{ij}$ ).

Then, the quantities QENT  $(=Q^{ij})$  and ELIJ  $(=\ell^{ij})$  are calculated. Both pertain to the mixed air *before* removal of precipitation.

The quantity MENT is a *provisional* calculation of the total mass flux of air mixed at level i and detraining at level j. NENT is a counter giving the total number of mixtures formed at level i that can detrain at any other level j.

## 17. Calculation of detrained air properties when j = i

It may happen that (14.1) cannot be met for any level  $j \neq i$ , meaning that mixing with the environment at *i* will not result in a buoyancy change sufficient to move the parcel to some other level within the cloud layer. In these cases, all of the undilute updraft ascending to *i* detrains at *i*. The block of code ending at statement 170 specifies the properties of this detrained air.

### 18. Renormalization of entrained air mass fluxes, MENT

The long block of code constituting the loop DO 200 ... performs a renormalization of MENT so as to result from an equal probability of mixing and to meet one other condition.

First, a critical value of  $\sigma$  is calculated that separates positively buoyant from negatively buoyant mixed air. (Such a value will not always exist; for example, when there is no condensed water in the cloud.) The rest of the code, through statement 180, weights MENT such that it results from an equal probability of mixing and so that ascending air always rises to the highest level for which (14.1) is satisfied.

Finally, an additional check is made to be sure that the renormalization operations have not eliminated all the entrained air fluxes. If it has, then the undilute updraft M is assumed to detrain directly at level i.

## 19. Precipitating downdraft calculation

First, the value of  $\epsilon$  is checked at level INB. If it is zero, no precipitation has been formed (i.e., the convection is shallow) and this part of the code is skipped.

If precipitation is formed, then the downdraft loop DO  $400\ldots$  is performed, working down from INB.

In the next block of code, the total amount of precipitation formed at each level i is calculated; this called WDTRAIN.

Next, a temporary estimate of the specific humidity of the unsaturated downdraft, QP, is made by taking the average of the value of this quantity at the grid point above (which has been calculated accurately) and the value of Q in the environment. Although crude, it is an improvement over assuming that the evaporation is proportional to  $q^* - q$  in the environment. The rest of the block solves equations (9), (12a), and (12b) of Emanuel (1991) to find the precipitation content of the downdraft, WATER, and the rate of evaporation of precipitation, EVAP. This involves solving a quadratic equation. This is done using forward differencing to avoid negative values of WATER, at the expense of some accuracy.

After the precipitation and evaporation have been calculated, an estimate of the downdraft mass flux (MP, defined to be positive) is made using the hydrostatic approximation (equation (15) of Emanuel, 1991). A small amount of inertia is added to the hydrostatic downdraft to prevent sudden changes in the downdraft mass flux over short distances. Near the end of the code, MP is tested for violation of the CFL condition; if it does violate CFL then its magnitude is appropriately limited. Also, to promote numerical stability, the downdraft mass flux is forced to decrease linearly near the surface.

Finally, eqns. (14) of Emanuel (1991) are solved for the specific humidity of the downdraft, QP, and the precipitation reaching the surface, PRECIP, is calculated in units of mm  $d^{-1}$ . If the downdraft mass flux decreases downward, QP is calculated by making use of the conservation of moist static energy.

20. Tendencies at lowest model level (statements 405 to 415)

Upstream differencing is used in the subsidence terms. First, the total undilute updraft mass flux (originating at the lowest model level ) is calculated and called AM. The tendency of temperature at the first level is due to:

- 1. Compensating subsidence warming,
- 2. Cooling by evaporation of precipitation,
- 3. Cooling owing to heat transport by precipitation,

Likewise, the tendency of specific humidity at the lowest level is owing to:

- 1. Detrainment of water vapor from unsaturated downdraft and evaporation of precipitation,
- 2. Compensating subsidence drying,
- 3. Possible detrainment from unsaturated downdrafts detraining at level 1.

Finally, the tendencies of momentum and tracer concentration are deteremined by subsidence and detrainment from saturated and unsaturated downdrafts

21. Tendencies above lowest model level

First the total upward flux of mass in undilute and mixed updrafts through level i + 1 is calculated; this is called AMP1. Next, the total downward flux of mass in penetrative downdrafts through level i is calculated; this is called AD and is defined to be positive.

Then the temperature tendencies are calculated. In order, the contributions are:

- 1. Compensating subsidence warming by updrafts, compensating adiabatic cooling due to penetrative downdrafts, and cooling by evaporation of precipitation.
- 2. Detrainment of air from undilute updrafts for which no entrainment fluxes occur.
- 3. Cooling by downward transport of heat by precipitation.

Likewise, the specific humidity tendency is contributed to by:

- 1. Drying by compensating subsidence and moistening by compensating ascent associated with penetrative downdrafts.
- 2. Moistening by detrainment from all drafts.
- 3. Moistening by evaporation of precipitation and convergence of the unsaturated downdraft flux of water vapor.

The tendencies of momentum and tracer concentration are deteremined by environmental subsidence and detrainment from saturated and unsaturated convective drafts.

# This is the end of the main subroutine.

#### 22. Subroutine TLIFT

This subroutine calculates a close approximation to the lifted temperature and adiabatic condensed water content of air from the lowest level. It accepts the input arrays P, T, Q, QS, and GZ, and the integer ICB. It leaves all of these unaltered. It returns the lifted parcel temperature and adiabatic condensed water content as well as a partial calculation of the parcel's virtual temperature. (Later in the main subroutine, when  $\epsilon^i$  is calculated, the water loading term is added.)

First, the same thermodynamic constants are specified as in the main subroutine. Then the moist static energy at the lowest level, AH0, is calculated, and the temperature of air lifted dry adiabatically to one level below cloud base is found.

The principal calculations are performed in the loop DO 300 .... The calculation is based on conservation of moist static energy, again approximating the temperature of the water substance by the environmental temperature:

$$c_{pd}T_p{}^i + (c_\ell - c_{pd})q^1T^i + gz^i + L_v^i q_p{}^i = AH0, \qquad (20.1)$$

where  $T_p^{i}$  is the lifted parcel temperature and  $q_p^{i}$  is the lifted parcel saturation specific humidity. The rate of change, S, of moist static energy with respect to temperature at constant pressure is calculated approximately, and the lifted parcel temperature (and saturation specific humidity) are calculated using Newton's method with two iterations. At the end of the iteration, the temperature is recalculated to insure exact conservation of h.

Next, the adiabatic condensed water is calculated. This allows the partial calculation of the lifted virtual temperature.

## 23. Problems

Kindly report any problems to the author:

K. Emanuel Room 54–1620 Massachusetts Institute of Technology Cambridge, MA 02139

(Internet) emanuel@texmex.mit.edu

## 24. Registration

Please send an email message to emanuel@texmex.mit.edu stating that you are using the code. This will also serve to place you on an update list.